

# Systematic Assessment and Benchmarking of Earth System Models

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# Resource Competition, Environmental Security and Stability (RECESS) Meeting

June 18, 2024

# Forrest M. Hoffman, Computational Earth System Scientist

- Group Leader for the ORNL Computational Earth Sciences Group
- 35 years at ORNL in Environmental Sciences Division, then Computer Science and Mathematics Division, and now Computational Sciences and Engineering Division
- Develop and apply Earth system models to study global biogeochemical cycles, including terrestrial & marine carbon cycle
- Investigate methods for reconciling uncertainties in
   carbon-climate feedbacks through comparison with observations
- Apply artificial intelligence methods (machine learning and data mining) to environmental characterization, simulation, & analysis
- Joint Faculty, University of Tennessee, Knoxville, Department of Civil & Environmental Engineering







# US Dept. of Energy's RUBISCO Scientific Focus Area (SFA)

Forrest M. Hoffman (Laboratory Research Manager), William J. Riley (Senior Science Co-Lead), and James T. Randerson (Chief Scientist)

### **Research Goals**

- Identify and quantify feedbacks between biogeochemical cycles and the Earth system
- Quantify and reconcile uncertainties in Earth system models (ESMs) associated with interactions

### **Research Objectives**

- Perform hypothesis-driven analysis of biogeochemical & hydrological processes and feedbacks in ESMs
- Synthesize in situ and remote sensing data and design metrics for assessing ESM performance
- Design, develop, and release the International Land Model Benchmarking (ILAMB) and International Ocean Model Benchmarking (IOMB) tools for systematic evaluation of model fidelity
- Conduct and evaluate CMIP6 experiments with ESMs







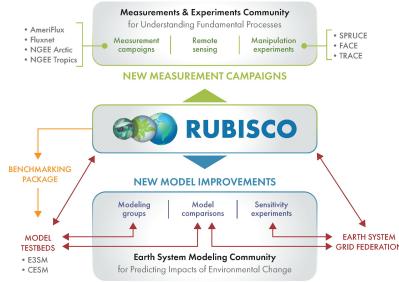












The RUBISCO SFA works with the measurements and the modeling communities to use best-available data to evaluate the fidelity of ESMs. RUBISCO identifies model gaps and weaknesses, informs new model development efforts, and suggests new measurements and field campaigns.



# What is a Benchmark?

- A benchmark is a quantitative test of model function achieved through comparison of model results with observational data
- Acceptable performance on a benchmark is a necessary but not sufficient condition for a fully functioning model
- Functional relationship benchmarks offer tests of model responses to forcings and yield insights into ecosystem processes
- Effective benchmarks must draw upon a broad set of independent observations to evaluate model performance at multiple scales

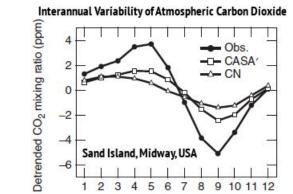




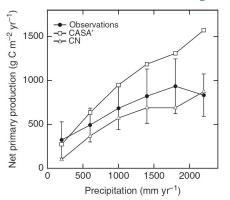








Models often fail to capture the amplitude of the seasonal cycle of atmospheric CO<sub>2</sub>



Models may reproduce correct responses over only a limited range of forcing variables







(Randerson et al., 200



# Why Benchmark Models?

- To **quantify and reduce uncertainties** in carbon cycle feedbacks to improve projections of future climate change (Eyring et al., 2019; Collier et al., 2018)
- To diagnose impacts of process-based or machine learning model development on process representations and their interactions
- To **guide synthesis efforts**, such as the Intergovernmental Panel on Climate Change (IPCC), by determining which models are broadly consistent with observations (Eyring et al., 2019)
- To increase scrutiny of key datasets used for model evaluation
- To identify gaps in existing observations needed to inform model development
- To accelerate delivery of new measurement datasets for rapid and widespread use in model assessment



















# What is ILAMB?

A community coordination activity created to:

- Develop internationally accepted benchmarks for land model performance by drawing upon collaborative expertise
- Promote the use of these benchmarks for model intercomparison
- Strengthen linkages between experimental, remote sensing, and Earth system modeling communities in the design of new model tests and new measurement programs
- Support the design and development of open source benchmarking tools

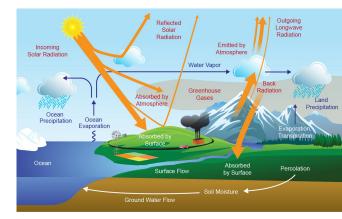




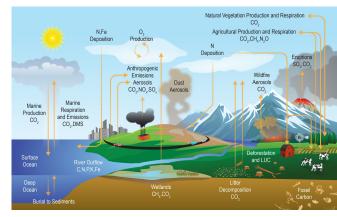








Energy and Water Cycles



Carbon and Biogeochemical Cycles

























- First ILAMB Workshop was held in Exeter, UK, on June 22–24, 2009
- Second ILAMB Workshop was held in Irvine, CA, USA, on January 24–26, 2011
  - ~45 researchers participated from the US, Canada, UK, Netherlands, France, Germany,
     Switzerland, China, Japan, and Australia
  - Developed methodology for model-data comparison and baseline standard for performance of land model process representations (Luo et al., 2012)















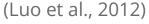




# A Framework for Benchmarking Land Models

- A benchmarking framework for evaluating land models emerged and included (1) defining model aspects to be evaluated, (2) selecting benchmarks as standardized references, (3) developing a scoring system to measure model performance, and (4) stimulating model improvement
- Based on this methodology and prior work on the Carbon-LAnd Model Intercomparison Project (C-LAMP) (Randerson et al., 2009), a prototype model benchmarking package was developed for ILAMB

### Model aspects to be evaluated Parameter Process · State variables Biophysics · Rate variables Hydrology · Responses Biogeochemistry Feedback Vegetation dynamics **Benchmarks** Observations Temporal scale · Experimental results · Spatial cover · Data-model products · Error structure Model improvement · Relationship and patterns Structure Parameter · Initial condition Input variables Matrices of performance skills To determine model's Acceptability Ranking · Strength and deficiency





















2016 International Land Model Benchmarking (ILAMB) Workshop May 16-18, 2016, Washington, DC

Third ILAMB Workshop was held May 16–18, 2016

- Workshop Goals
  - Design of new metrics for model benchmarking
  - Model Intercomparison Project (MIP) evaluation needs
  - Model development, testbeds, and workflow processes
  - Observational datasets and needed measurements
- Workshop Attendance
  - 60+ participants from Australia, Japan, China, Germany, Sweden, Netherlands, UK, and US (10 modeling centers)
  - ~25 remote attendees at any time





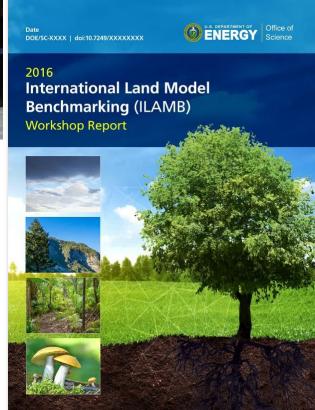










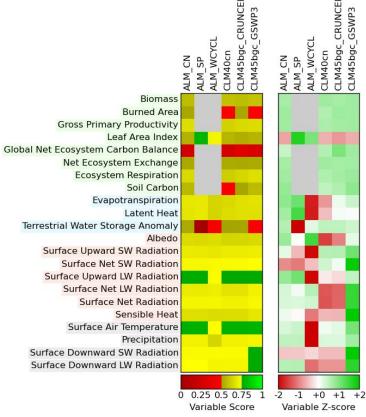


(Hoffman et al., 2017)



# **Development of ILAMB Packages**

- **ILAMBv1** released at 2015 AGU Fall Meeting Town Hall, doi:10.18139/ILAMB.v001.00/1251597
- ILAMBv2 released at 2016 ILAMB Workshop, doi:10.18139/ILAMB.v002.00/1251621
- Open Source software written in Python; runs in parallel on laptops, clusters, and supercomputers
- Routinely used for land model evaluation during development of ESMs, including the E3SM Land Model (Zhu et al., 2019) and the CESM Community Land Model (Lawrence et al., 2019)
- Models are scored based on statistical comparisons and functional response metrics





















# **ILAMB Produces Diagnostics and Scores Models**

- ILAMB generates a top-level **portrait plot** of models scores
- For every variable and dataset, ILAMB can automatically produce
  - **Tables** containing individual metrics and metric scores (when relevant to the data), including
    - Benchmark and model period mean
    - **Bias** and **bias score**  $(S_{bias})$
    - **Root-mean-square error (RMSE)** and **RMSE score** (S<sub>max</sub>)
    - Phase shift and seasonal cycle score  $(S_{phase})$
    - Interannual coefficient of variation and IAV score  $(S_{init})$
    - **Spatial distribution score** ( $S_{dist}$ )

Overall score ( $S_{\text{overall}}$ )  $S_{\text{overall}} = \frac{S_{\text{bias}} + 2S_{\text{rmse}} + S_{\text{phase}} + S_{\text{iav}} + S_{\text{dist}}}{1 + 2 + 1 + 1 + 1}$ 

- **Graphical diagnostics** 
  - Spatial contour maps
  - Time series line plots
  - Spatial Taylor diagrams (Taylor, 2001)
- Similar tables and graphical diagnostics for functional relationships



















# **ILAMBv2.6 Package Current Variables**

- Biogeochemistry: Biomass (Contiguous US, Pan Tropical Forest), Burned area (GFED3), CO<sub>2</sub> (NOAA GMD, Mauna Loa), Gross primary production (Fluxnet, GBAF), Leaf area index (AVHRR, MODIS), Global net ecosystem carbon balance (GCP, Khatiwala/Hoffman), Net ecosystem exchange (Fluxnet, GBAF), Ecosystem Respiration (Fluxnet, GBAF), Soil C (HWSD, NCSCDv22, Koven)
- **Hydrology:** Evapotranspiration (GLEAM, MODIS), Evaporative fraction (GBAF), Latent heat (Fluxnet, GBAF, DOLCE), Runoff (Dai, LORA), Sensible heat (Fluxnet, GBAF), Terrestrial water storage anomaly (GRACE), Permafrost (NSIDC)
- Energy: Albedo (CERES, GEWEX.SRB), Surface upward and net SW/LW radiation (CERES, GEWEX.SRB, WRMC.BSRN), Surface net radiation (CERES, Fluxnet, GEWEX.SRB, WRMC.BSRN)
- **Forcing:** Surface air temperature (CRU, Fluxnet), Diurnal max/min/range temperature (CRU), Precipitation (CMAP, Fluxnet, GPCC, GPCP2), Surface relative humidity (ERA), Surface down SW/LW radiation (CERES, Fluxnet, GEWEX.SRB, WRMC.BSRN)











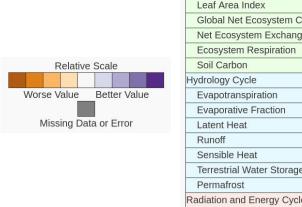


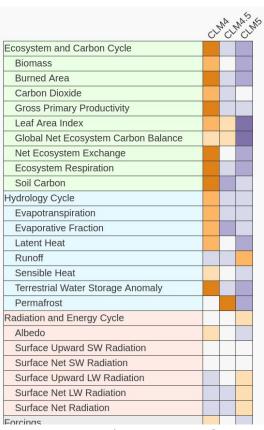






# **ILAMB Assessing Several Generations of CLM**





- Improvements in mechanistic treatment of hydrology, ecology, and land use with much more complexity in Community Land Model version 5 (CLM5)
- Simulations improved even with enhanced complexity
- Observational datasets not always self-consistent
- Forcing uncertainty confounds assessment of model development

http://webext.cgd.ucar.edu/I20TR/ build set1F/ (Lawrence et al., 2019)











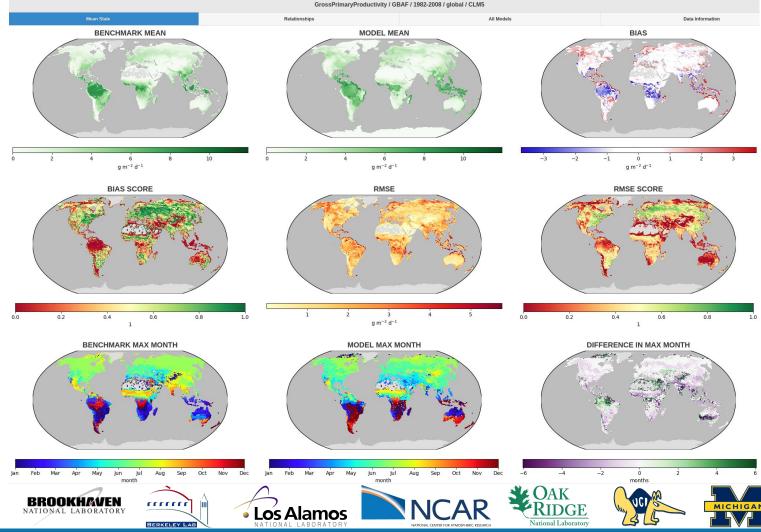






**RUBISCO** 

# ILAMB Graphical Diagnostics



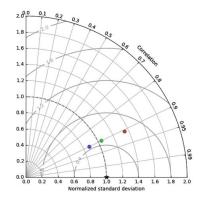






# **RUBISCO**

# **ILAMB** Graphica

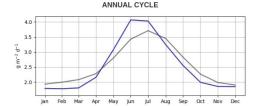


### MODEL COLORS

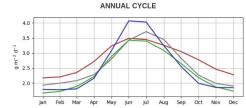
- Benchmark CLM4 CLM4.5
- CLM5

### Spatially integrated regional mean

### MODEL COLORS REGIONAL MEAN Benchmark CLM4 CLM4.5 CLM5 3.0 1.5 1985 1990 1995 2000 2005 2010



### MONTHLY ANOMALY 1.5 1.0 0.5 0.0 -0.5 Jan Feb Mar Apr May Jun Aug Sep















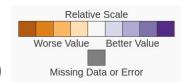




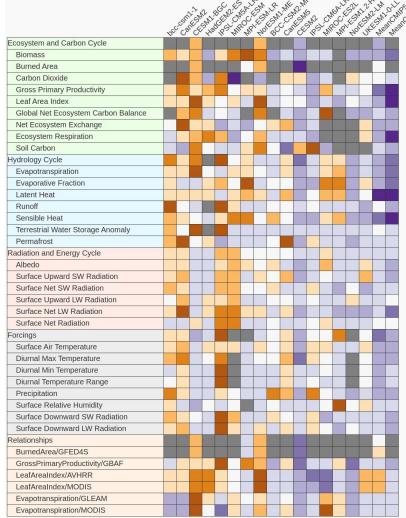


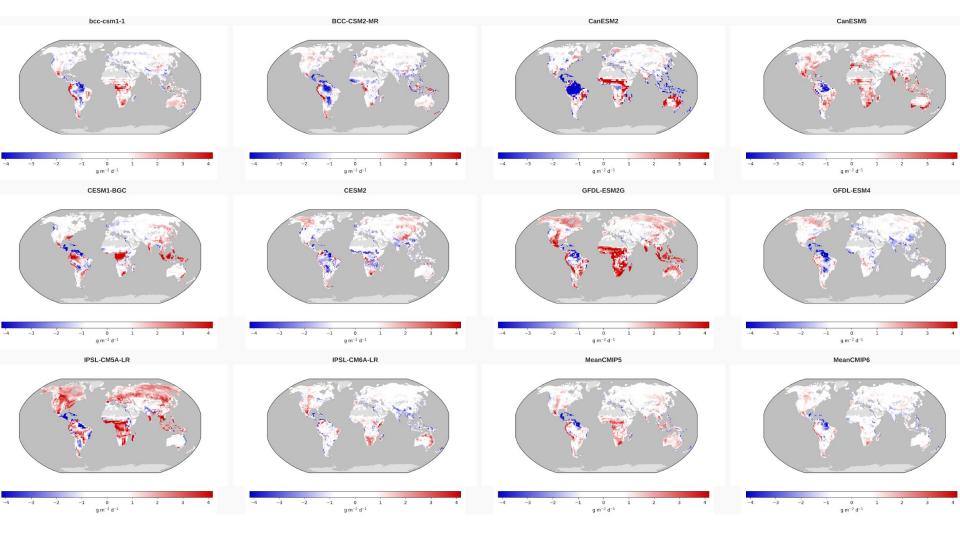
# CMIP5 vs. CMIP6 Models

- The CMIP6 suite of land models (right) has improved over the CMIP5 suite of land models (left)
- The multi-model mean outperforms any single model for each suite of models
- The multi-model mean CMIP6 land model is the "best model" overall
- Why did CMIP6 land models improve?





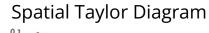


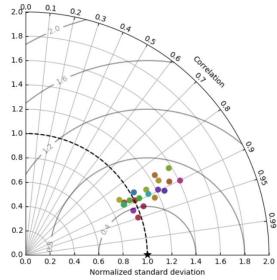


### The Party Meet The Best Con 120 yr. 1 Benchmark 0.484 0.435 0.830 0.955 0.628 bcc-csm1-1 [-] 123, 112, 114, 8,79 0,0945 0.238 1.51 1.01 0.479 0.447 0.817 0.941 0.626 [-] 114. 107. 113. 5.88 0.671 -0.0233 1.52 1.11 0.388 0.437 0.850 0.836 0.549 CanESM2 [-] 129. 117. 114. 9.54 0.0601 2.31 2.00 CanESM5 [-] 141. 128. 114. 10.1 0.730 1.87 1.60 0.449 0.418 0.710 0.948 0.589 0.426 0.468 0.765 0.889 0.603 CESM1-BGC [-] 129, 123, 113, 5.55 0.660 0.379 1.66 1.20 [-] 110. 104. 113. 5.57 0.642 -0.0542 1.62 1.32 GFDL-ESM2G [-] 167. 152. 114. 12.4 1.26 2.78 1.38 0.495 0.403 0.702 0.939 0.588 GFDL-ESM4 [-] 105, 99,0 114, 6,18 -0.177 1.59 1.49 0.327 0.352 0.781 0.896 0.542 [-] 165. 150. 113. 11.7 0.515 1.18 2.68 1.20 [-] 115. 109. 113. 5.27 0.708 0.111 1.39 1.14 0.477 0.790 0.961 0.650 MeanCMIP5 [-] 121. 115. 114. 6.65 0.574 1.41 0.981 0.494 0.502 0.799 0.965 0.652 [-] 116. 110. 114. 6.26 0.129 1.17 0.931 0.572 0.522 0.826 0.956 [-] 129. 118. 102. 9.04 11.4 0.396 1.90 1.27 0.409 0.379 0.628 0.920 0.543 [-] 116. 104. 113. 9.90 0.119 -0.0111 1.95 1.99 [-] 169. 159. 104. 8.91 9.81 1.36 2.36 1.29 0.402 0.371 0.715 0.930 0.558 [·] 141. 133. 104. 6.89 9.81 0.725 2.06 1.13 0.409 0.393 0.769 0.925 0.578 [-] 129. 120. 114. 7.82 0.386 1.86 1.25 0.387 0.456 0.761 0.856 0.583 [-] 107. 97.5 114. 7.59 -0.0828 1.63 1.31 0.443 0.472 0.791 0.938 0.623

# **Gross Primary Productivity**

- Multimodel GPP is compared with global seasonal GBAF estimates
- We can see
   Improvements
   across generations
   of models (e.g.,
   CESM1 vs. CESM2,
   IPSL-CM5A vs. 6A)
- The mean CMIP6 and CMIP5 models perform best







UK-HadGEM2-ES [-] 137, 130, 113, 6.93 0.848

UKESM1-0-LL [-] 126. 119. 113. 7.06 0.825



0.602 2.01 1.10

0.387 1.77 1.16



0.389 0.388 0.820 0.855 0.568

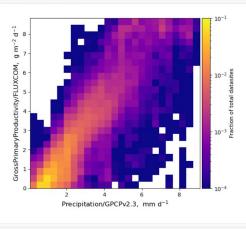


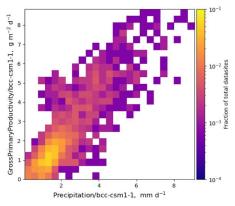


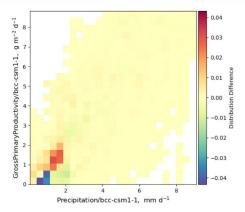


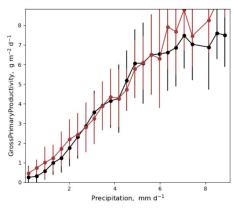








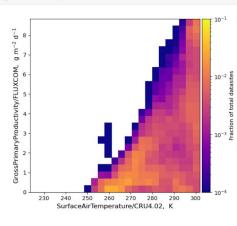


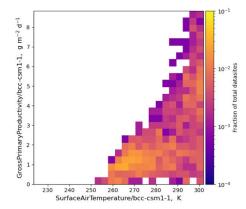


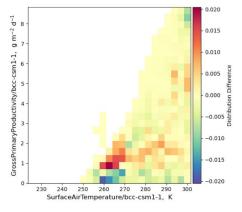


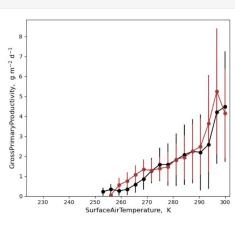
### SurfaceNetSWRadiation/CERESed4.1

### SurfaceAirTemperature/CRU4.02





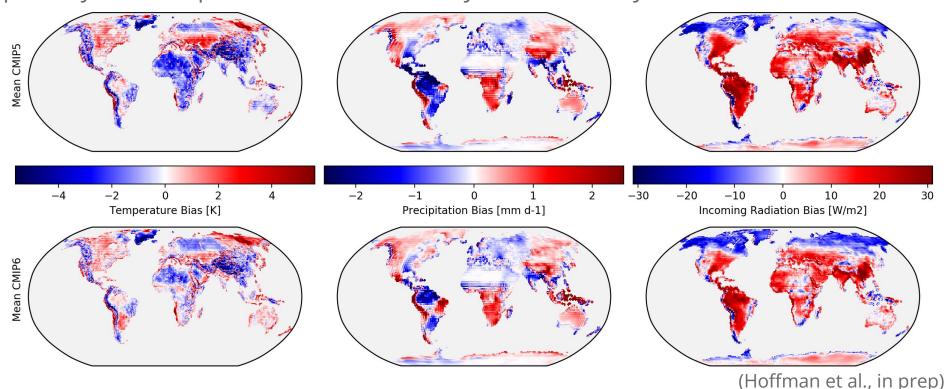






# **Reasons for Land Model Improvements**

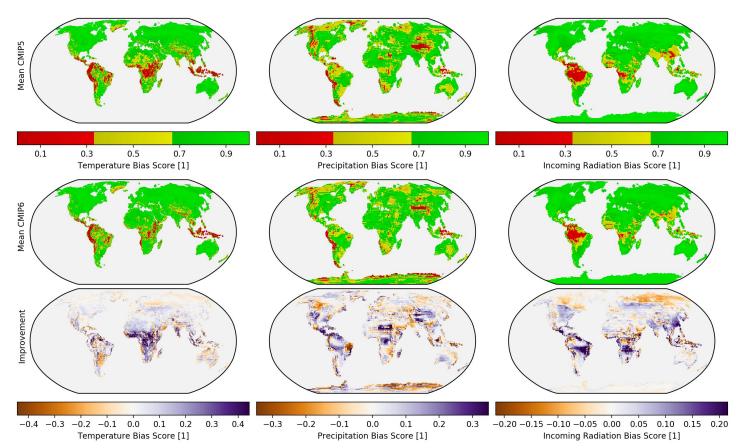
ESM improvements in climate forcings (temperature, precipitation, radiation) likely partially drove improvements exhibited by land carbon cycle models



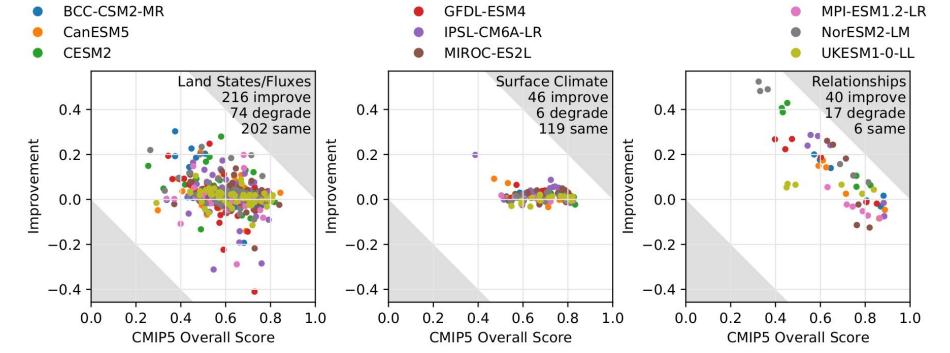


# **Reasons for Land Model Improvements**

Differences in bias scores for temperature, precipitation, and incoming radiation were primarily positive, further indicating more realistic climate representation



(Hoffman et al., in prep)

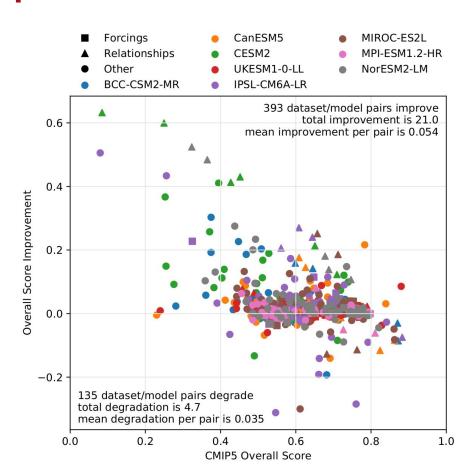


Across all land models, scores for most state and flux variables improved (216) or remained nearly the same (202), although some were degraded (74). While atmospheric forcings from CMIP6 ESMs were improved over those from CMIP5 ESMs, the largest improvements were in land model **variable-to-variable relationships**, suggesting that increased land model development was also partially responsible for higher CMIP6 land model scores.



# **Reasons for Land Model Improvements**

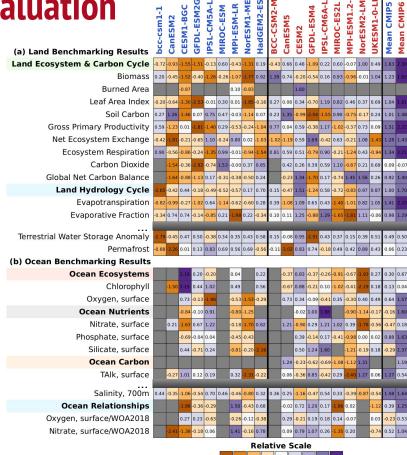
While forcings got better, the largest improvements were in **variable-to-variable relationships**, suggesting that increased land model complexity was also partially responsible for higher CMIP6 model scores





# **ILAMB & IOMB CMIP5 vs 6 Evaluation**

- (a) ILAMB and (b) IOMB have been used to evaluate how land and ocean model performance has changed from CMIP5 to CMIP6
- Model fidelity is assessed through comparison of historical simulations with a wide variety of contemporary observational datasets
- The UN's Intergovernmental Panel on Climate Change (IPCC) Sixth Assessment Report (AR6) from Working Group 1 (WG1) Chapter 5 contains the full ILAMB/IOMB evaluation as Figure 5.22



Worse Value

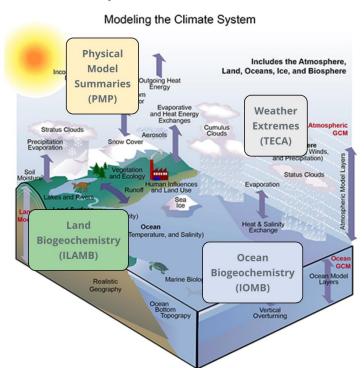
Missing Data or Error

**Better Value** 



### Coordinated Model Evaluation Capabilities

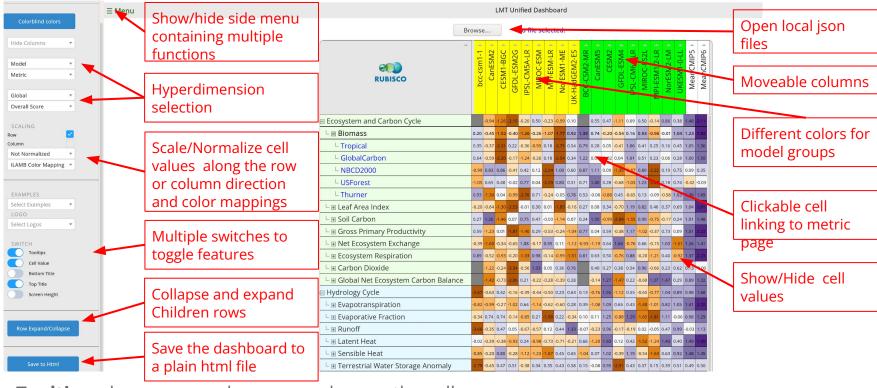
Coordinated Model Evaluation Capabilities (CMEC) is an effort to bring together a diverse set of analysis packages that have been developed to facilitate the systematic evaluation of Earth System Models (ESMs). Currently, CMEC includes three capabilities that are supported by the U.S. Department of Energy, Office of Biological and Environmental Research (BER), Regional and Global Climate Modeling Program (RGCM). As CMEC advances, additional analysis packages will be included from community-based expert teams as well a efforts directly supported by DOE and other US and international agencies.



https://cmec.llnl.gov/

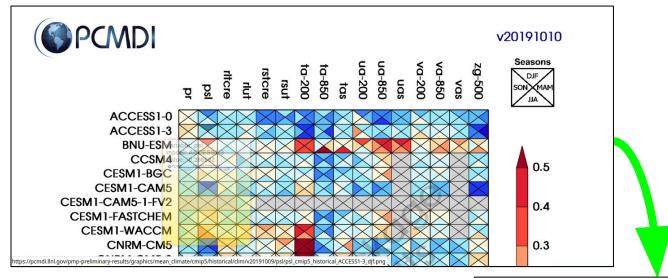
A primary motivation for CMEC is to analyze model simulations that are contributed to the Coupled Model Intercomparison Project (CMIP). Virtually every institution worldwide involved in significant

# LMT Dashboard: https://lmt.ornl.gov/unified-dashboard/



- **Tooltips:** show scores when mouse hovers the cells.
- Column Hiding: hide some models (columns) to focus into models of interest.
- **Column sorting:** sort the scores along the columns/models to see the best metric for the model.

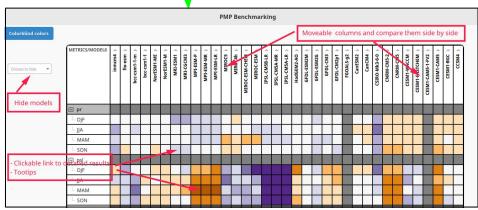
# Convert other diagnostic results for use in LMT dashboard

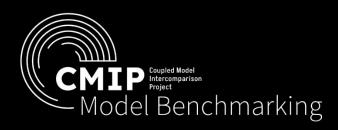


https://lmt.ornl.gov/tab\_pmp

# PMP: The Program for Climate Model Diagnostics and Intercomparison (PCMDI) Metrics Package (PMP)

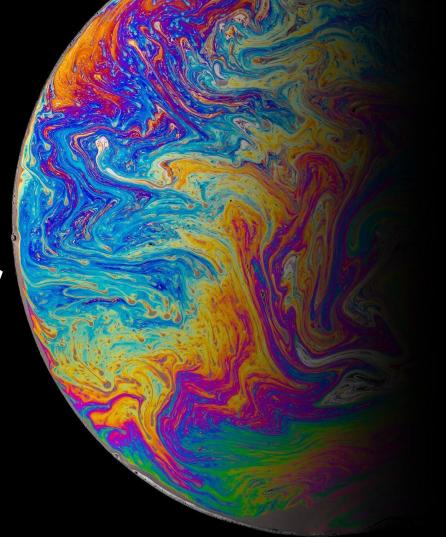
- Clicking cell will go to maps of geographic distributions generated by PMP
- Our LMT dashboard can be used to study science questions like ENSO-BGC feedbacks





# Climate Model Benchmarking for CMIP7

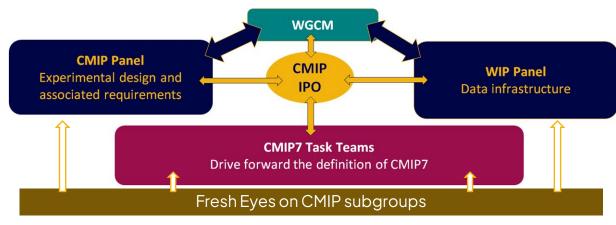
Forrest M. Hoffman and Birgit Hassler CMIP Climate Model Benchmarking Task Team Co-Leads







# **CMIP and Preparations for CMIP7**



- Two new CMIP co-chairs were appointed (2022/2023):
  - Helene Hewitt (Met Office, UK)
  - John Dunne (NOAA GFDL, USA)
- The CMIP IPO, newly established in March 2022 and hosted at the European Space Agency's (ESA's) ECSAT site in Harwell, UK, is staffed by five people and is tasked with helping coordinate and support CMIP activities and responsibilities #CMIP

- CMIP is part of the WCRP's Earth System **Modelling and** Observation (ESMO) realm, and the Working Group on Coupled Modelling (WGCM)
- CMIP activities are coordinated by the CMIP Panel, the WGCM Infrastructure Panel (WIP), the CMIP IPO and the CMIP7 Task Teams

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## **CMIP Task Teams**

- The CMIP Panel and WIP have established a number of Task Teams to support the design, scope, and definition of the next phase of CMIP and evolution of CMIP infrastructure and future operationalization
- Individual Task Teams aim to address specific topics (shown at right) and interact with each other to develop recommendations for the CMIP Panel and WIP

- Data Access
  (Robert Pincus & Atef Ben-Nasser)
- Data Citation (Martina Stockhause & Sasha Ames)
- Data Request (Martin Juckes & Chloe Mackallah)
- Forcings
   (Paul Durack & Vaishali Naik)
- Model Benchmarking (Birgit Hassler & Forrest Hoffman)
- Model Documentation (David Hassell & Guillaume Levavasseur)
- Strategic Ensemble Design (Ben Sanderson & Isla Simpson)







# The Model Benchmarking TT



- Rebecca Beadling, USA
- Ed Blockley, UK
- Jiwoo Lee, USA
- Valerio Lembo, Italy
- Jared Lewis, Australia
- Jianhua Lu, China
- Luke Madaus, USA

- Elizaveta Malinina, Canada
- Brian Medeiros, USA
- Wilfried Pokam Mba, Cameroon
- Enrico Scoccimarro, Italy
- Ranjini Swaminathan, UK

- Diversity in expertise (realms and methods), user group representation, gender, location, career stage
- Overarching goals
  - Systematic and rapid performance assessment of the expected models participating in CMIP7 (including the model output and documentation)
- ☐ Enhancing existing community evaluation tools that facilitate performance assessment of models
- Integration of evaluation tools into CMIP publication workflows and fostering publication of their diagnostic outputs alongside the model output on the ESGF
- Collaboration with two Fresh Eyes on CMIP Subgroups
  - Model Evaluation
  - Data Analysis

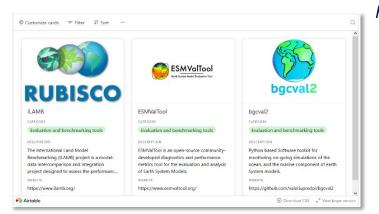






# Model Benchmarking Tools - Info "Cards" & Videos

- Main characteristics of (open source) benchmarking and evaluation tools available for analyses of CMIP-style data summarized in an overview "card" or an information video
- Collected information presented centrally on the CMIP website for easy access
- Cards can be filled out for all available open source benchmarking and evaluation tools if they can be used for CMIP data analysis; pre-defined questionnaire available on the CMIP website



https://wcrp-cmip.org/tools/model-benchmarking-and-evaluation-tools/

Status: first cards available

Started: October 2023



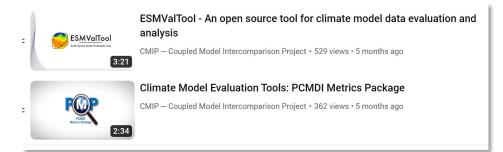






# **Model Benchmarking Tools - Information Videos**

- Videos with descriptions of different benchmarking and evaluation tools
- Contain also the main characteristics of the different tools, just presented in a different way than the "cards"
- Videos can also be of different style
- All videos are presented in one central location linked to CMIP



- More videos of tools welcome!
- More info cards about evaluation/benchmarking tools welcome!

https://wcrp-cmip.org/tools/model-benchmarking-and-evaluation-tools/







# Retrospective paper



- Retrospective look at evolution of evaluation & benchmarking metrics
- What tools were available for CMIP6 (methods, philosophies, tools)?
- What approaches were used for CMIP6?
- Which of them worked well for CMIP6 and what did not work for CMIP6?
- Extensive information about different benchmarking and evaluation tools

Status: Currently being finalized

Planned submission: August 2024





# What is the way forward?



- Based on the findings of the extensive information collected about different tools, and the retrospective paper – What do we think should be the benchmarking/evaluation focus for CMIP7?
- What framework would ideally be available for instantaneous benchmarking and evaluation at the time of data submission? Is such a framework even possible?
- How to avoid the bottlenecks encountered in CMIP6 benchmarking/ evaluation?
- Comprehensive community evaluation in near-real time possible?

Status: Under development

Planned submission: Summer 2024





## Other Planned TT Activities

- In collaboration with Fresh Eyes on CMIP groups
  - Scope out a Rapid Evaluation Framework for automated benchmarking capabilities at the time of AR7 Fast Track data publication
  - Develop scope for better quality assurance / quality control (QA/QC) for CMIP model output
  - Develop a white paper on observational data needs for model benchmarking, including uncertainties

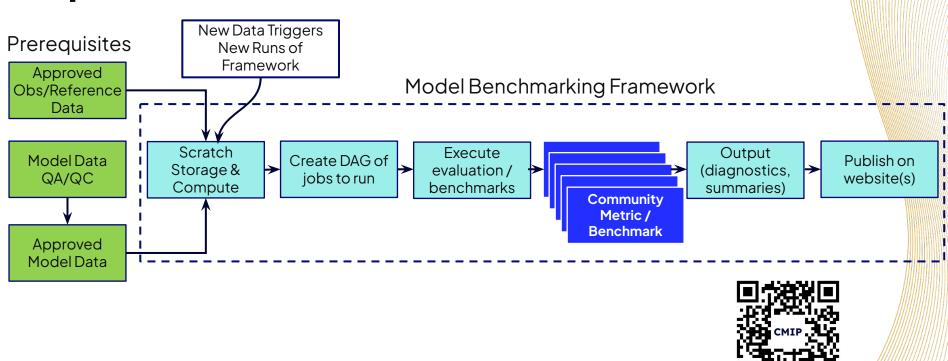








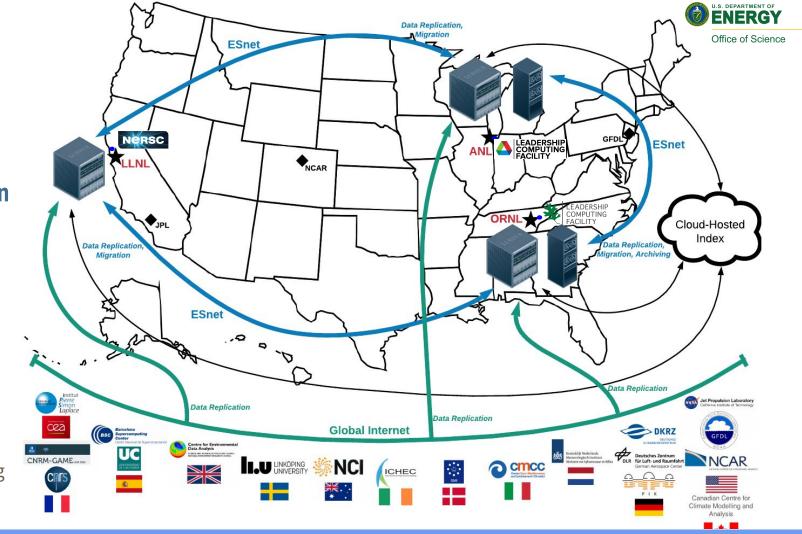
# Rapid Evaluation Framework Overview





# DOE's Next Generation Earth System Grid Federation

- As many as three nodes co-located at DOE's major computing facilities
- Replicating data from the global Federation
- Providing cloud indexing and tape archiving





- Model benchmarking is increasingly important as model complexity increases
- Systematic model benchmarking is useful for
  - Verification during model development to confirm that new model code improves performance in a targeted area without degrading performance in another area
  - Validation when comparing performance of one model or model version to observations and to other models or other model versions
- The ILAMB package employs a suite of in situ, remote sensing, and reanalysis
  datasets to comprehensively evaluate and score land model performance,
  irrespective of any model structure or set of process representations
- ILAMB is **Open Source**, is written in **Python**, **runs in parallel** on laptops to supercomputers, and has been **adopted in most modeling centers**
- *Usefulness* of ILAMB depends on the quality of incorporated observational data, characterization of uncertainty, and selection of relevant metrics

















# **Questions?**



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