

# Seeing through the point cloud: Mapping and monitoring local to landscape forest structure with LiDAR



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NC Society of American Foresters  
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# Outline

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- (1) Why do we care about forest structure?
  - (2) Estimating gridded forest structure from LiDAR
  - (3) Gridded data and products

# Why do we care about forest structure?

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1) It's half the term "forest composition and structure", but its usually only mapped by "stand age" and "site index"



# Why do we care about forest structure?

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2) Realized forest structure can change from management, disturbance and succession, even when composition doesn't



# Why do we care about forest structure?

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3) Measures of forest structure can characterize habitat, stand health and progress toward management objectives



# Why do we care about forest structure?

Given these values and needs, what might an ideal 21<sup>st</sup> century forest structural dataset look like?

- Would the database be sample-based (plots), have a continuous mapped coverage, or both?
- Would we know the height and basal area of every stem known to species, or just the vertical distribution of biomass in a grid cell?
- Would it tell us all we need to know about competitive stress, or simply help prioritize where intervention may be most beneficial?
- Would data be updated in near-real time or just periodically?

How can we improve the current situation?



# Outline

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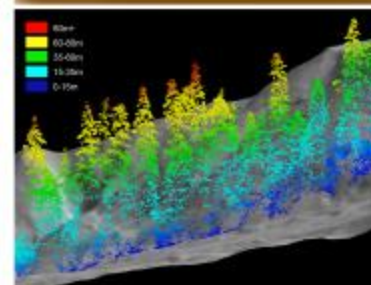
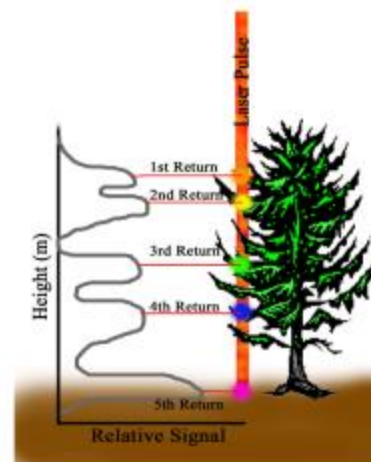
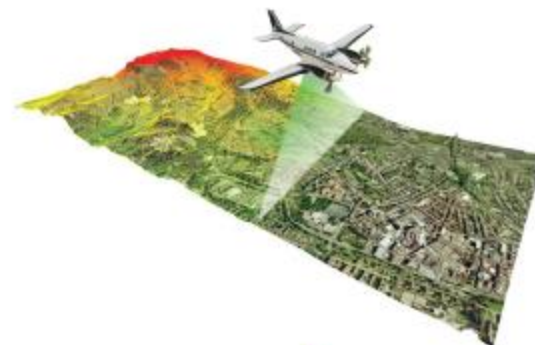
(1) Why do we care about forest structure?

➔ (2) Estimating gridded forest structure from LiDAR

(3) Gridded data and products

# Estimating gridded forest structure from LiDAR

- ▶ Forests form a complex mosaic of diverse tree and coexisting plant and animal species.
- ▶ The structure of vegetation reveals information about stand age and height; forest composition, health, and disturbance; and suitability as habitat for birds and other animal species.
- ▶ Airborne Light Detection and Ranging (LiDAR) enables large scale remote sensing of topography, built infrastructure, and vegetation structure.
- ▶ Multiple laser “returns” produce “point clouds” used to map the ground surface, buildings, roads, and utility infrastructure, and to reconstruct the structure of vegetation canopies.
- ▶ Large data volumes pose significant computational challenges to employing LiDAR to monitor and manage forests and animal habitats.





# Estimating gridded forest structure from LiDAR

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## From “Big Data” to “Humongous Data”

### NC LiDAR 1.0 (2001-2005)

- Collected in 3 Phases
- 1-5m point spacing (3m average USGS Quality Level 4)
- 25.5 billion points statewide

### NC LiDAR 2.0 (2014-2017)

- Collected in 5 phases (1-2 in 2014, 3 in 2015, 4-5 in 2016)
- 2 points/m for Phases 1-3 (USGS Quality Level 2), but 8 points/m for Phases 4-5 are planned!
- Already have 240 billion points in just the first two phases in the 40 eastern NC counties (so ~1 trillion more!?)



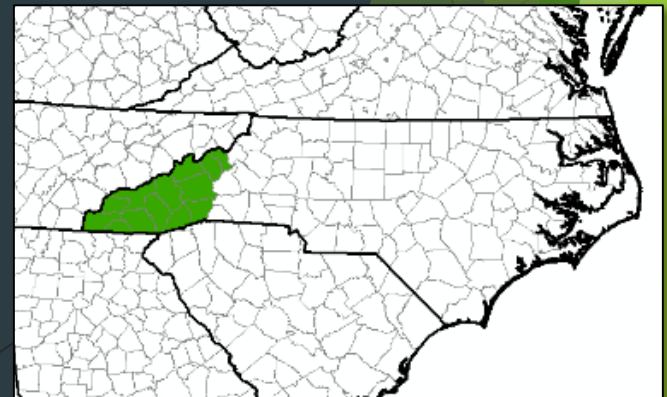
**“Let’s shrink Big Data into Small Data ...  
and hope it magically becomes Great Data.”**

# Outline

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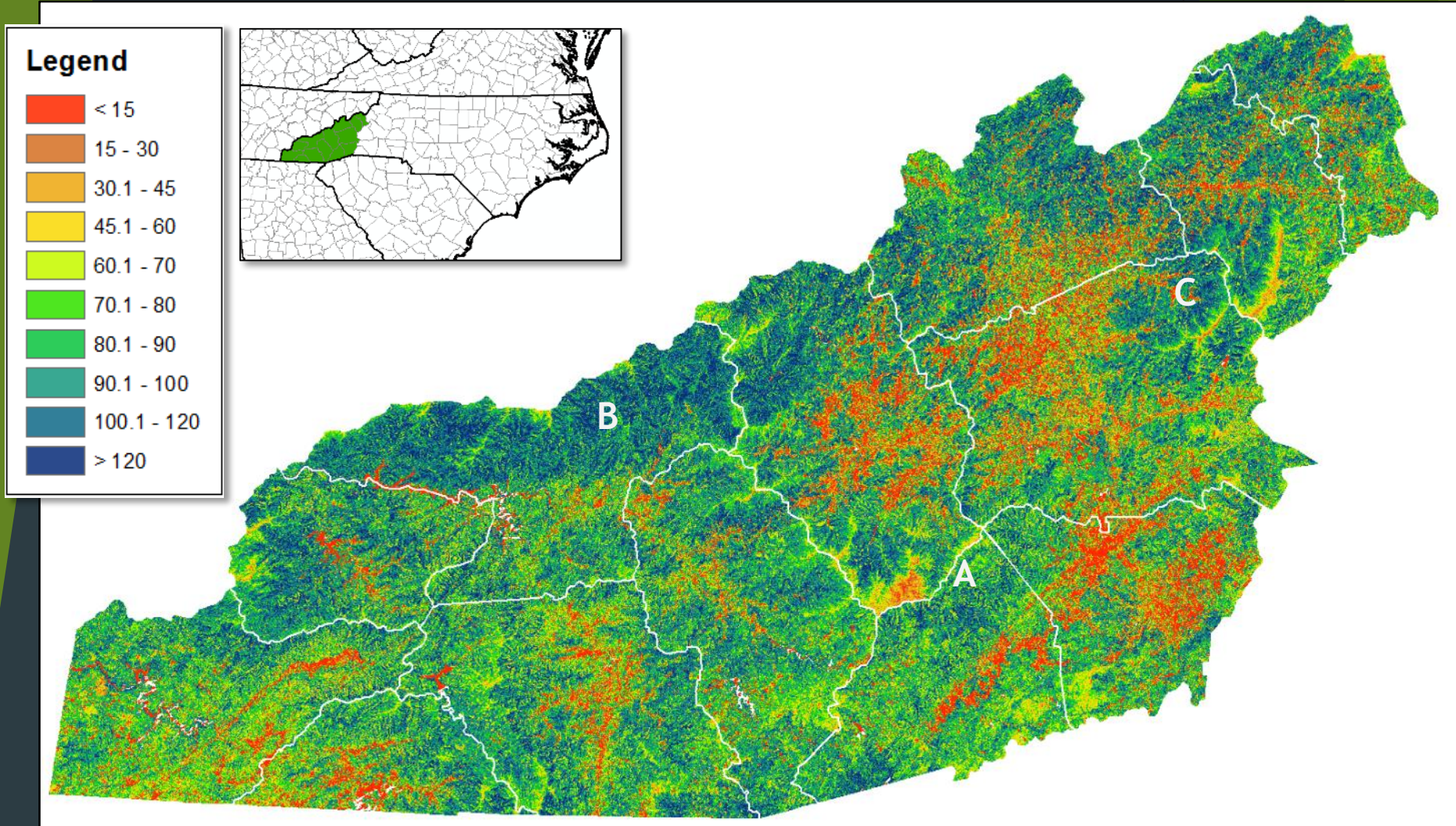
- (1) Why do we care about forest structure?
- (2) Estimating gridded forest structure from LiDAR
- (3) Gridded data and products:
  - Maximum vegetation height
  - A classification of structural types

*Project  
Area*



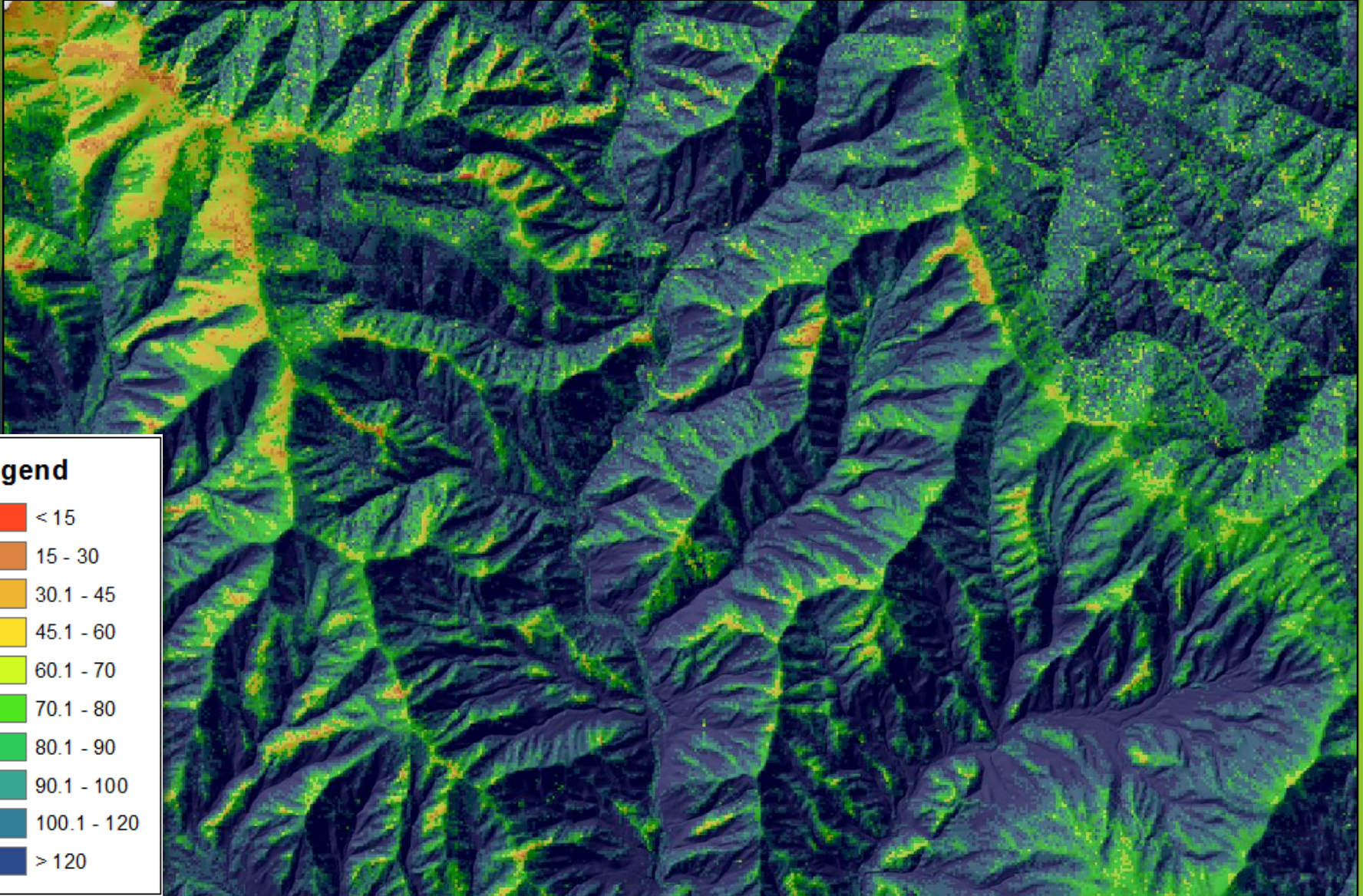
# Products: Maximum vegetation height

Focal area: 13-county area of western NC



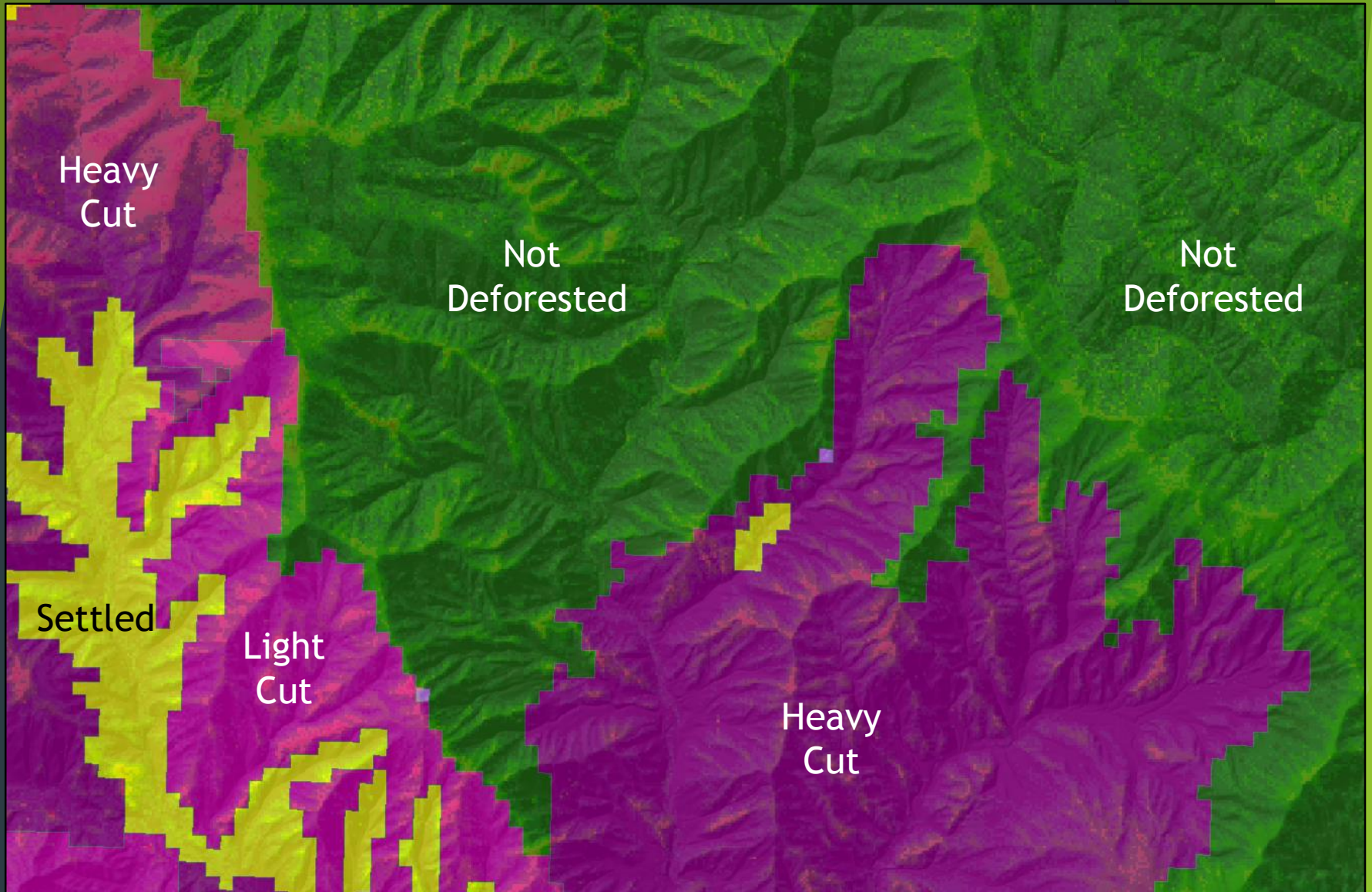
# Products: Maximum vegetation height

## Bradley Fork (upstream of Smokemont) GSMNP



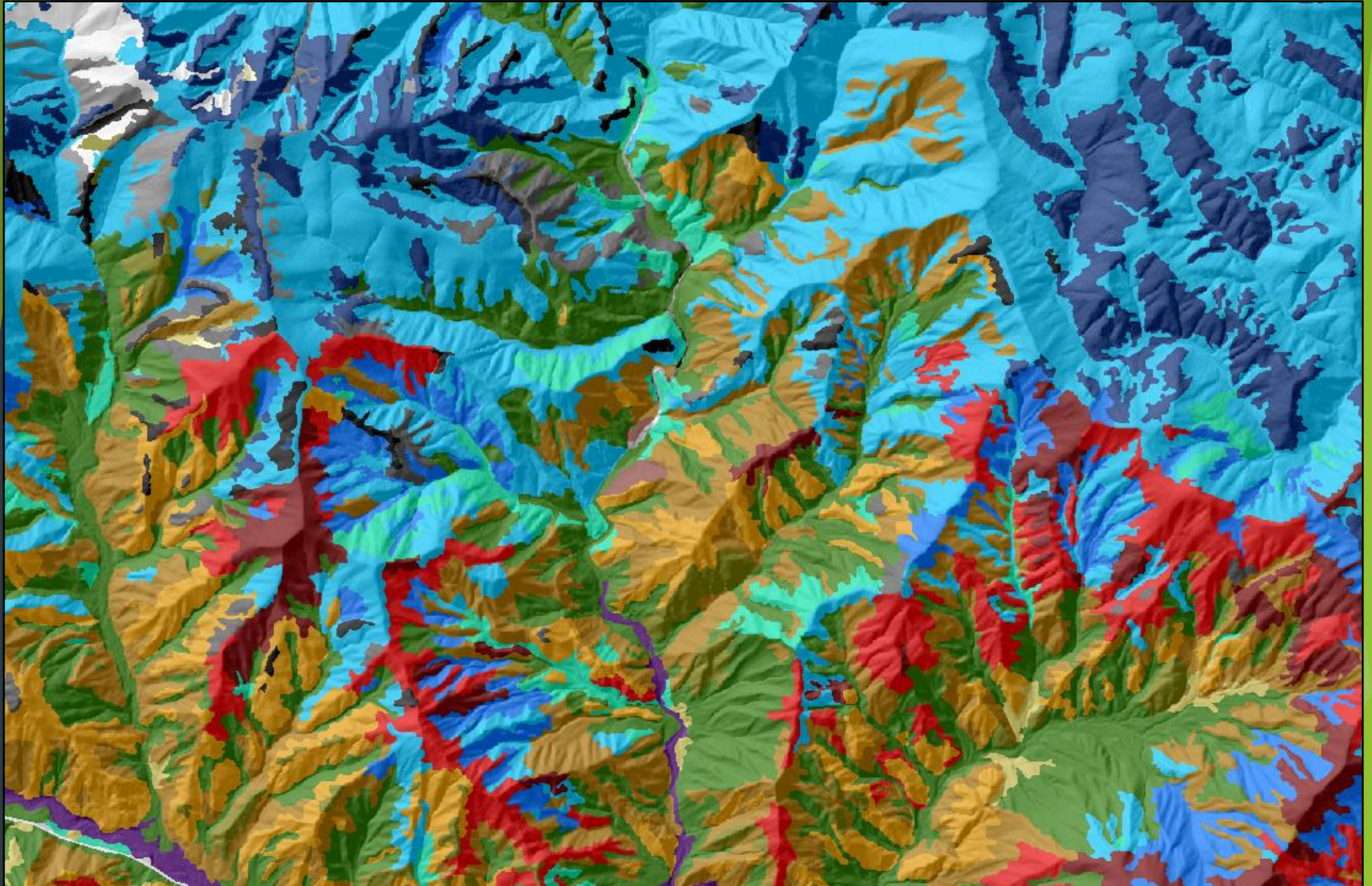
# Landscape disturbance history

Bradley Fork (upstream of Smokemont) GSMNP

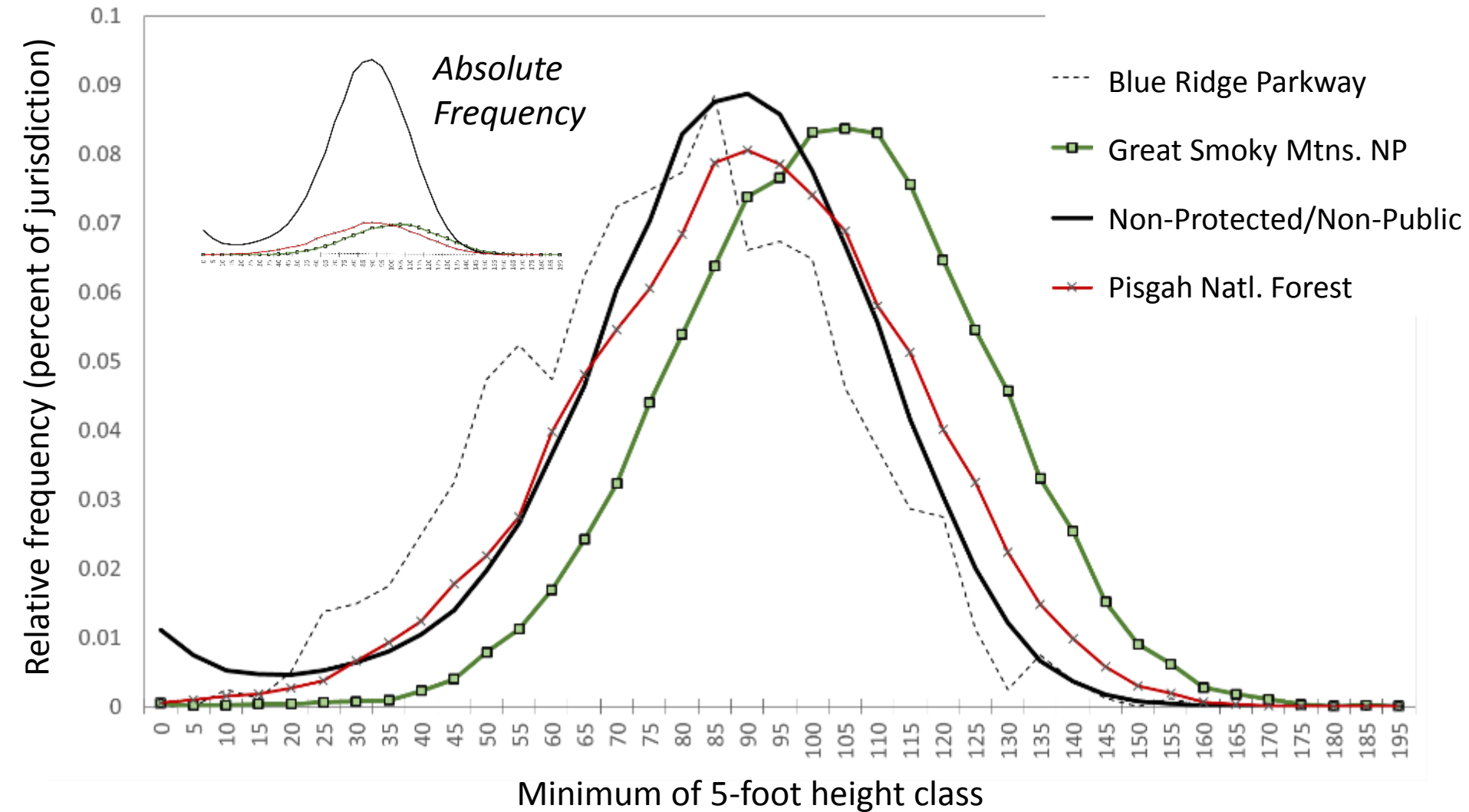


# Landscape compositional types

Bradley Fork (upstream of Smokemont) GSMNP



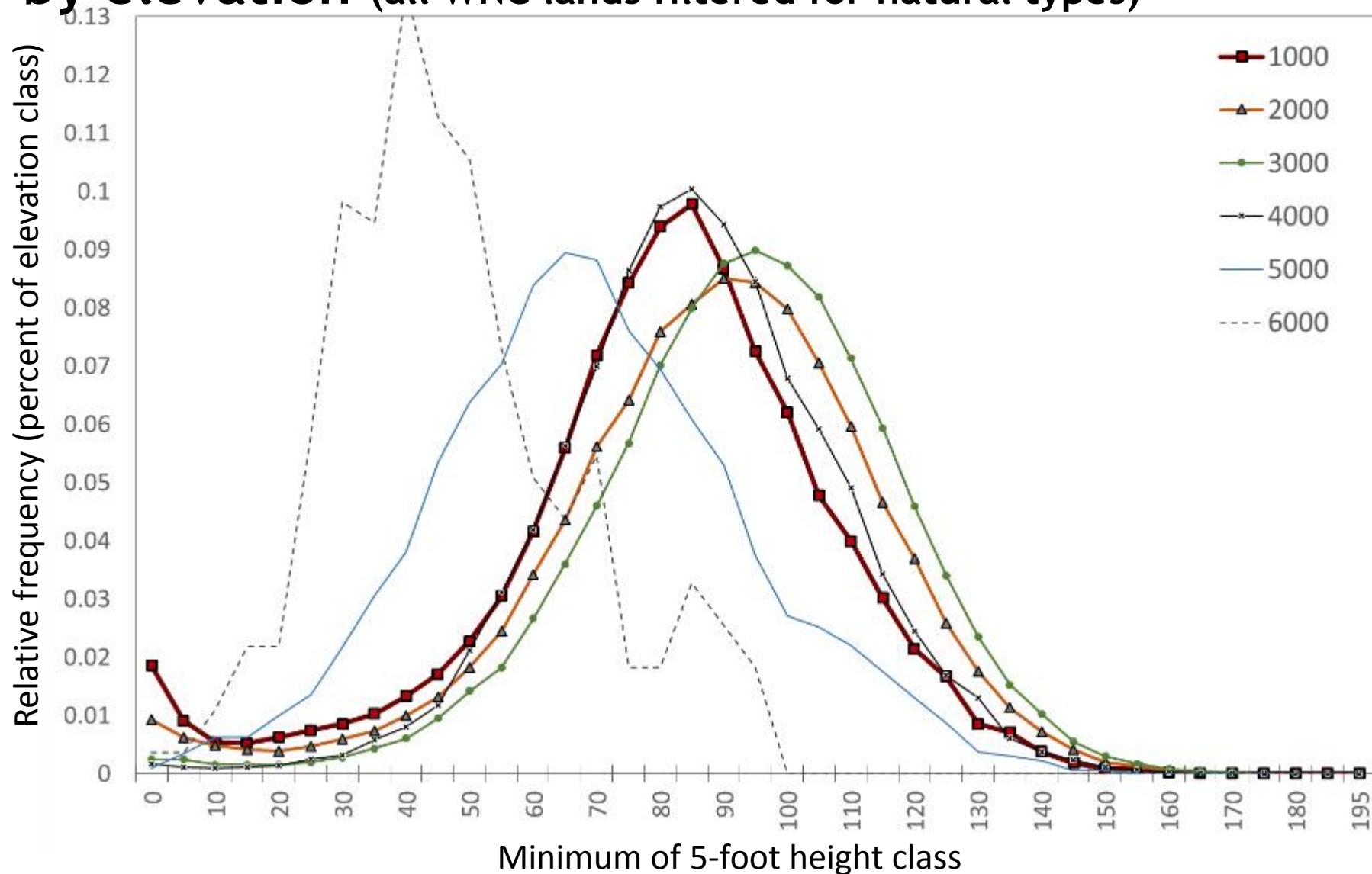
# Landscape distributions of maximum tree height by jurisdiction (using a NLCD filter for all WNC natural types)



N= BRP: 802; GSMNP: 19,839; Non: 120,514; Pisgah NF: 21,991 (Sum: 163,146)

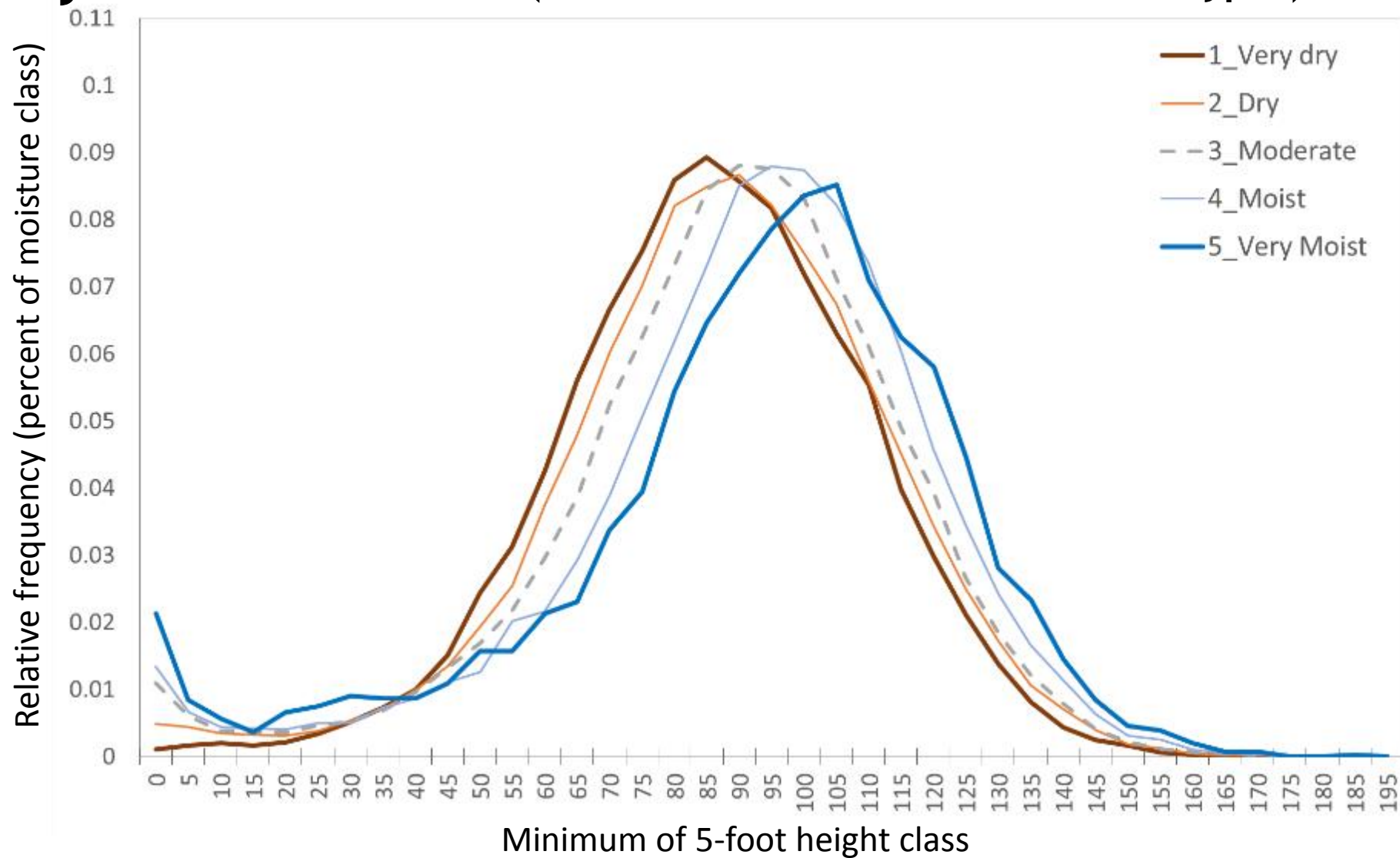


# Landscape distributions of maximum tree height by elevation (all WNC lands filtered for natural types)



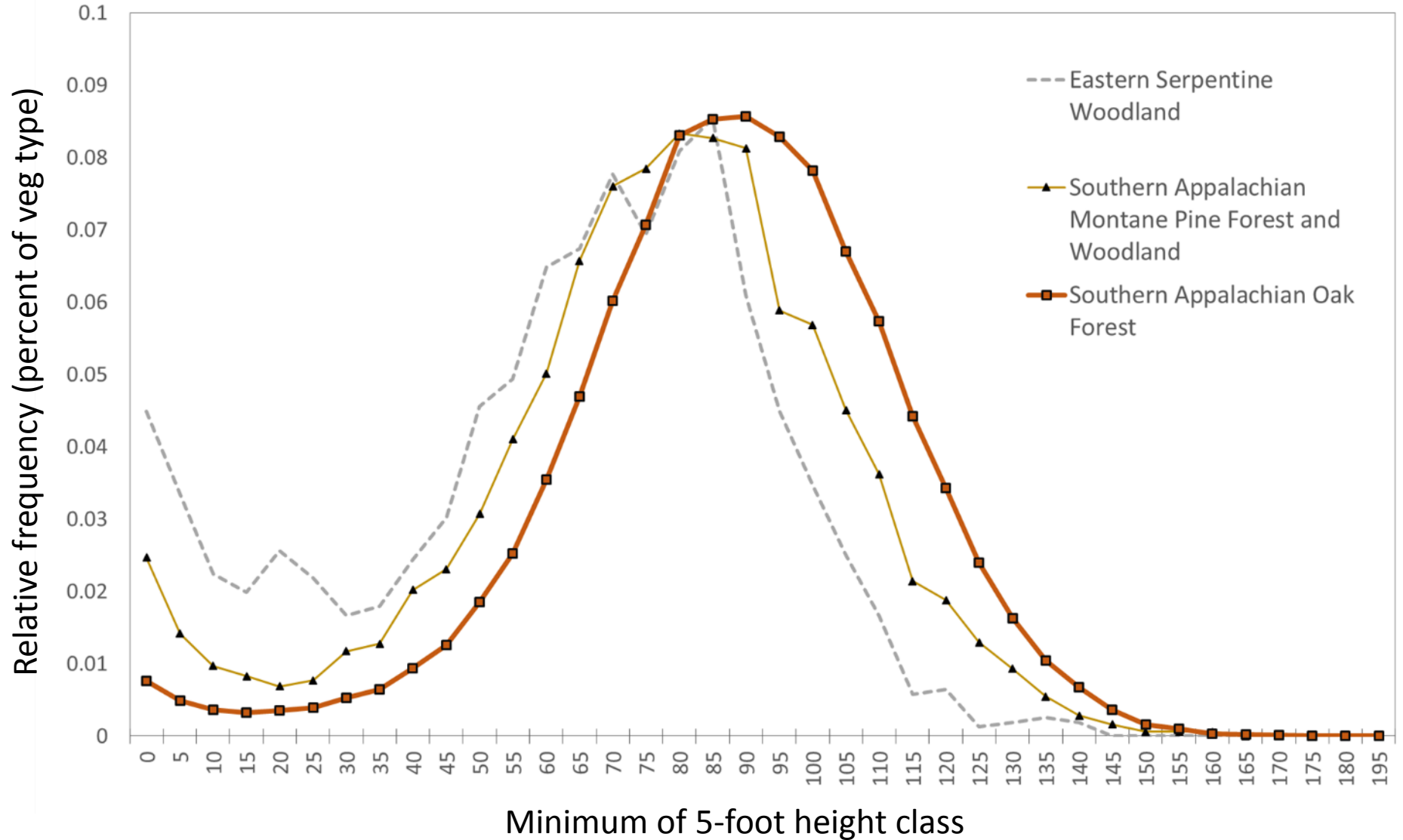
*N=210,248 randomly sampled 20x20m LiDAR grid cells*

# Landscape distributions of maximum tree height by moisture index (all WNC lands filtered for natural types)



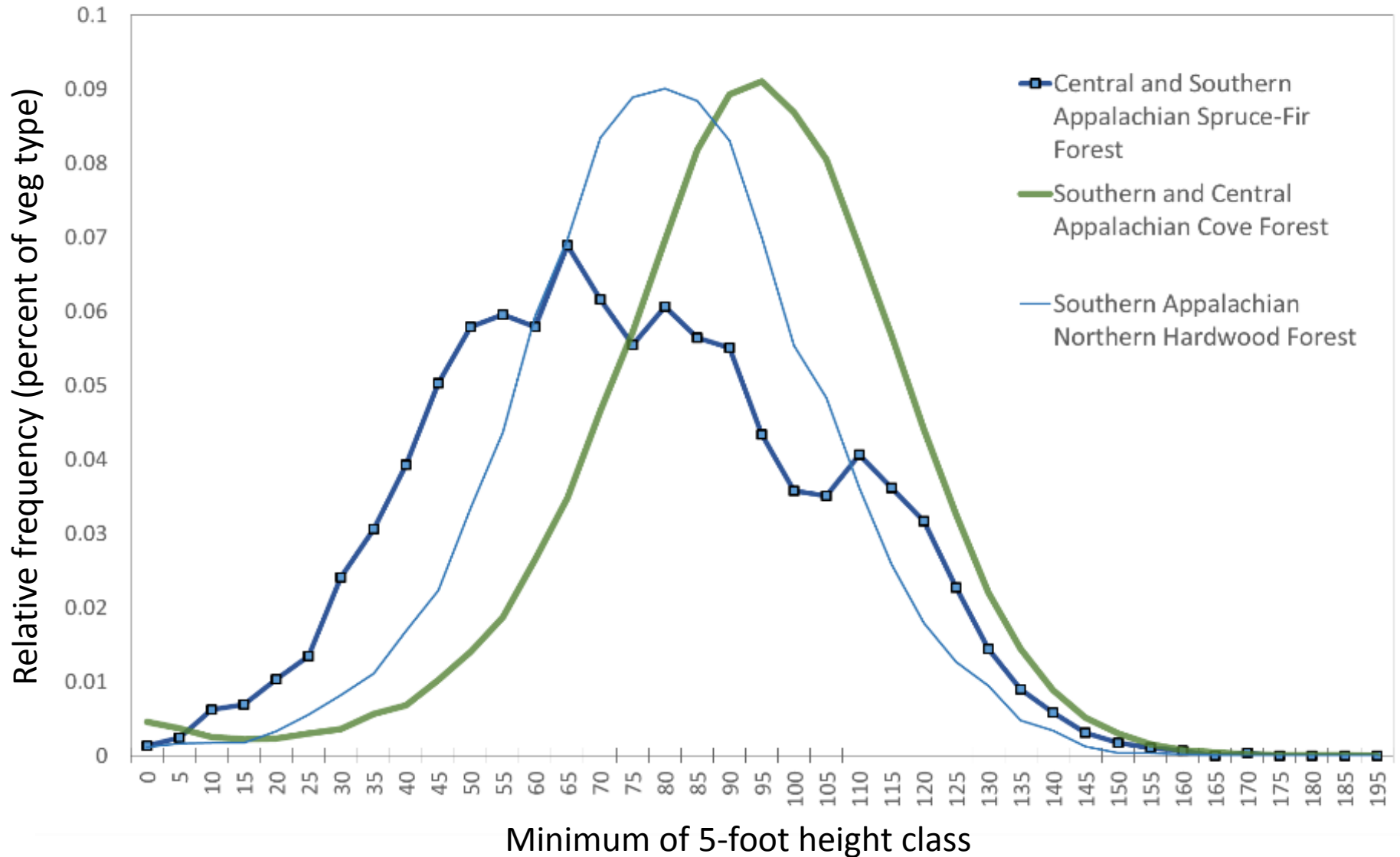
*N=210,248 randomly sampled 20x20m LiDAR grid cells*

# Landscape distributions of maximum tree height for selected xeric Landfire existing vegetation types



*N= Serpentine woodland: 1,558; Pine forest-woodland: 4,945; Oak forest: 81,786*

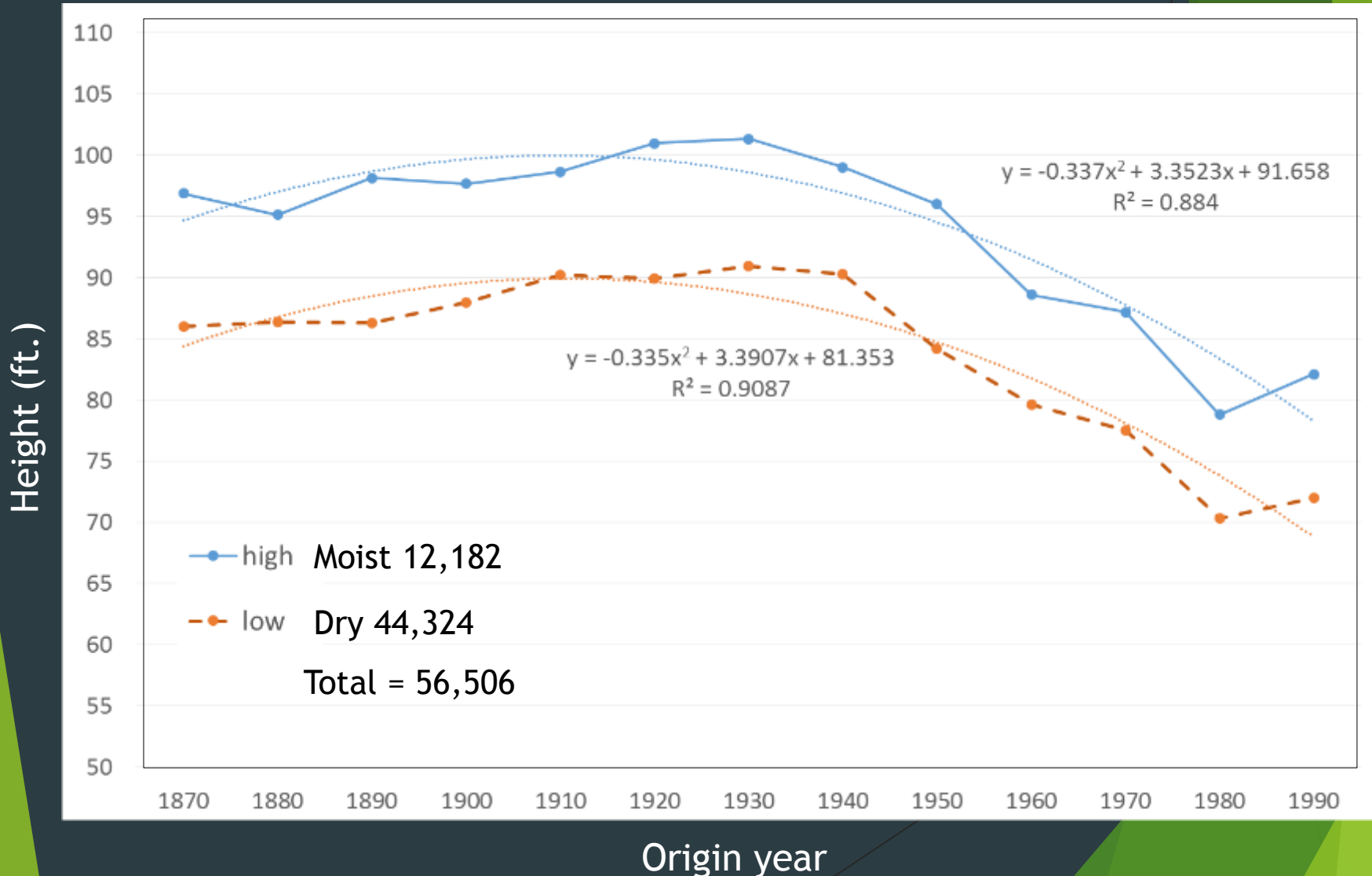
# Landscape distributions of maximum tree height for selected mesic Landfire existing vegetation types



*N= Spruce-fir forests: 2,904; Cove forests: 77,956; Northern Hardwood: 11,802*

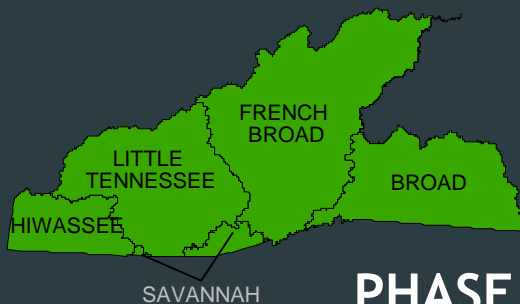
# Mean height of stands of different origin years

## Pisgah and Nantahala NFs, NC



# A classification of structural types

- (1) First, the above ground height for each point was calculated using a high resolution (LiDAR-based) digital elevation model.
- (2) Points were then grouped into 5 ft height bands for each 60 ft grid cell.
- (3) Relative density was calculated to overcome the problem of variable coverage intensity from overlap in flight lines. This gives the band's percent of the total point cloud that constitutes the grid cell's vegetation profile.
- (4) These relative profile densities were then used as inputs into a non-hierarchical K-means clustering algorithm to reiteratively determine 10, 20, 40, 75 and 200 unique vegetation structural types.



## PHASE III

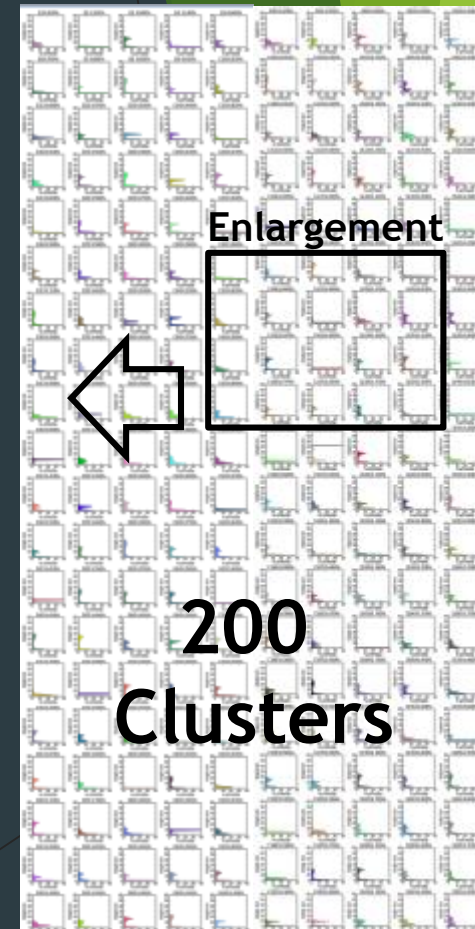
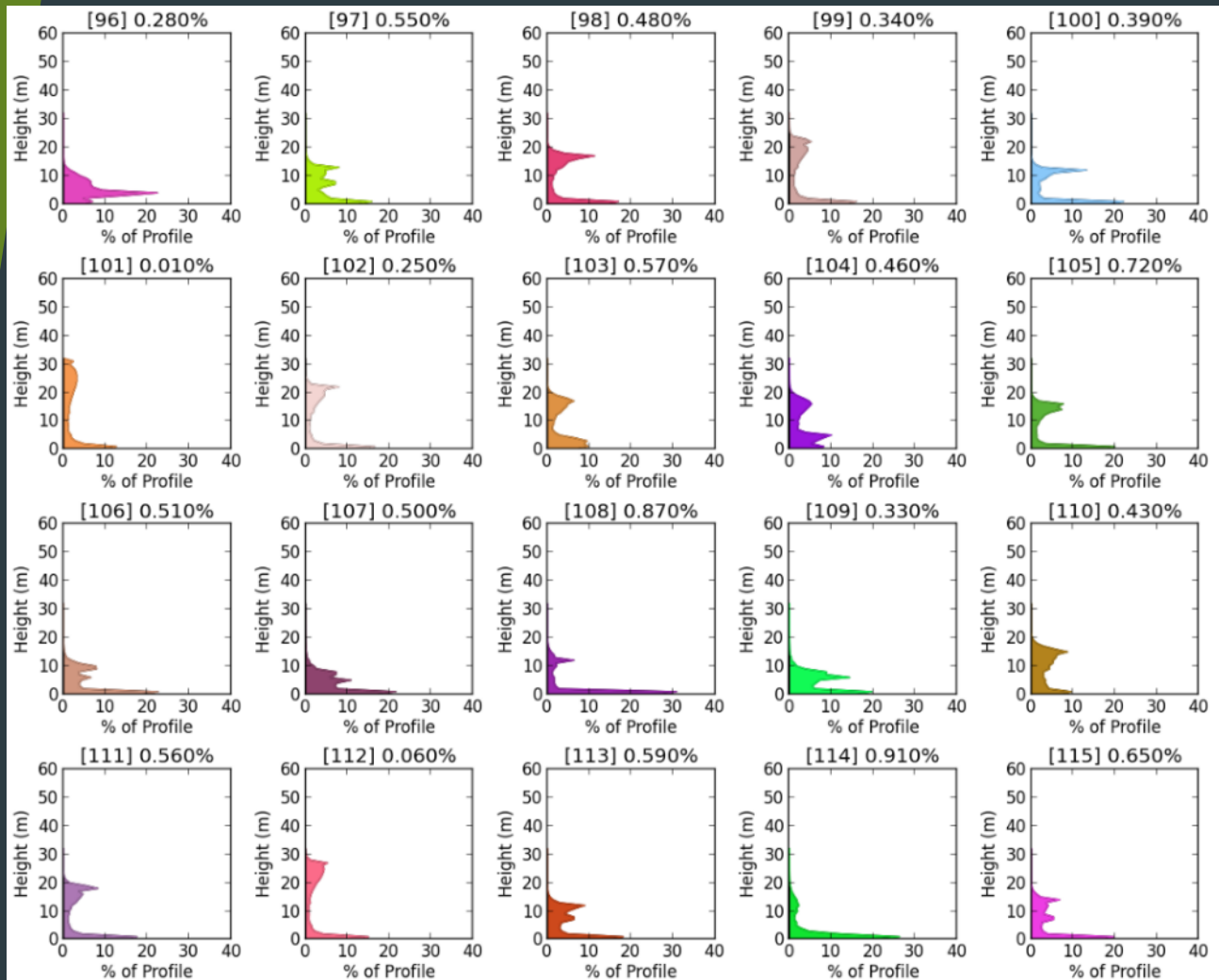
Processing was conducted using a supercomputer at Oak Ridge National Lab, TN.



# A classification of structural types

## LiDAR relative density profiles for clusters

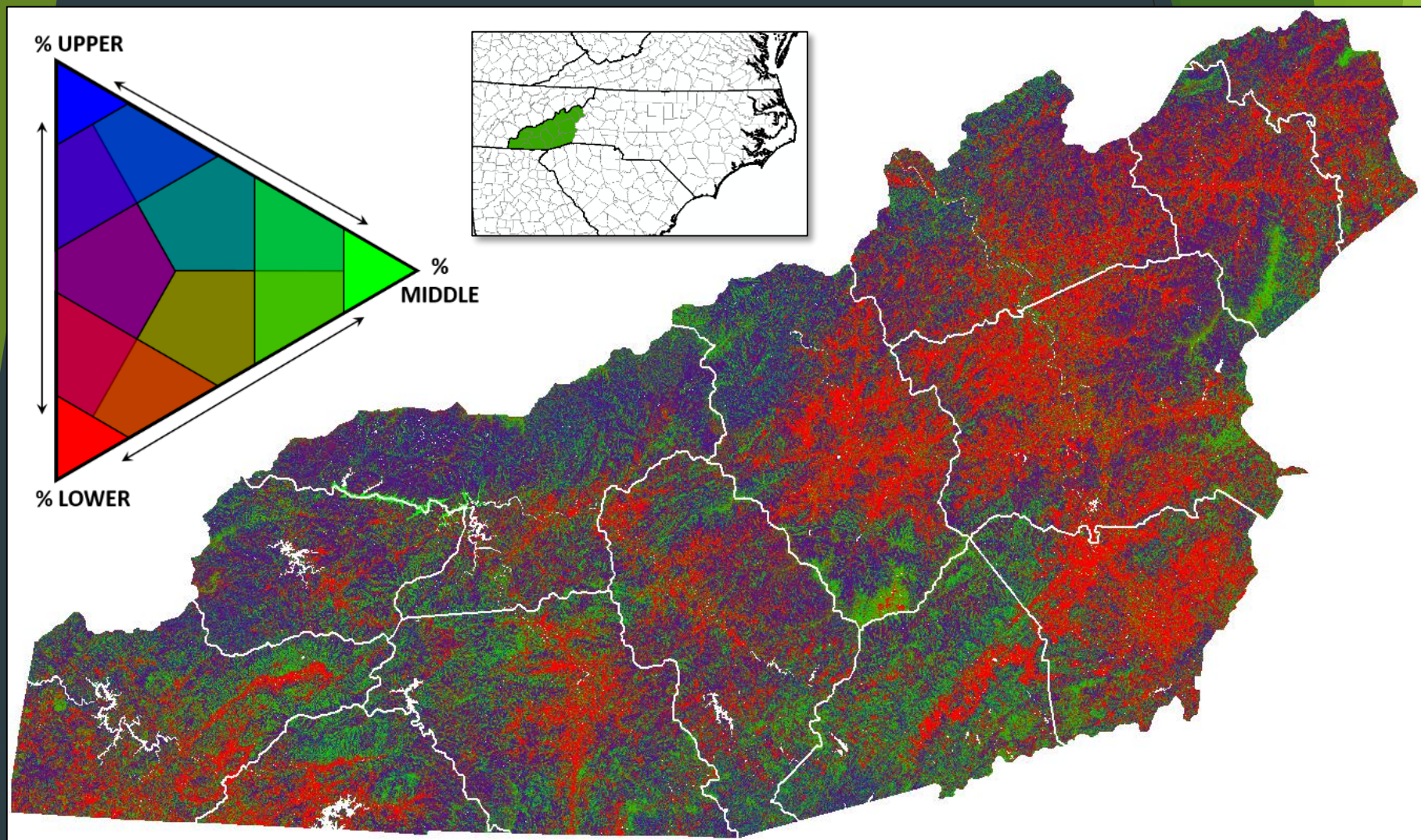
Height



5-foot height band's percent of profile

# A classification of structural types

Tri-polar (R-G-B) colors on three height zones

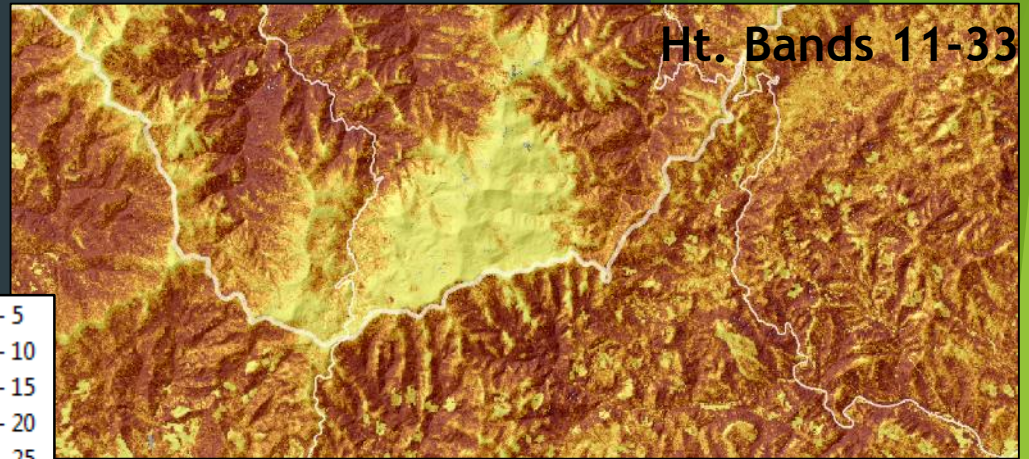
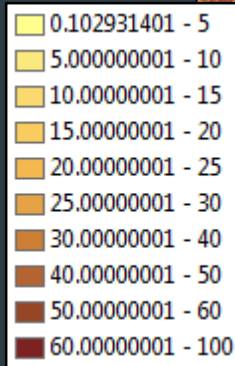




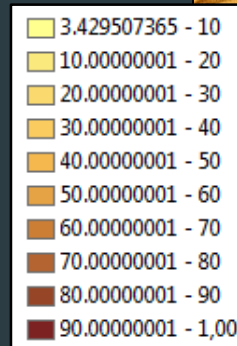
# A classification of structural types

Relative proportion of LiDAR returns in Upper (bands 11-33), mid (6-10) and lower (1-5) fixed height bands for the Greater Shining Rock Wilderness Area, Pisgah NF and Blue Ridge Parkway

Percent

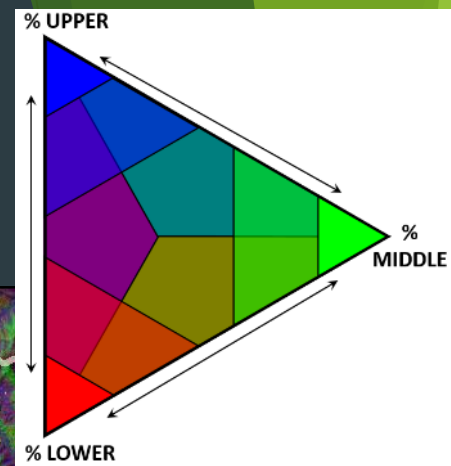
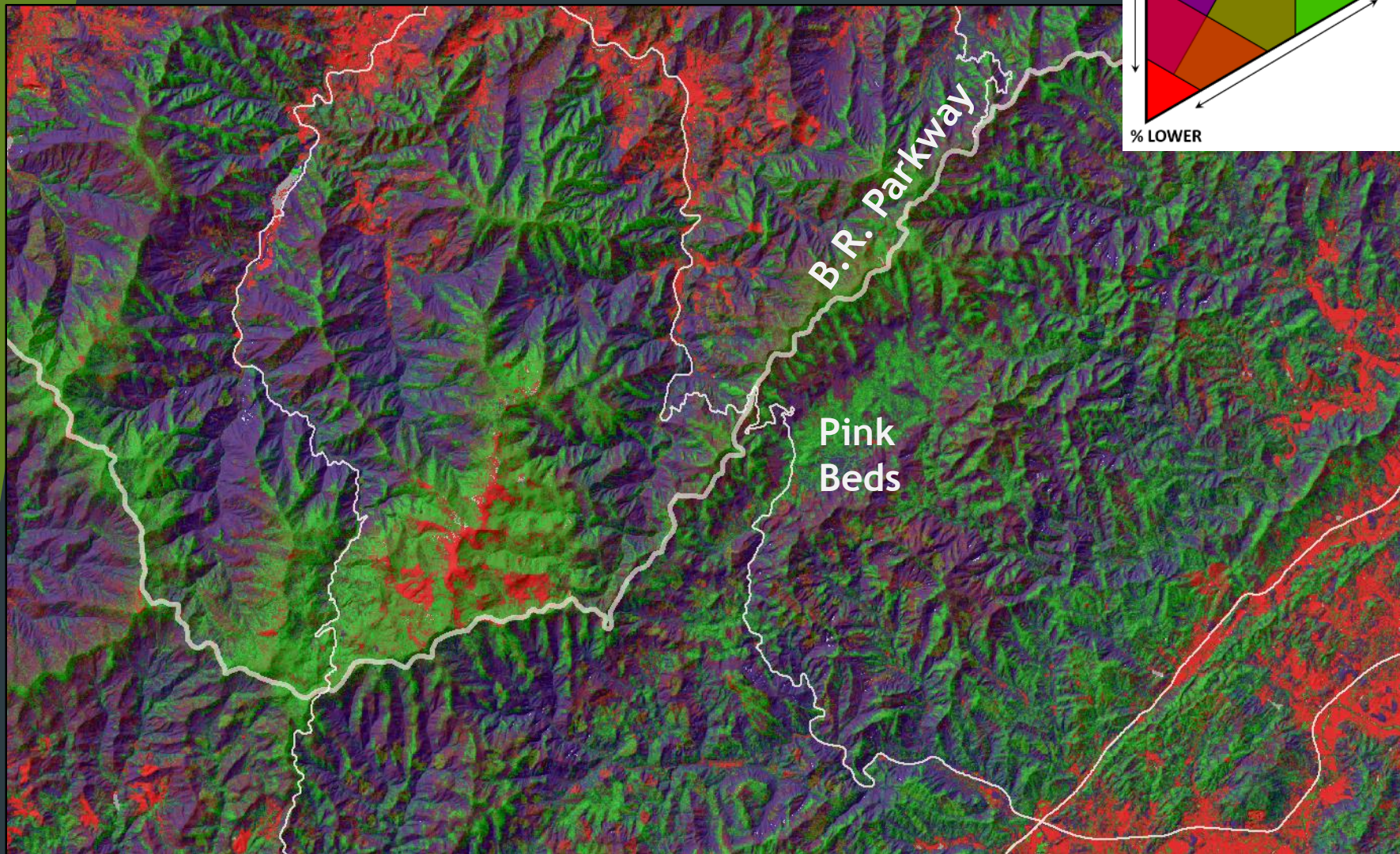


Percent



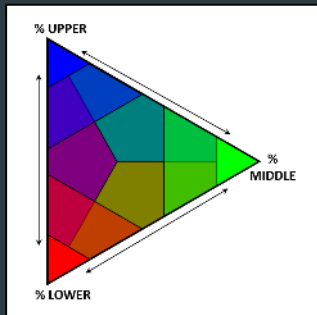
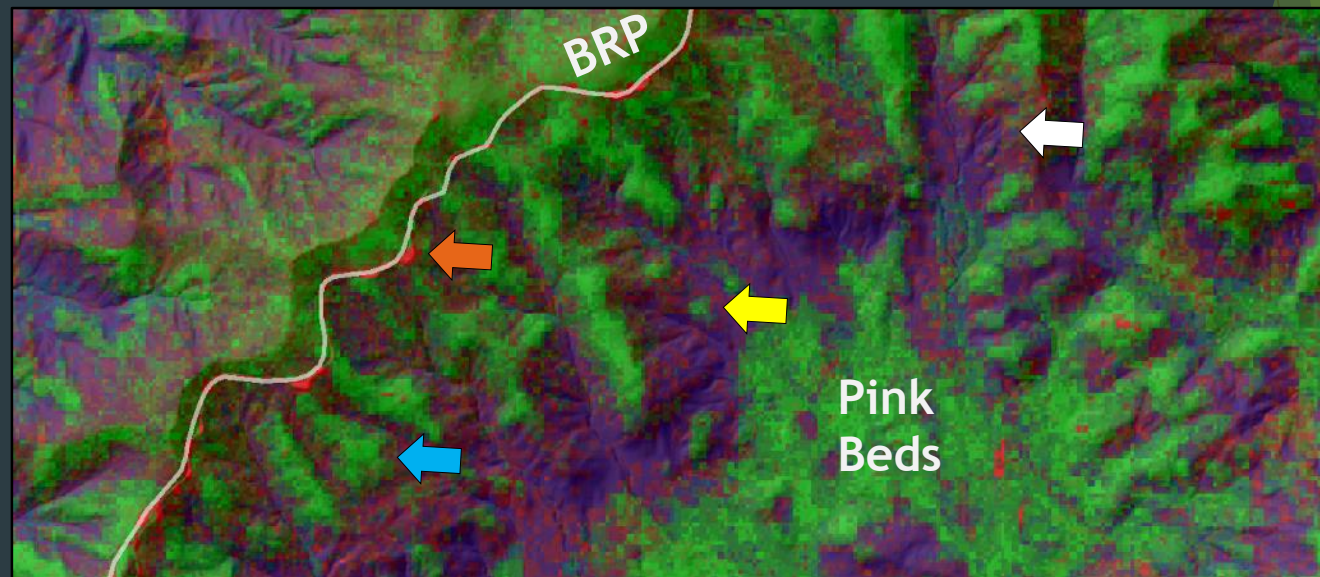
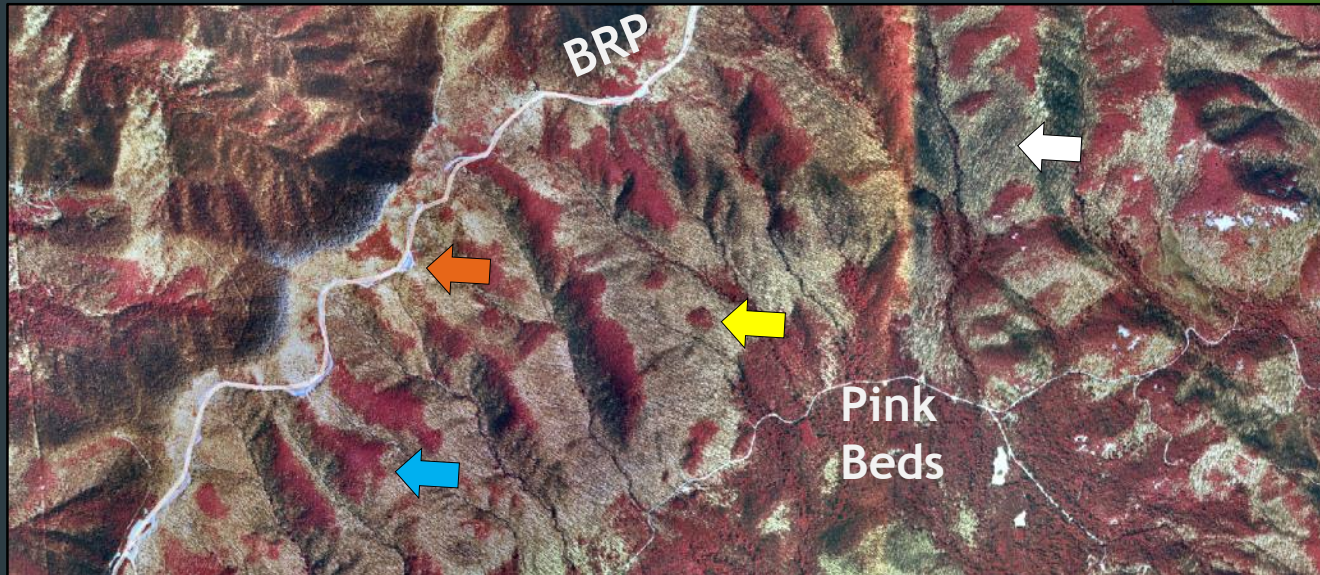
# A classification of structural types

Pink Beds and Cold Mountain, Pisgah NF



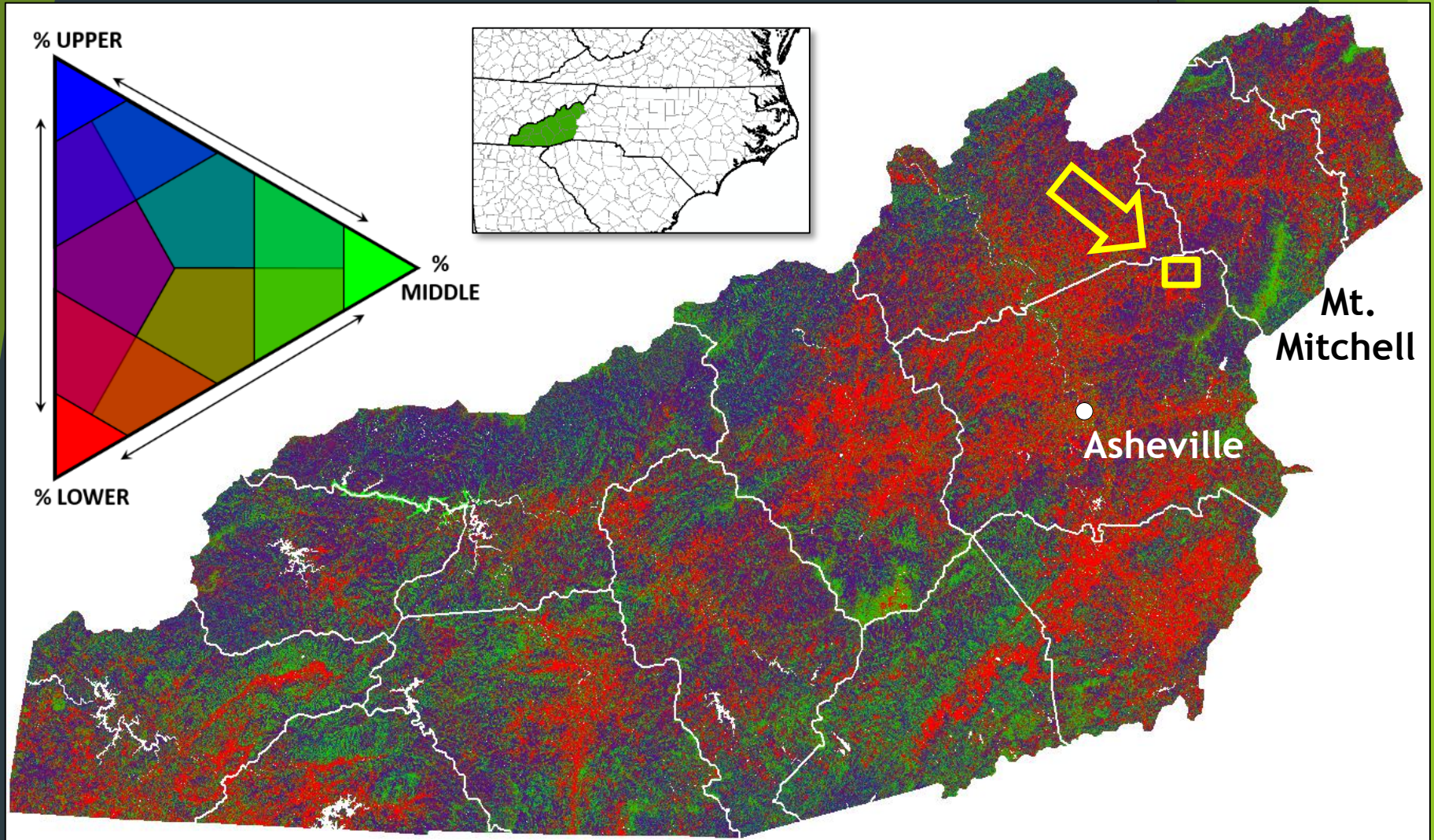
# A classification of structural types

Detectability of key understory attributes Pink Beds, Pisgah NF



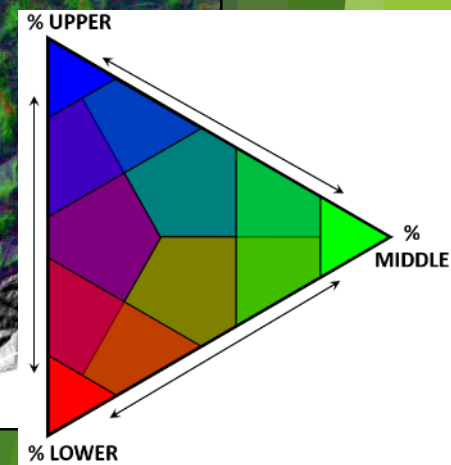
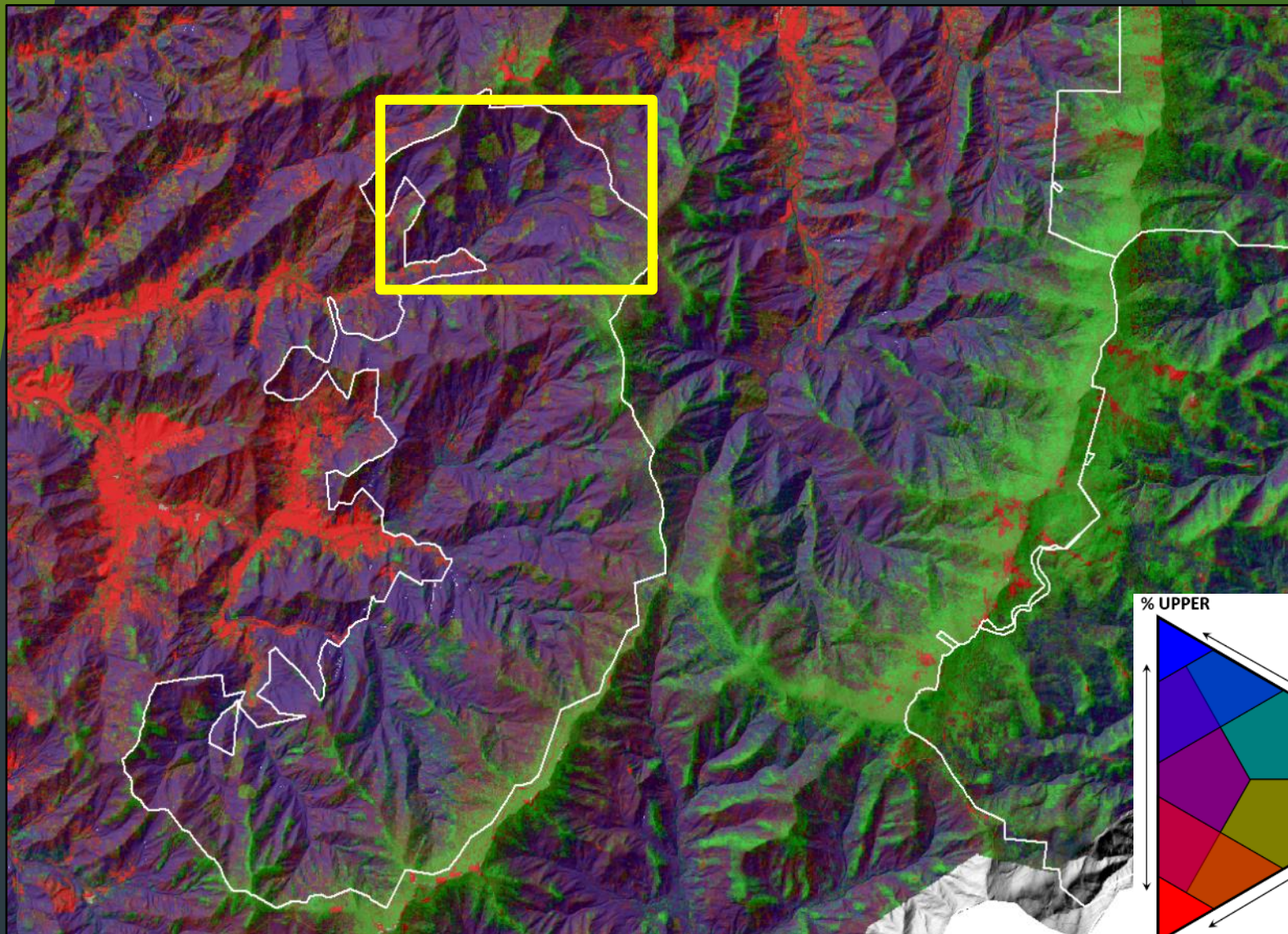
# Focal area

NE Buncombe County, Pisgah National Forest

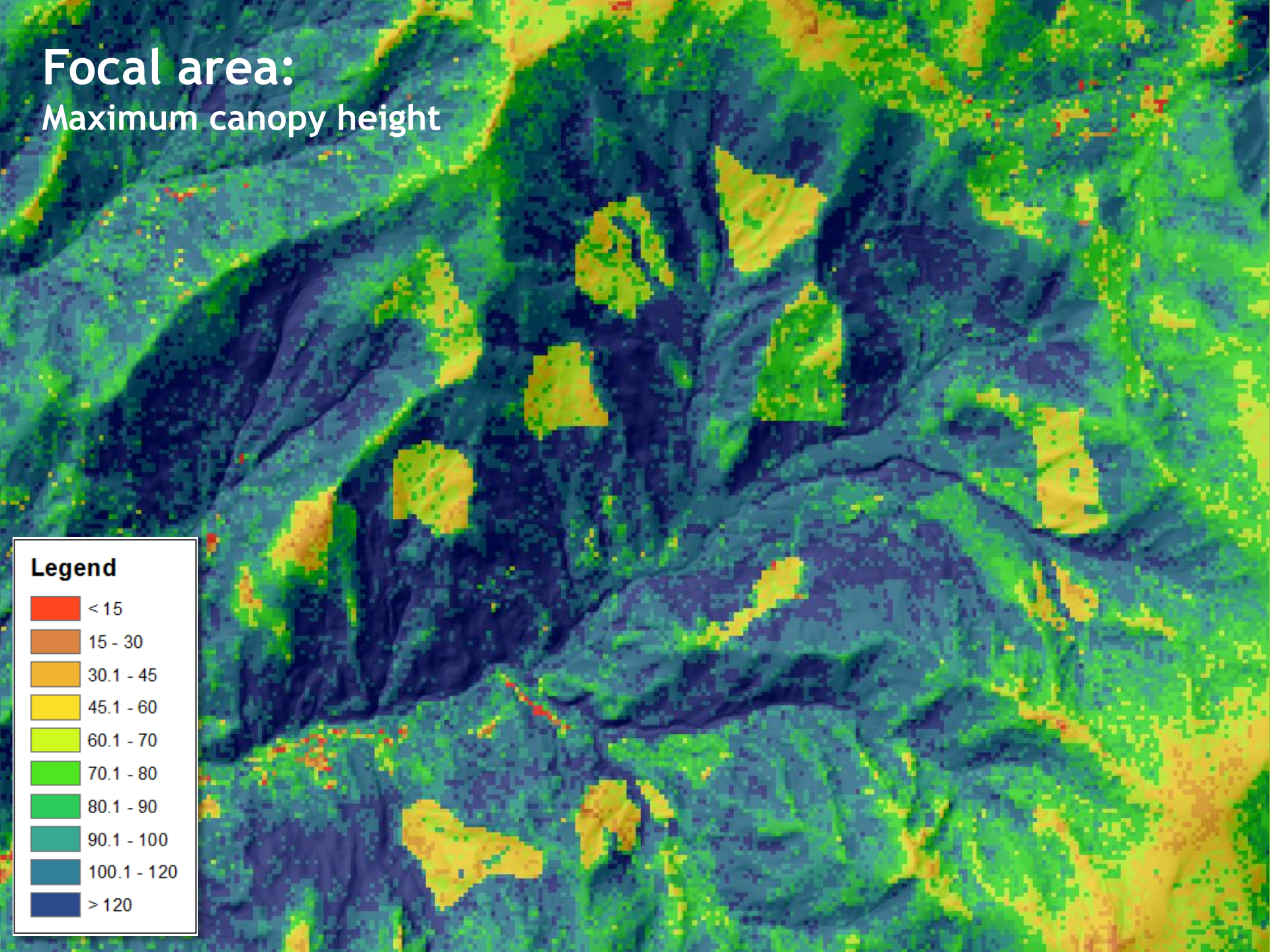


# Focal area:

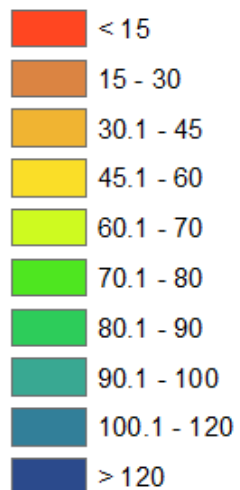
## Classification of structural types



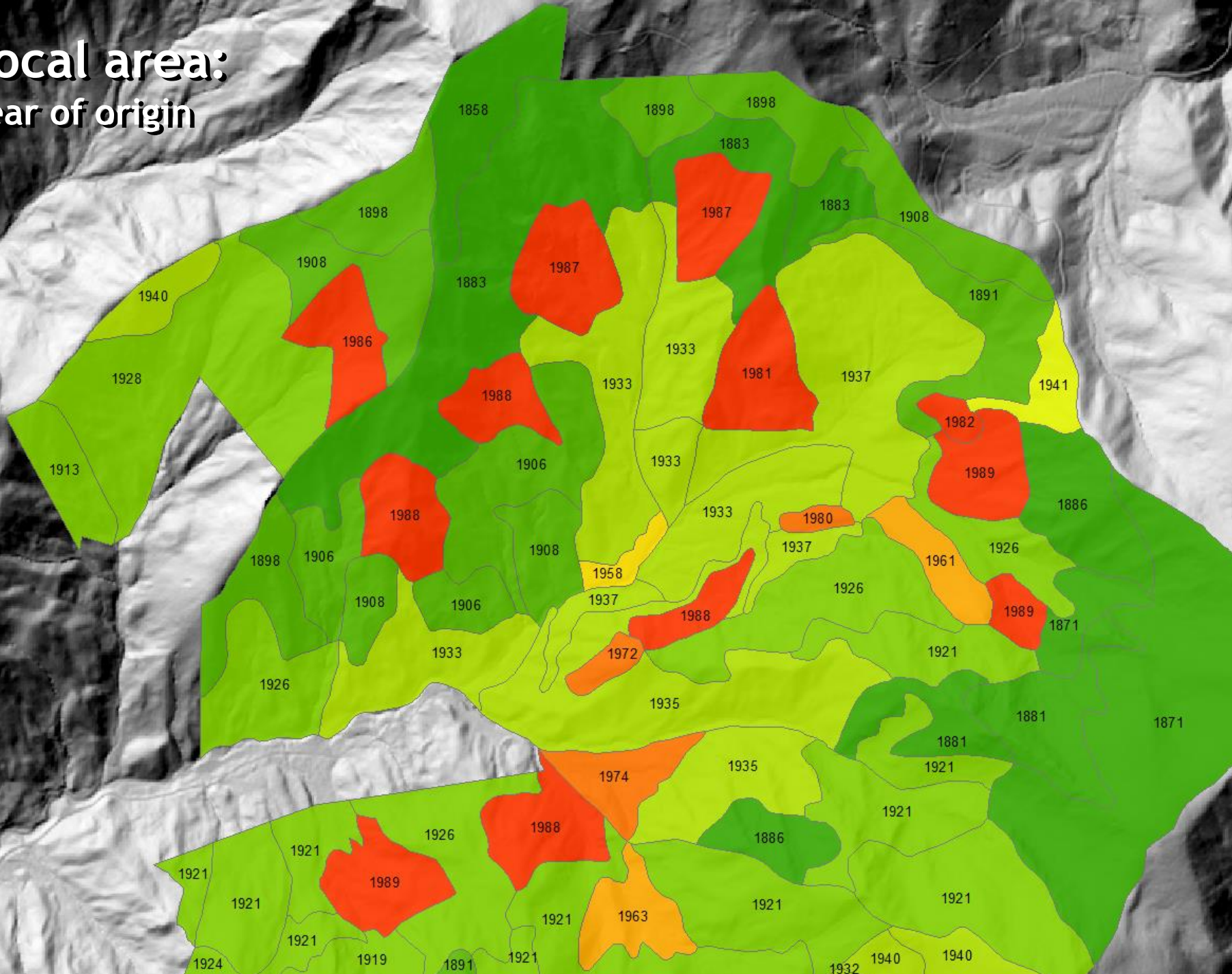
# Focal area: Maximum canopy height



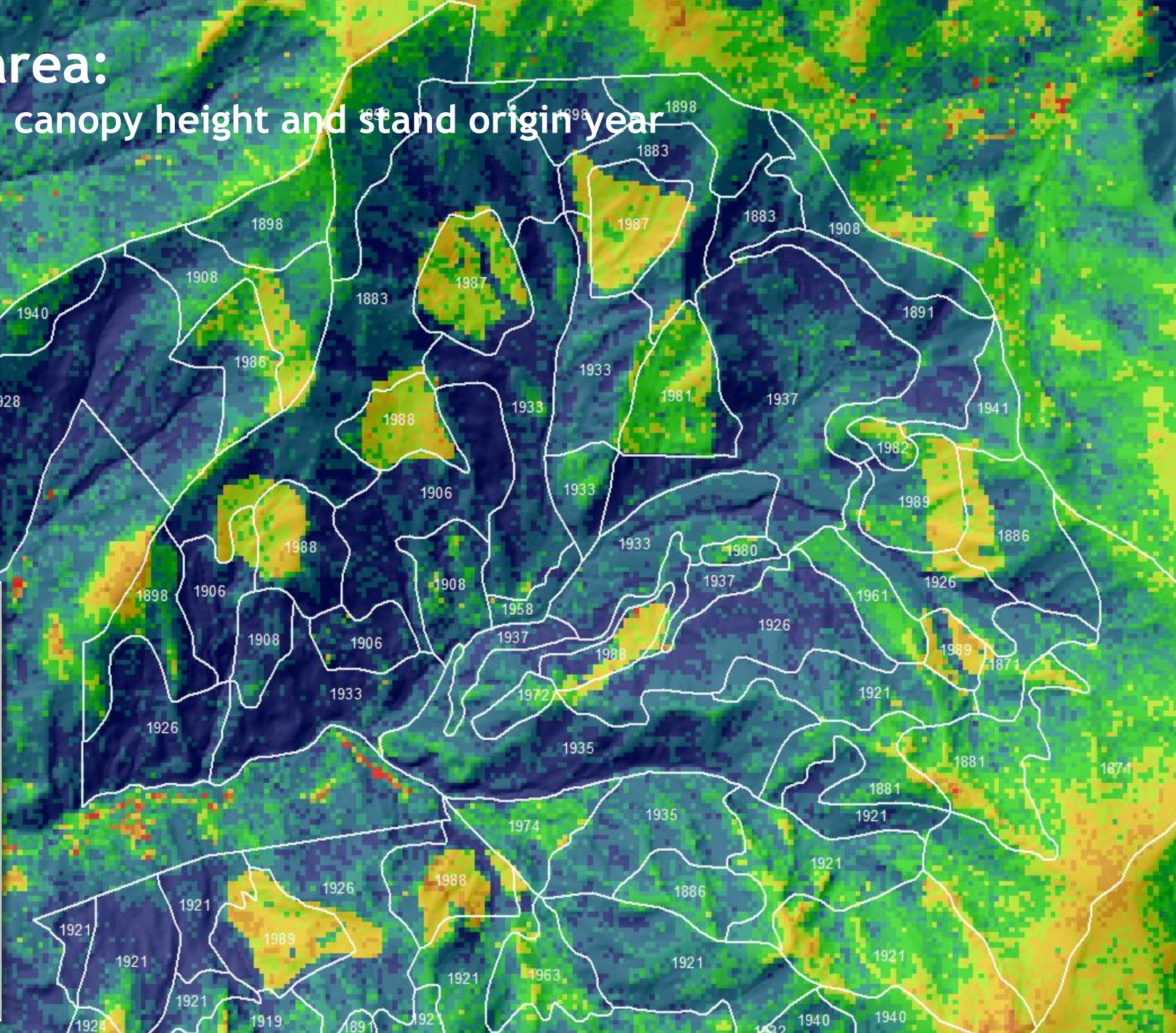
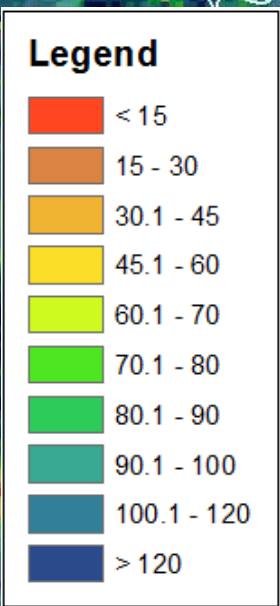
## Legend



# Focal area: Year of origin

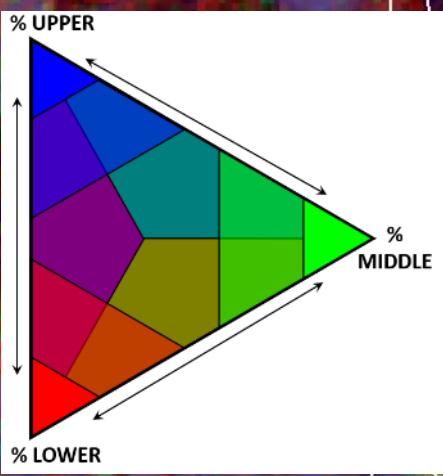
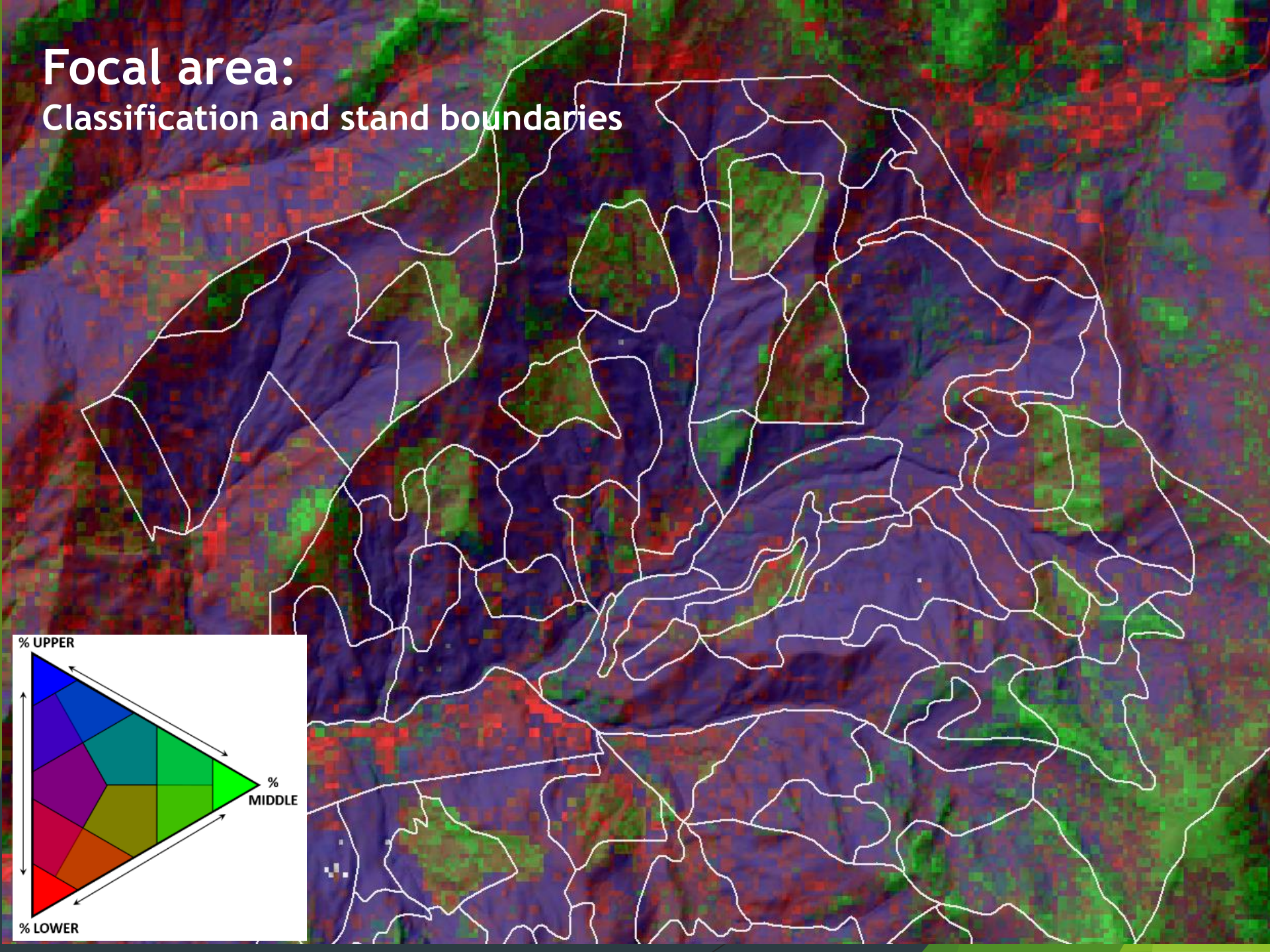


# Focal area: Maximum canopy height and stand origin year

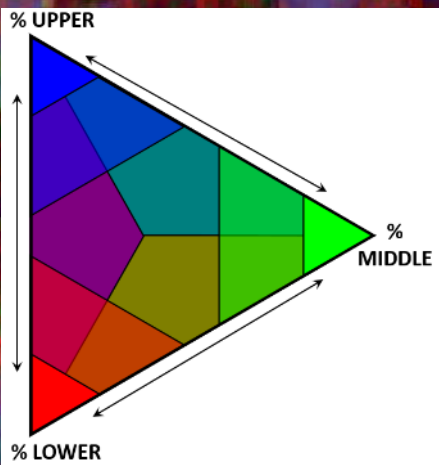
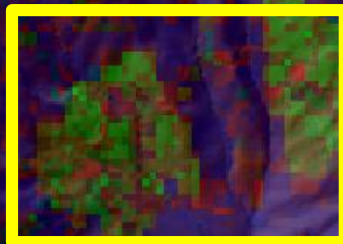




# Focal area: Classification and stand boundaries



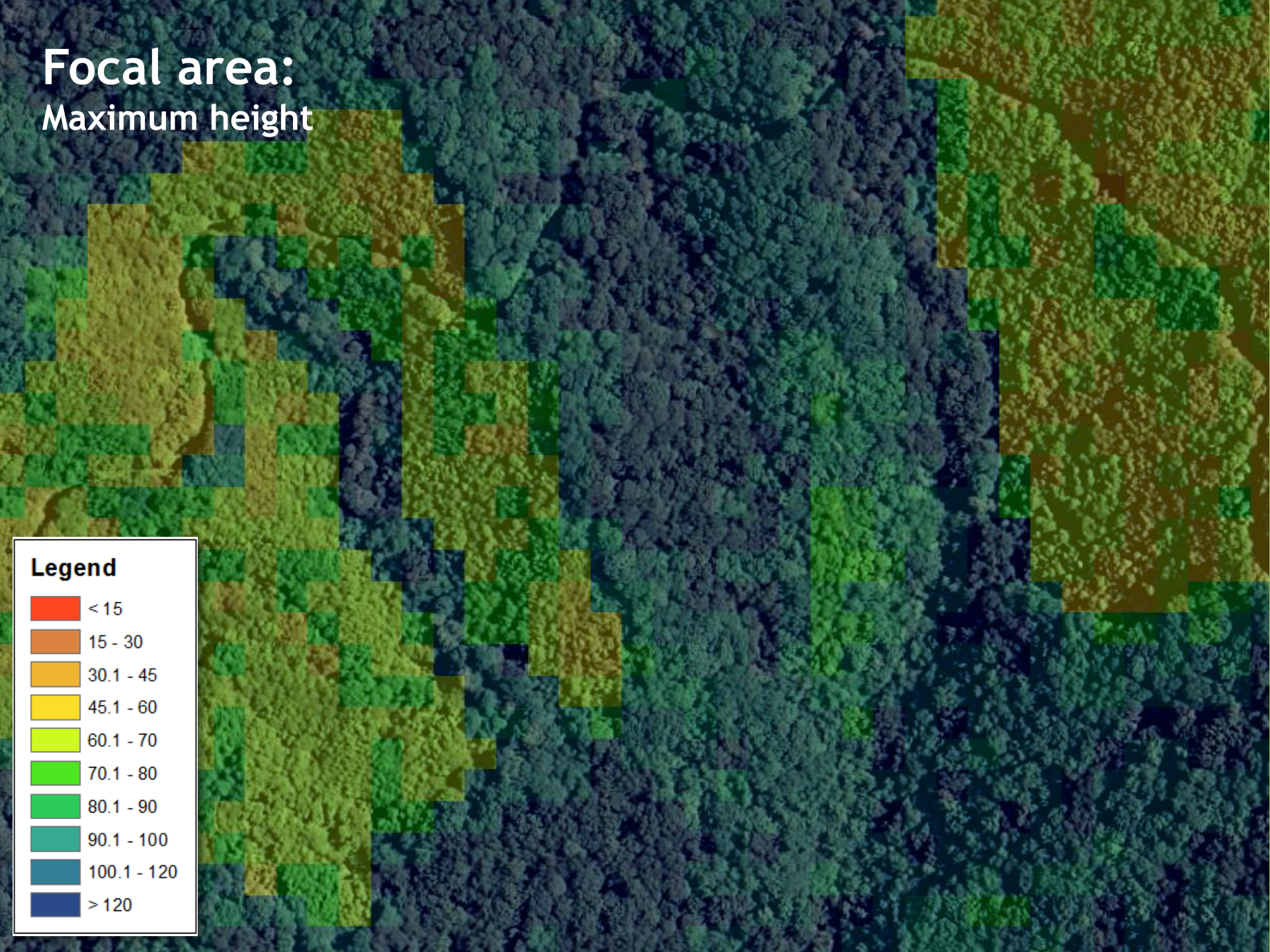
# Focal area: Classification of structural types



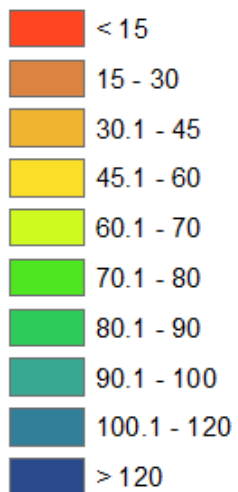
**Focal area:**  
Aerial photo



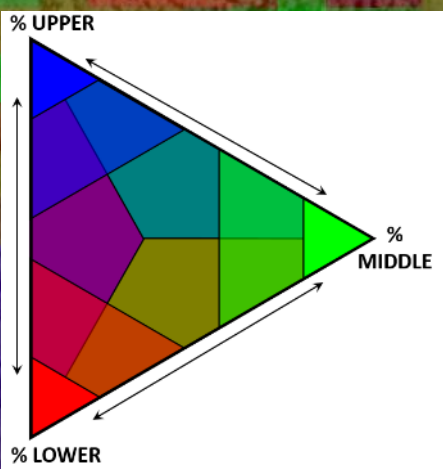
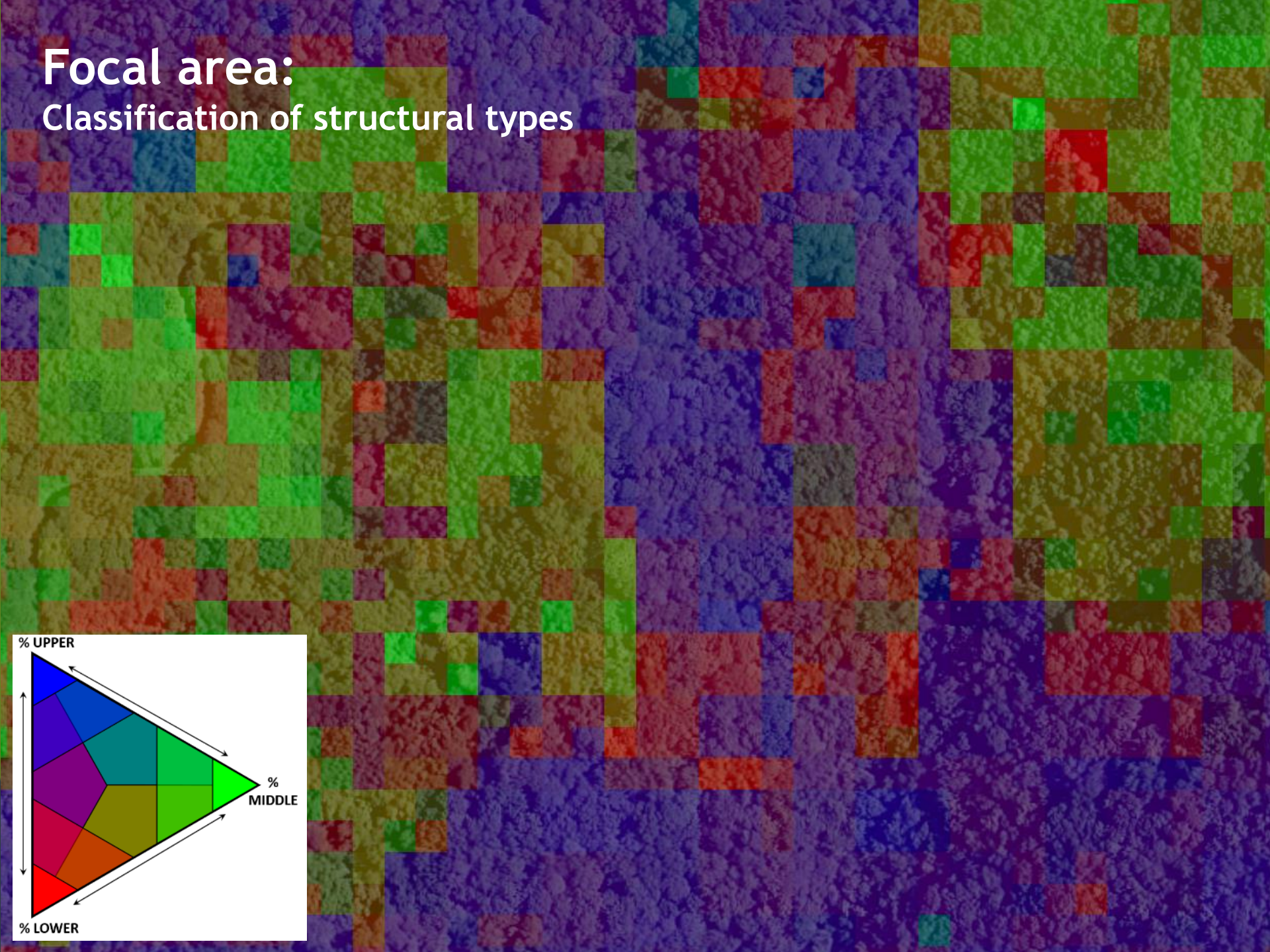
# Focal area: Maximum height



## Legend

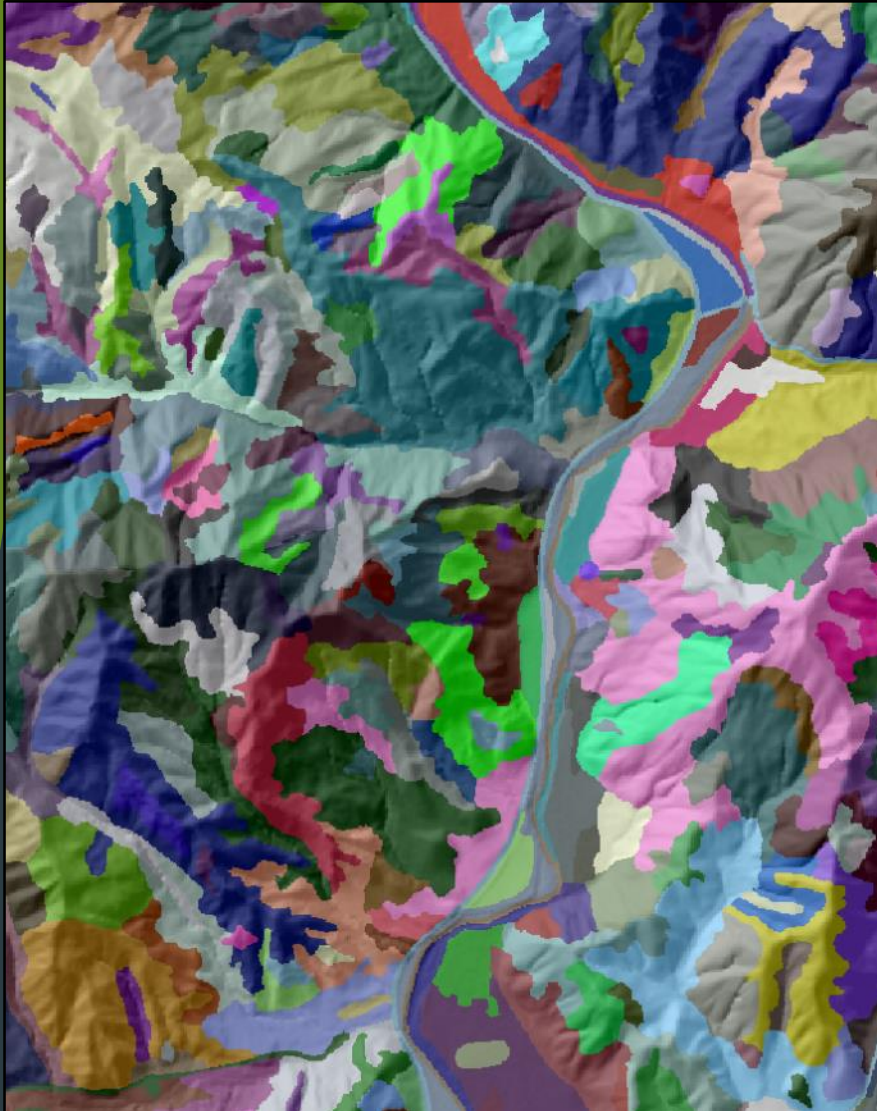


# Focal area: Classification of structural types

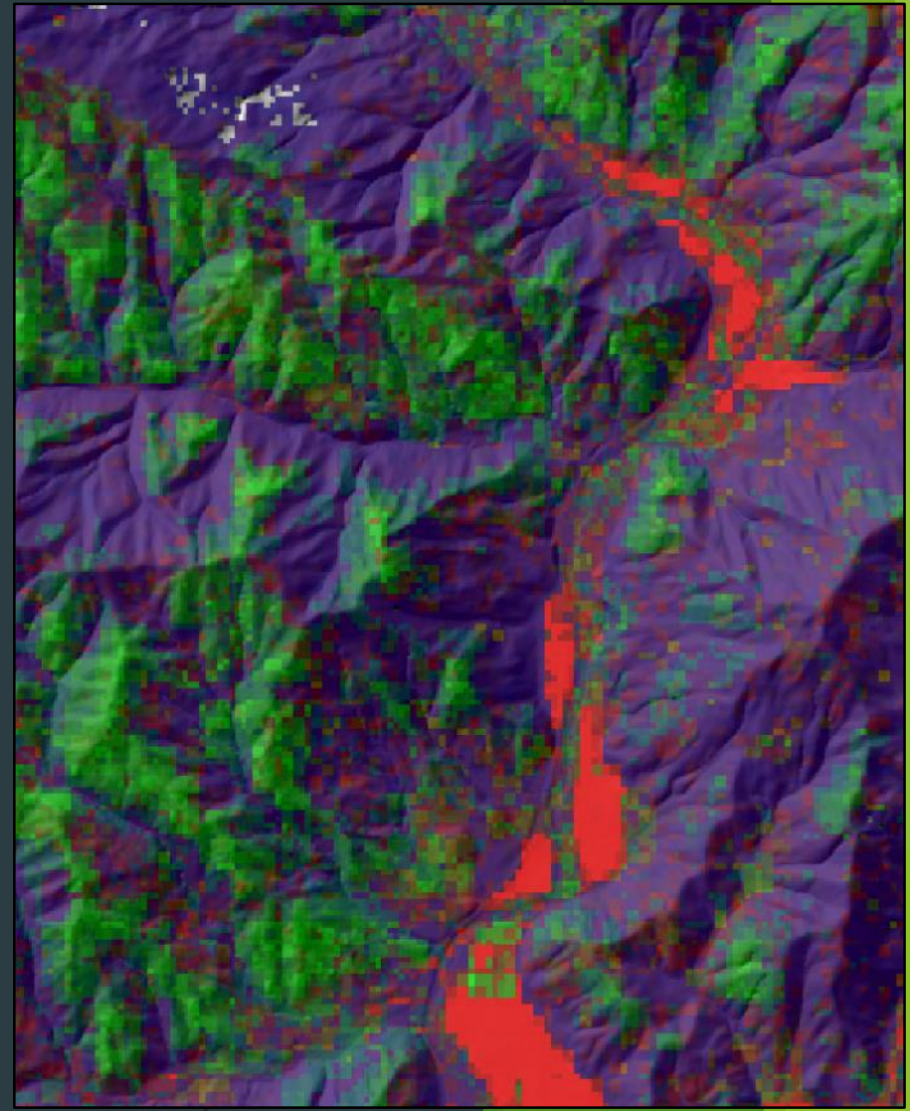


# LiDAR products nuance our map units

*Compositional Classification*



*Structural Classification*



# Conclusions

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- (1) LiDAR based maps of gridded forest structure capture continuous variability in forest structure along environmental gradients and within units having similar “cut dates” and disturbance histories.
- (2) Therefore, LiDAR products can provide powerful additional information to complement existing vegetation type and stand management maps.
- (3) Existing and upcoming LiDAR-based products overcome substantial data processing hurdles. By leveraging institutional capabilities, technology is made accessible for both local and landscape applications.
- (4) Many potential applications await your imagination.

# Contact

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