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# Does Marine Surface Tension Have Global Biogeography? Addition for the OCEANFILMS Package

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**Abstract:** We apply principles of Gibbs phase plane chemistry across the entire ocean-atmosphere interface to investigate aerosol generation and geophysical transfer issues. Marine surface tension differences comprise a tangential pressure field controlling trace gas fluxes, primary organic inputs, and sea spray salt injections, in addition to heat and momentum fluxes. Mapping follows from the organic microlayer composition, now represented in ocean system models. Organic functional variations drive the microforcing, leading to (1) reduced turbulence and (by extension) laminar gas-energy diffusion; plus (2) altered bubble film mass emission into the boundary layer. Interfacial chemical behaviors are, therefore, closely reviewed as the background. We focus on phase transitions among two dimensional "solid, liquid, and gaseous" states serving as elasticity indicators. From the pool of dissolved organic carbon (DOC) only proteins and lipids appear to occupy significant atmospheric interfacial areas. The literature suggests albumin and stearic acid as the best proxies, and we distribute them through ecodynamic simulation. Consensus bulk distributions are obtained to control their adsorptive equilibria. We devise parameterizations for both the planar



free energy and equation of state, relating excess coverage to the surface pressure and its modulus. Constant settings for the molecular surrogates are drawn from laboratory study and successfully reproduce surfactant solid-to-gas occurrence in compression experiments. Since DOC functionality measurements are rare, we group them into super-ecological province tables to verify aqueous concentration estimates. Outputs are then fed into a coverage, tension, elasticity code. The resulting two dimensional pressure contours cross a critical range for the regulation of precursor piston velocity, bubble breakage, and primary aerosol sources plus ripple damping. Concepts extend the water-air adsorption theory currently embodied in our OCEANFILMS aerosol emissions package, and the two approaches could be inserted into Earth System Models together. Uncertainties in the logic include kinetic and thermochemical factors operating at multiple scales.

**Keywords:** interfacial surface tension and pressure; gas precursors; primary aerosol; heat and momentum flux; biogeochemical mapping; organic macromolecules; surfactants; elasticity; proteins; lipids; compression; two dimensional equation of state

### 1. Introduction

Composition of the marine atmospheric interface determines the surface tension across most of the ocean [1–9] so that multiple climate-critical fluxes are influenced. Transfer of trace greenhouse and aerosol-source gases, organic and sea salt aerosol mass, momentum, heat, and water vapor are all defined by gradients in tension at the sea-air boundary [10–15]. It has long been suspected that concentration patterns of detrital, surface-active biomacromolecules exert key control over ocean microlayer dynamics. Therefore, the structural type and geographical distribution of macromolecules must be known to determine the two-dimensional forces being imparted [6,16–19]. Several attempts have been made to simulate the geophysical effects of surfactants at the level of Earth system modeling by assuming proportionality of the biomacromolecules to chlorophyll [20–24]. The pigments are detectable from space and track phytoplankton, allowing for some level of connection to be made. Remote sensing has proven to be a reasonable starting point, but detailed microchemical information remains lacking.

Since organic structural types partially determine the composition of sea spray particles [4,25–29], our own aerosol-oriented group has recently become interested in constructing geographical distributions for individual classes of macromolecule. Our initial angle for this task has been that of adsorption—upper ocean surface films accumulate through both surfactant equilibration and the physicochemical competition for microlayer sites. Such processes regulate both organic and sea salt mass entering primary marine aerosol modes through bubble rupture [30–33]. Isotherms representing the types of surfactant covering bubble interfaces have been tested, then inserted into global biogeochemistry calculations. Full modern mapping techniques are now applied and the total package is referred to by the acronym OCEANFILMS [30,31]. Improvements to the simulation of primary aerosol mass have already been realized [31].

However, adsorption of macromolecules also implies alterations to the surface tension, a fundamental property of the physicochemical plane dividing the ocean from the atmosphere. Such changes can be represented through classic two-dimensional (Gibbsian) equivalency of the chemical potential [5,34–37]. In the present work, we extend the adsorptive surfactant calculations and construct chemically-derived global patterns to assess the role of macromolecules operating through film tension, or, more traditionally, two-dimensional pressure (tangential [2,36,38]). Crude, ideal equations of state are constructed and applied to the global ocean marine microlayer. We perform this mapping exercise first at the scale of marine biogeochemical provinces [39,40], selecting and grouping them to optimize a measurement base. Offline semi-dynamic biogeochemistry calculations are then conducted as a further test of the methodology [33,41–43]. These are coupled to a surface pressure-area parameterization. Emphasis is placed upon the two most basic issues involved: will geographic differences in surface tension be

identifiable-computable? And will they be important to the many geochemical and climate-relevant fluxes with which they have been connected?

## 2. Background

It has been known for millennia that when certain organic liquids spread over the natural water-air boundary they can exert a calming effect on waves of many scales [9,17,44]. There have, in fact, been numerous documented attempts to actively, chemically de-engineer harbor mouth and shipping lane breakers. Some of these date back hundreds of years (see [45,46] and the technical histories provided therein). During and after the World Wars it became clear that surfactant influence on upper ocean mixing must also function on regional to planetary scales [47–49] and, furthermore, that it extends to the turbulent eddy spectrum arising around the atmospheric interface [38,50]. This latter connection implies influence upon large-scale transfer of many other properties—e.g., ocean solute mass inclusive of dissolved gas concentration gradients, heat, energy and, also via temperature dependence of the vapor pressure, water itself [2,46,51]. Soon afterward the logic was adapted to the control that surfactant-tension has on bubbles, disruptive film dispersal, and spray distributions [25,52–54]. Effects on trace gas fluxes operating in the greenhouse and marine aerosol-cloud systems began to draw real attention only during the era of global change biogeochemistry [2,6,55].

The physical mechanisms to which surfactant control applies include (to offer a set of partial examples)—Prandtl, Monin-Obhukov, Gibbs-Marangoni, laminar renewal, and viscoelastic and microlayer rheological theories. The coupling of fluid dynamics with environmental organic chemistry is perhaps one reason such concepts have been slow to take hold within Earth system models. We have collected some of the clearer explanations available for connections within the physical system [17,18,46,50,56–61], in order to provide justification for our geochemical mapping efforts. The detailed approach presented later, however, remains purely empirical. We now provide the reader with a heuristic summary of surfactant influence on atmospheric and oceanographic mixing, incorporating film tension factors. The present work then discusses the potential biological, geochemical, and ecogeographic distinctions. It is argued that the latter are strong enough to be of interest in the context of boundary layer vertical transport as handled in Earth system models.

A monomolecular layer of appropriately mixed organics may exert unexpected control on any and all fluid movement near the sea-air interface [8,45,46,48,59,61]. The arguments are not exclusive to the upper ocean—atmospheric mixing along with gas, particle, and energy fluxes are included moving well into the troposphere [60]. Working from the geometric top of the relevant environment downward as a conceptual device, it is often noted that surfactants begin by suppressing the capillary (centimeter-scale) waves that act upon boundary layer meteorology as roughness elements [8,9,18,59,62–65]. This occurs through modified hydrodynamic boundary conditions allowing for energy dispersion at certain key frequencies [17,56]. The normal cascade of momentum flow and turbulent exchange from the atmosphere into the sea is interrupted. In some cases it may be shut off almost completely, leading to a reduction in the vertical interchange within only minutes [45,46,59]. A readily observable glassy slick will often follow, sometimes extending over large areas. This may last for many hours and be detectable at altitude or from space [8,12,38,64]. The crucial chemical dependence of the effect is common knowledge to the shipping industry, because oily waste materials ejected at the water line are only sometimes calming [45,46].

Simultaneously, eddies approaching the water-air interface alternately dilate and compress the border running between the ocean and atmosphere [18,38]. Turbulent structures along the surface experience energy loss or extraction due to elasticity gradients through the microlayer. These follow from the presence of the macromolecules and biopolymers, for which distributions are patchy in both spatial and chemical senses. The effect may be tightly confined locally, but on the average it can also extend over hundreds of kilometers [6,8,18,59,64]. Multi-scale tension gradients are dominant across geophysical dynamic interfaces, and are attributed to variability (heterogeneity) in the natural surfactant distribution [9,19,64]. The process of extracting energy from eddies may also modulate

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the thicknesses of several hypothetical laminar layer barriers, stacked on top of one another in the liquid and gas phases. These regulate all manner of mass and energy transfer. Marine aerosol emissions offer the highest profile examples, but here we begin to discuss turbulence as well. Surface renewal constitutes a rate determining step in numerous climate relevant geocycles. The flow of greenhouseor aerosol-forming trace gases, and either sensible or latent heat, may be blocked [6,9,50,51,60]. Water vapor is affected through skin temperature because this sets the vapor pressure [2,51,66,67]. Vivid demonstrations have been documented over many generations—sometimes accidentally, sometimes intentionally, within laboratories, witnessed in the field, or even detected from space up to the largest scales [6,18,28,45,46,50,59,64].

Our current mapping effort must necessarily rely on surfactant physicochemical concepts unfamiliar to contemporary students of global change. The approach is very strictly reduced in its dimensionality, so that it constitutes nonstandard environmental chemistry. The atmospheric, geographic problem set involved is highly understudied—opportunities may be expected. Our subject matter is, by its very nature, cross-disciplinary. The regulatory surfactants in question position their hydrophilic head groups within seawater proper. The remainder of their molecular structures, plus a significant amount of chemical activity, impact the gas phase directly. Longer organic moieties are capable of participating in oxidative radical photochemistry in the lowest few nanometers of the planetary atmosphere. However, for our purposes here, we will focus on the particulate source and any energetic flux tuning. Marine ecological information is transferred to the piston velocities underlying dimethyl sulfide and purely organic secondary emissions [2,27,32,55], to the fragmentation regime during bursting of bubble films, [28,29] and to sea salt and primary organic injections [27–32]. We are hopeful due to the latter that parameterizations developed here can contribute to our accumulating OCEANFILMS literature which, thus far, has been limited exclusively to Langmuir adsorption [30–33].

As noted in the introduction, we endeavor to establish only that surface tension differences can, in fact, be mapped, and that they are sufficiently strong to matter in a quantitative sense. We show that across key spatiotemporal transitions, and over ocean basin scales, two-dimensional pressure gradients in the Gibbs plane probably surpass known thresholds for variations in the laminar gas layer, bubble film mass injection, frictional fluxes, and more [16–21,27–32,56–61]. The composition distributions themselves turn out to be difficult to verify. Neither the macromolecules nor their functionality can yet be monitored by satellite and, furthermore, they have not entered the geochemical and geophysical flux parameterizations common to most Earth system models. Under such circumstances, chemically-resolved measurements remain rare. The available upper ocean functional data have been explored relatively completely in our OCEANFILMS work [30–33], but this only serves as a starting point. In the present text we attempt to move beyond concentration and adsorption to full simulation of a planetary, two-dimensional, interfacial equation of state. Thus, the current text can be considered completely distinct even from our foundation literature, and we draw in analyses of the surface tension itself.

As a theoretical preparation, we recommend, from recent experience, our own methodology. This has been to harvest selectively from high-profile, multidisciplinary textbook and monograph treatments appearing regularly over the decades. Information must be combined from several well-established, but disjoint, research communities. Chemical engineers first dissected surfactant to eddy relationships for generalized liquid–vapor systems in the 1950s, and the media were often environmentally relevant because they tended to be aqueous and ionic [36,38]. During the advent of global marine atmospheric chemistry studies, ambient organic measurements began to be linked to the wide variety of geophysical fluxes—at least along coastlines [2,12], and there continues to be concern in the modern era for surface chemical aspects of coupled climate feedback processes [14,15].

#### 3. Methods and Theory

Stages in the project are now summarized in bullet form as an organizational device. Interfacial (tangent) force issues appear early in the list, and we follow up immediately with key definitions. These further serve as a concise preview, for theory applied in the calculations but developed more fully in Appendix A. Major steps in our exploration have been:

- List observed (2D) surface pressure ranges and influence on: (Section 4)
  - Aerosol emissions and gas precursor piston velocities [18]
  - Sea salt spray injection including primary organics [28]
  - Capillary wave modulation to the drag coefficient [46]
- Define a surface pressure range (0.3 to 3 mN/m) encompassing all effects (Table 1)
- Segregate and prioritize global biomacromolecules by their likely functionality (Section 5) [30–33,37]
  - It is concluded that proteins and lipids dominate air-water interfaces
- Choose the best proxies as model surfactants (Section 6) [38,63]
  - Albumin (protein) and stearic acid (lipids)
  - o Standard commercial alternatives are rejected
- Estimate global mixed layer concentrations of proteins and lipids in the context of systems models (with marine ecodynamics—Section 7) [30–33]
- Construct a 2D equation of state to provide surface pressure values as a function of surfactant occupancy (Section 8 and Appendix A) [36,38]
  - Absolute 2D pressures agree broadly with limited measurement data at local to biome scales (Section 8) (e.g., [1–5,39,40])
  - Global organic functionality is estimated offline from systems models, producing complete maps and sensitivity visualizations (Section 9)
- We address the two introductory questions: (Section 9 and remainder)
  - Distributions of 2D pressure are entirely coherent
  - Aerosol to energy fluxes are directly in play

Organic chemical terminology fundamental to our project has been defined in earlier works (e.g., [33,37]). We now build upon this vocabulary to include relevant surface thermodynamics. By convention we refer to any reduction in seawater surface tension as a two dimensional (2D) pressure [2,38]. Inorganic solutes and coatings increase the energetic cost of generating new area, giving values which are effectively negative, but we will not need to deal with them further [68–70]. The more familiar decreases in surface energy associated with amphiphilic macromolecules can be understood as follows: if a film is present, the broken hydrogen bonds (among water molecules) may be partially compensated by reforming around surfactants. Liquid-facing point groups tend to be uniformly charged and repel one another. Gas-facing arms contribute to the overall push-pull attributable to the surficial or planar interactions. Van der Waals attractions in the extreme lower atmosphere draw the net area inward, while double bonds may repel one another, thus working in the opposite direction. All are analogous with traditional bulk pressure, but aligned in a new dimension along the water-air interface. The overall influence of the physicochemical forces on the formation of extra interfacial area is termed 2D or surface pressure ( $\pi$ ) [2,16–18,36,38].

A real exposition of surfactant force-energy relationships would require many pages to reach any level of completeness. However, high-quality textbook approaches have been closely consulted, including the ones recommended as tutorials in our background section [36,38,71,72]. We will not attempt a comparison of the numerous competing surfactant processes operative at the planetary scale. The 2D forces of interest are embedded in the total microlayer and must exert themselves alongside bulk concentration supplies, plus alternate rheologies including slimes, a size distribution of gelatinous particles buoyed from the mixed layer, dust and its coatings settling from the atmosphere, entire film-confined ecosystems dominated by bacteria but not lacking protozoa, plus injections of oxidizing power from the light rich medium above [58,73–76].

Equations laid out in Appendix A formalize our definition of the global surfactant medium, in standard thermochemical terms. Macromolecules distributed along a liquid interface may be considered independently as a distinct physical phase, with components undergoing their own transitions of state [5,18,38,71]. The reader is encouraged to picture a collection of reduced dimensionality phase transformations, all taking place in the Gibbsian sense beside bulk concentration sources. Our interests are confined to a microlayer which is usually monomolecular. Analogies with simple gas, liquid, and solid behaviors are used as an organizational tool [5,17,63,77].

In a laboratory, real organic films can be synthesized or collected, then spread on seawater samples and compressed by some paddle device. They then impart increasing and measurable influence on the tension. The summed forces referred to as  $\pi$  increase together as area A is reduced. Mixing effects may be nonlinear, but we set this issue aside for the present. Gradually sloping  $\pi$ -A diagrams are classified with reference to the much more familiar P-V variety as the marker for a 2D "gas". A surface "solid" exhibits little change in  $\pi$  until a condensation threshold is reached, but then the forces rise rapidly (incompressibility is achieved). 2D liquids lie in between the extremes. The gas will respond to pressure increases faster and at lower concentration as the area is reduced. The pseudo-phase picture is less clear for natural (aqueous) systems since actual mixtures and mixing rules cause deviations, but this is beyond the scope of the present work. Refer to the fundamental sample plots in Davies and Rideal [38], Jarvis et al. [63], Barger and Means [5], and Frew [18] for further details.

Surface tension slopes are normally monitored via  $\varepsilon$ , the elastic modulus ( $-d\pi/dlnA$ ) as defined through Equations (A8) and (A9) in Appendix A. This is the main link from a fluid dynamic standpoint [17,18,59]. The derivative can only exist where  $\pi$  rises significantly above zero. Since pressure is the first measured, more readily considered, and most often reported quantity, it is given priority in the analysis that follows.

## 4. Observed Surface Tension Effects

Surface pressure data are summarized in Table 1 for their association with multiple, critical Earth system flux types, including gas precursors, aerosol, and energy exchange. Approximate ranges of  $\pi$  are quoted. Significant alterations in these ranges have been recorded in the various contexts. Literature sources are listed as bullets below and again by group name in the Table itself:

- Trace gas transfer [6,18,19,21,50,78,79];
- Sea spray flux [14,25,28,29,49,52,53];
- Capillary waves and ripples [9,17,59,61,63,80,81]; and
- Wind in the planetary boundary layer [20,46,59,65,82–84].

Strong changes to piston velocities, sea spray number production rates, ripple or capillary damping coefficients, and observations of the drag coefficient and/or wind speed have all been documented in close conjunction with surfactant data. The  $\pi$  deltas have consistently been explained in the literature by the existence of 2D phases among heterogeneously-distributed biomacromolecules of the film (Section 3 and [6,18,19,59]). The influence is always aligned with the phase states—2D gas, liquid, and solid.

Phenomenon	Phenomenon Measured $\pi$ Parame		Effect	<b>References/Authors</b>
Trace Gas Transfer	0.3–3 mN/m	/m Piston Velocity Lower 3x		Davies 1966 and 1972; Goldman et al. 1988; Frew 1997; Tsai and Liu 2003, Frew et al. 2006; Bell et al. 2013
Sea Spray Flux	1–10 mN/m	Number or Efficiency	±3x	Blanchard 1963; Garrett 1968; Paterson and Spillane 1969; Detwiler and Blanchard 1978; Lewis and Schwartz 2004; Modini et al. 2013; Alpert et al. 2015
Ripples/Capillaries	0.3–3 mN/m	Damping e-Fold Distance	Lower 3x	Garrett 1967; Jarvis et al. 1967; Ermakov et al. 1986; Wei and Wu 1992; Bock and Frew, 1993; Hunter 1997; Dysthe 2006
Boundary Wind	>1 mN/m (so above background)	Drag Coefficient	Lower 3x	Hicks et al. 1974; Deacon 1979; Ermakov et al. 1986; Asher 1997; Mitsuyasu and Bock 2001; Simpson et al. 2014; Cox et al. 2016

Bulk tracer fluxes of the greenhouse species carbon dioxide or the atmospheric sulfur carrier dimethyl sulfide [21,79] are significantly slowed by the removal of energy and small eddies from the upper ocean [20,50]. The efficiency with which bubble domes can deliver spray particles to the atmosphere depends on the rate of fluid withdrawal by marginal regeneration. This requires stabilization due to Marangoni surfactant forces in the double layer [28,85]. Organic adsorbates are crucial to time-dependent bubble evolution—from wave entrainment through disintegration into the subsurface size spectrum—and in determining the rising rates that fuel formation of white caps [25,29,86–88]. Capillary (centimeter) waves are suppressed and their energy is dissipated into surfactant gradients at the upper boundary [17,56,61]. Simpson et al. [84] have recently demonstrated that a new source of ocean roughness may be needed by CMIP class models to achieve balance with atmospheric reanalyses, in gyres and low marine productivity zones where surfactants are probably lacking.

For reference purposes, we now define a band of surface pressures over which the geophysical fluid impacts should be expected. The relevant surface pressures are recorded in an assortment of bench and field experiments to be on the order of 0.3 to 3 mN/m (mJ/m<sup>2</sup>) wherever substantial effects are encountered. Such values are expected to lower several biogeochemical and climate flux coefficients or parameters by around a factor of three across the board. Exceptions occur in the sea spray data, where physicochemistry becomes so involved that even the sign of the perturbation cannot be specified—but, still, it is reported to be of order three. Since organic film structures have not been incorporated into existing biogeochemistry or climate parameterizations, we begin by mapping the potential for such improvements [39,40,66,89–92]. In the present work, we focus on trace gas, sea spray, and momentum transfer data, leaving heat and water vapor fluxes to be explored in future publications [51,60,67,93].

Based on Table 1, we propose that an assessment of surface tension can be conducted by exploring the position of a plotted 0.3–3 mN/m surface pressure band, distributed in the standard manner over modeling maps of the global ocean. Patterns tracking half a log unit on either side of unit  $\pi$  should constitute a reasonable initial guide as we attempt to answer the second key question put forward in the introduction—that of importance. Values lower than a few tenths may well prove insufficient to support climate effects. Simultaneously, there may be maxima, plateaus, or optima so that higher quantities cannot be ignored, even though they may well prove to be coastal and rare. Declines in influence have been reported at high  $\pi$ , likely due to the constant tension (at greatly reduced values) displayed by tightly-condensed microlayers [9,38,80,94,95]. Therefore, it is assumed that geochemical and climatological effects of marine surfactants will be at their greatest from 0.3 to 3 mN/m, and we will identify contours within this band of surface pressures.

# 5. Compound Identities

Interconnections from neighboring aqueous organic chemistry are critical to the atmospheric aerosol and energy effects laid out in Section 4. The upper ocean contains about 50 micromolar dissolved organic carbon at high latitudes (DOC), rising to more than 80 at the equator [96,97]. However, to illustrate more detailed physicochemical behaviors, additional information is needed regarding the distribution of attached functional groups. A condensed assessment is provided in Table 2, summarizing global macromolecular and biopolymeric content of the dissolved marine carbon pool. Entries are adapted from our own earlier work [30,33,37], but supplemented with additional sources [26,76,77,98–103].

The biomacromolecular classes protein, polysaccharide, and lipid comprise the most abundant components of autotrophic cell interiors. They are present almost uniformly within generic phytoplankton in the ratio 60% to 20% to 20% [37,104,105]. Setting aside mineral hard parts, since our focus is on the dissolved fraction, we note that various phytoplankton also synthesize mildly amphiphilic polymers such as chitin and peptidoglycan [98,101,106]. All these high molecular weight substances are released freely into the water column, whenever planktonic cells are disrupted by grazing, viral lysis, or senescence [41,42,107,108].

Throughout the degradation sequence, denaturing and other reconfigurations must be considered [37,109]. Photochemistry, random enzyme attack, and bacterial consumption can shuffle and remove the initial orderings. This is followed by a certain amount of recondensation then repackaging of the carbon chains into the heterogeneous material known as humic acid [110,111]. The upper ocean transformations described here have been amply reviewed by Benner [109], while typical loss constants are roughly known and can be constructed from time series and vertical profiles as noted by Elliott-Ogunro et al. [33,37]. Estimating biopolymeric lifetimes is a foundation of our strategy, since it allows for dynamic distribution of regional mixed layer concentrations [33].

Chemistry	Monomeric Units	Examples	C (1/2 π max) (μM)	Surface Phase
Protein	Amino Acids	Enzymes, Collagen and structural	$10^{1}-10^{2}$	2D gas
Polysaccharide	Sugars	Alginates, Uronics	10 <sup>5</sup>	(soluble)
Lipid	(aliphatic with some double bonding)	Fatty Acids, Sterols, Triglycerides	10 <sup>0</sup> (estimate)	2D solid (often)
Aminosugars	Replace OH by N in the saccharide	Chitin, Chitosan	(insoluble)	2D solid (Chitos)
Hybrids of above	Combined	Peptidoglycan, Lipopolysaccharide	10 <sup>4</sup> (Peptido)	Planar mixing interactions
Humic/Fulvic	Recondensates	Suwannee River, Deep Arctic	10 <sup>5</sup>	2D liquid
Atmospheric	(C chains, rings)	Levoglucosan, dicarboxylics, lipids, then oxidation	>10 <sup>6</sup> (Levo)	(soluble, Levo)

**Table 2.** Selected families of marine biomacromolecules with representative half saturation concentrations for adsorption, and corresponding 2D phase states within the microlayer system.

Actual complexity of the marine organic system is only hinted at in the table. Beyond the major macrochemical classes lie numerous subcategories and it is often commented that only a few tens of percent of the total have ever actually been characterized [105,109,112]. We further tabulate the half maximum concentration for surface pressure (second to last column). Most global DOC resides in the refractory humic reservoir when taken on an integrated basis. We can safely assume that other types are present at tens of micromolar or less and, thus, only proteins and lipids need to be highlighted

through the current exercise. Only these structures can occupy any significant portion of a given local film area. The question of characterizing their 2D chemistry remains, but at this stage we can follow longstanding tradition [38] while simultaneously promoting it to the level of global modeling.

#### 6. Surrogates or Proxies

Multiple surfactant research groups have agreed upon a set of standard surrogates for the representation of oceanographic tension losses [5,18,38,63,77,94,95]. A complete list of candidates would contain at least the enzymes albumin, lysozyme, and casein to represent the proteins, including the intracellular structural material collagen. This is the case even though most stand-ins are terrestrial. For the lipid family, stearic acid-stearyl alcohol is used to account for 2D solid incompressibility. Many authors have tested the less extreme, but also lipidic, species oleic acid and oleyl alcohol. These act as planar liquid-to-gaseous contributors rather than "crystals". Other natural compounds mentioned in the literature include multiple sterols and triglycerides (e.g., [5,38]). The approach adopted here is to select albumin and stearic acid to form a baseline, since they have been the most commonly referenced examples and their surface activity spans the planar phase states. We carry oleic acid additionally as a convenient optional test member (olive oil), since the total variety of lipids is especially wide [109,113]. A parameterization for the dual-surfactant equation of state has already been described under methods/theory, and it is explicitly developed in Appendix A. Readers are referred to the publication Jarvis et al. [63] for an early application of the two-component relationship and to view  $\pi$ -A mixing curves.

This leaves two primary compounds—protein and lipid—to adsorb and mix onto simulated microlayer surfaces, prior to sensitivity switching of the oleic acid. The reader will find that a few high-profile commercial surfactant analogs are often discussed in the literature, including polyethylene glycol derivatives, general polymerized olefins, sodium dodecyl sulfate, and the PEG-lipid hybrid Triton X-100 [5,28,59,85,114]. However, the application and examination of artificial substances can become problematic, since their 2D phase behaviors often go uncharacterized and are difficult to match with biomolecules [65,114,115]. We strive to remain as organic as possible in our choices, even when they cannot be truly marine in nature—albumin, stearate, and oleate are obtained, respectively, from blood or egg white, animal fat, and vegetable saponification. However, the historical oceanographic literature is completely consistent—albumin and stearic are preferred starting points.

#### 7. Mixed Layer Concentrations

Bulk mixed layer concentration patterns can be directly estimated using grazing and mortality rates from biogeochemical models [42,108], in conjunction with cell internal protein to lipid ratios (60–20) and macromolecular residence time estimates. This is done working first from a generation and a half of ecological geographies (Longhurst) [39,40,116], and then further in an offline mode relative to global simulations (Ogunro 2015 and Letscher 2015) [33,43]. Checks are performed against the hundred or so molecule-specific measurements [33,117]. Finally, the macromolecules are mixed adsorptively into/onto the microlayer per our methods bullets and Appendix A. This is done by ignoring the potential nonlinear interactions in the plane, but should be adequate as a scanning device [36,38]. Specific mixed layer concentration results are given in Table 3, with primary references placed as information sources in the first column. Values computed from regional primary production are listed as the background (non-parentheticals), in addition to bloom level (parentheticals), and are assumed to be in balance with grazing (diatom events excluded).

A marine biogeography series (Longhurst) [39,40,116] was first mined for local-level primary productivity relevant to our organics. We were careful to use provinces which were well sampled and important to the climate system. For example, the equatorial Pacific figures prominently in the interaction of biogeochemistry with the Southern Oscillation [118,119]. The provinces were aggregated across basins (longitudes) to build super-divisions, which we will refer to here as "surfactomes". The results were adjusted according to ecodynamic model routings [42], fractionated by 60 and 20, then placed in steady

state with their respective macromolecular lifetimes [33,37]. Next, offline but (semi-)dynamic simulation results for the global proteins and lipids were taken directly from the original work by Ogunro 2015 [33]. Finally, the methodology presented by Ogunro and company [33] was applied to outputs from a related ecodynamics code (Letscher 2015) tailored specifically for dissolved organic work [43]. Summary values from the three sets were selected and placed in end lines, titled "carry forward," for each of the two macromolecular classes. Agreement with data is only within about a factor of three, and this point becomes crucial later (Section 10).

Our surfactomes are arranged across the table in decreasing order of bloom period biological activity (coast, pole, westerly, equator, gyre). The potential for tension gradient patterns to arise is already hinted at. Concentrations for the global 2D gas (protein) are near saturation levels, and then decrease (from left to right) both spatially and temporally (compare C at  $1/2 \pi$  max in Table 2). However, we must also consider the 2D solids (lipids) because at a few locations saturation is reached and crystallization may be observed. Full assessment requires a rigorous treatment of the equation of surfactant film state (Appendix A). It is also clear from Table 3 that further global measurements remain necessary. Even relative to the surfactomes, only a few cells could be filled for the current effort. The total number of data points referred to is of order dozens [33]. Lack of analytical information is one of the reasons for applying a variety of model types, ranging from steady states based on satellite chlorophyll (Longhurst) to semi-dynamics drawing on partially-labile DOC (Ogunro et al.) [33,39,40].

**Table 3.** A summary of community knowledge for global concentration distributions of the macromolecules ( $\mu$ M dissolved carbon). Background levels are non-parenthetical, and are followed, where relevant, by (bloom) values. Tropical concentrations vary little over an annual cycle. Province definitions are taken from the Longhurst ecological geographies, then combined to optimize the limited individual compound data (our "surfactomes" e.g., coastal middle latitudes). Ecodynamic models here have previously been applied in two divergent contexts—studies respectively of Arctic methane cycling leading to dynamic global macromolecular distributions, or else dissolved organic elemental ratios (Ogunro, then Letscher). Observations are transferred directly from the validation list for the first code, summarizing three dozen chemically-resolved studies.

Provinces	CALC CNRY	CAMR PNEC ARAB	BPLR BERS ARCT	KURO NPPF GFST	PEQD ETRA	WARM WTRA MONS	NPTG NAST NATR		
Surfactomes	Coastal (Mid-Lat)	Coastal (Low-Lat)	Polar	Westerly	Equator (East)	Equator (West)	Gyre		
Protein									
Longhurst	4 (22)	6 (20)	1.5 (27)	0.8 (8)	5	1	0.8		
Ogunro 2015	3 (10)	3 (10)	1 (10)	3 (7)	3–10	1–3	1		
Letscher 2015	5 (10)	5 (7)	1 (10)	3 (7)	3–10	7	3		
Measurements	-	-	1 (na)	0.3 (3)	0.5 - 1	-	0.5 - 1		
Carry forward	4 (15)	5 (15)	1 (15)	1 (5)	5	3	1		
Lipid									
Longhurst	0.1 (0.7)	0.2 (0.7)	0.03 (0.9)	0.03 (0.3)	0.2	0.05	0.03		
Ogunro 2015	0.03 (1)	0.01 (0.05)	0 (3)	0.01 (0.3)	0.03–3	0.01	0.01-0.03		
Letscher 2015	0.01 (0.3)	0.01 (0.03)	0 (3)	0(1)	0.03-0.3	< 0.01	0.01		
Measurements	0.3 (3)	-	-	-	0.1–3	-	0.003-0.3		
Carry forward	0.1 (1)	0.03 (0.1)	0 (3)	0.01 (0.3)	1	0.03	0.01		

#### 8. Spreading Exercises

Our original organoaerosol papers and related publications [30–33,37] dealt only with the Langmuir isotherm. We determined here that this initial choice works well to represent laboratory profiles of the sigmoidal protein  $\pi$  [95]. However, the lipid proxy constitutes an instance of 2D solidification in  $\pi$ -A space—surface pressure remains at zero until the film area is reduced beyond a sharp threshold, at which point  $\pi$  rapidly increases. To resolve this issue, in the equations in Appendix A we introduce what will be

referred to as a "power-Langmuir" form, in which the classic "S" shape is adjustable. The main motivation is to handle stearate (lipids), although there are additional benefits in dealing with the protein multiplex (ring formation, bunching and balling at the interface). In Equations (A10) and (A11) (Appendix A), the dominant terms are raised to the *n*th degree. The choice of exponents loosens or tightens the standard sigmoidal shape associated with the Langmuir isotherm allowing for the steep 2D solid behavior to be captured. The function we propose is similar to a saturating Freundlich isotherm. Our  $\pi$  have been designed for near-agreement with laboratory data [63,94,95]. We also numerically compute the elasticity modulus ( $\varepsilon$ )—the derivative against fractional surfactant area change [17].Finally, a surface phase state (2D solid, liquid, gas) is assigned based on the shape/pattern [5,18]. We also supplement with the more recent interpretations of Frew et al. [19]. This procedure allows us to check against the magnitude, form, and planar phase of real oceanographic  $\pi$ -A.

Results of these global exercises are summarized in Table 4. Ambient  $\pi$  and phase state identifications are drawn from a variety of the above references and more [2-7,9,17,18,85,120-124]. Ratios of surfactome-level protein to lipid concentration were fed into our Appendix A  $\pi$ -A-modulus code. Fast equilibration is assumed, to guarantee close tracking of reference concentrations just below the Gibbs plane. The interfacial composition and solute levels are then tightened together in a computational Langmuir-Blodgett (trough) experiment to give  $\pi(A)$ . Typical curves attributable to the open ocean are reproduced. However, in laboratory work material is often lost to redissolution, stacking, or the extraction medium [2–5,63]. At local concentrations for the biomacromolecules, we compare with several decades of film pressure measurements. Although data remain scarce, the proposed patterns and trends are encouraging. Surface pressures tend to increase in reverse order across the Table 1 range-moving from gyres toward neighboring equatorial ecosystems or boundary current extensions. Given the uncertainties, polar and coastal values could cross the 0.3–3 mN/m band on a seasonal basis. Modulus translated into 2D phase information gives us relationships along the g-l-s spectrum. Overall, our fourth table allows us to begin addressing quantitatively the two key questions proposed at the top of the paper. Even based on a regional view, biogeographic dependencies are likely for the tension difference, and there is a potential for crossing of the geophysical fluidic  $\pi$  range (0.3–3 mN/m).

**Table 4.** Upper lines—surface pressure estimates based on collected results from Table 3 and computed per the Appendix A, with a selection of data spanning several decades for comparison. In the center of the table, local elastic modulus results are offered. Finally, in the lower lines, model and data  $\pi$ -A experiments are reported in the form of phases. The background (bloom) nonparenthetical (parenthetical) convention is retained. Letters g, l and s stand for 2D gas, liquid and solid.

Surfactomes	Coastal (Mid-Lat)	Coastal (Low-Lat)	Polar	Westerly	Equator (East)	Equator (West)	Gyre	
	Surface I	Pressure and	Modulus, ı	units mN/m l	ooth Cases			
$\pi$ (Appendix) $\pi$ (data)	2.4 (9.4) 0.3 (23)	2.9 (6.7) 0.1 (10)	0.65 (50)	0.65 (2.9) 0.1 (5)	6.5	1.8	0.65 0.1–1	
ε (local)	6.6 (25)	8.0 (18.1)	1.6 (175)	1.5 (8.2)	10	4.9	1.6	
2D Phase								
Model Data	g (l-s) g-l (l)	g (g) g-l (l)	g (s)	g (l)	s l-s	g	g	

## 9. Maps and Sensitivity

Protein and lipid distributions from the Ogunro et al. computation [33] were drawn upon for the initial surface tension mapping attempts. The O15 values are central among Table 3 model outputs. Background biogeochemistry in this work traces to early marine methane cycle simulations [125] and the BEC ecodynamics code [41,42]. Ogunro and company proposed source-removal functions closely matching our Longhurst biogeographic steady state, but phytoplankton and zooplankton levels were extracted directly from model history files. They may be viewed as dynamic and the entire procedure as

semi-dynamic. Our Appendix A surface pressure algorithm was transferred into an offline data package so that it could operate on upper mixed layer concentrations to produce  $\pi$  patterns suitable for analysis. We now discuss the fully-mapped results referring to central months of the seasons—primarily February and August, corresponding to winter and summer in the two hemispheres. All results represent years surrounding the new millennium [41,125]. Major sensitivity tests are also described in this section.

The original Ogunro mechanism is adopted as our global baseline, and in Figure 1 we scan the Northern Hemispheric winter then summer results (opposite for the Southern Ocean). A logarithmic color bar is arranged so that the suggested indicator band 0.3-3 mN/m is central and can easily be located (-0.5 to 0.5 in log space). The values correspond to green and yellow tones and they apply to all images. An upper limiting pressure of 100 was chosen as a round decadal value bracketing the large lipid  $\pi$  maximum recorded for stearic acid [94]. It is clear in the first panel that marine surface tension probably does possess a measurable biogeography. Hence, question 1 from the introduction has already been answered in the affirmative. If our proxy set is realistic, it should be possible for the shipboard chemist to identify 2D pressure lows in many areas. Moreover, solid-driven peaks may be observable in high-latitude summer (Antarctic coast, then the Sea of Okhotsk and Bering in our six-monthly plots). In the North Pacific and Atlantic, a band of low  $\pi$  can be observed, from the subpolar regime across middle latitudes and into the subtropics, moving generally east to west. In autumn, it is bracketed by lingering activity in the respective western boundary current extensions and along equatorial upwellings.

In Figure 1, a marine geography of tension is apparent in broad outline, driven by biology, but more fundamentally by surfactant behavior of specific detrital macromolecules. At middle latitudes, the estimated surface pressure values fall to a minimum ( $\log \approx 0$ ) in the remote central ocean. Blue to purple tones are largely absent from this initial color distribution. The geophysical fluid dynamic effects defined in Table 1 would be enhanced if there were obvious regional or seasonal transitions across the 0.3–3 ribbon recommended earlier as a reference.

Mixed-layer biogeochemistry models underlying Tables 3 and 4 were consulted first as a group because measurements of the macromolecular classes are so disperse [33,37,109]. There could be no opportunity for space filling or interpolation from limited, province-scale averages. However, the reader should note from the tables that both our protein levels and the  $\pi$  driven by them could be biased high. Meanwhile, computed lipid values may, by contrast, fall on the low side of ambient. We remark that no global model can currently resolve rich generation occurring coastally then transported outward [113,125]. In a second global simulation we, therefore, lowered (raised) the protein (lipid) distributions (respectively) by factors of three. This was accomplished by adjusting the removal time constants in both cases. February and August results are shown as our Figure 2. Well-characterized features emerge in the pre-defined 0.3–3 mN/m regime, and solidification (2D crystallization) is pervasive at high latitudes.



**Figure 1.** Log surface pressure maps ( $\Delta$ tension) assembled using the baseline Ogunro et al. model output [33] plus marine 2D equations of state described in Appendix A. The color bar has been set so a reference range of 0.3–3 mN/m is central (-0.5 to 0.5 in log units). February and August monthly averages are shown for a typical year near the turn of the millennium.



**Figure 2.** Log surface pressure maps ( $\Delta$ tension) constructed as in Figure 1, but with protein (or lipid) levels lowered (or raised) by a factor of three. The color bar has been set so that a reference range of 0.3–3 mN/m is central (-0.5 to 0.5 in log units). February and August monthly averages are shown for a typical year near the turn of the millennium.

Several crucial properties of the tension distribution system are amplified in Figure 2, and they deserve specific comment. We focus first on a relative absence of Northern Hemispheric surfactants at middle latitudes in the August plot. The bow-tie shaped 2D pressure minimum running from California over Hawaii to the Philippines may be attributed to restricted gyre and warm pool biology, bordered on either side by nutrient upwelling regions—the boundary current extension, plus equatorial divergence. A western boundary extension bloom remains imprinted upon the interface since succession carries the macromolecules through into summer. The central Pacific path of the minima falls precisely in an area where reanalyses indicate that CMIP class climate models may be overestimating wind speeds (Figure A1 in [84]). The ocean surface is, perhaps, rougher than expected here, because Table 1 surfactant influence is not considered within the CMIP models. Brunke and company have demonstrated [67,93] that attempts to include ocean ripples in turbulent flux parameterizations tend

to overestimate momentum transfer. This may occur because they are typically formulated from a meteorological perspective. The absence of wave dampening resulting from marine organic chemistry is, therefore, a possible explanation. It is worth recalling that aerodynamic drag studies are most often conducted either in the coastal context, at middle latitudes, or else in seasonable weather [92,126,127]. These are conditions under which our arguments and figures suggest that regional dampening should be effective. Some versions of the tension biogeography imply that flux measurement calculations should factor in film geochemistry.

Yellow-to-red tones in the bottom panel of Figure 2 suggest a "crystallization" of the regional scale microfilm over the entire Arctic Ocean. This is due to the small augmentation in average lipid concentrations (stearate), but cannot currently be verified. Potential implications include unexpected microlayer influence on all major effects under consideration here, from gas-bubble-aerosol mass transfer, whether at the pack edge or along leads, up to general wind fields of the ice domain. The 2D condensation result penetrates far beneath the perimeter of summer ice coverage, tracking primary production [42,125]. Even a minor underestimation of generalized surfactant levels could have major implications for high-latitude climate. Closely related (detrital) biomacromolecules are also generated independently inside of sea ice, by the resident sympagic algae. At extreme temperatures and salinities characterizing the interior of the pack, organics tend to coalesce, gel, and coat pore spaces and their interconnecting channels [128]. This could alter brine drainage rates, nutrient resupply chains and, possibly, the average regional-scale freezing point [129]. Surface physicochemistry again offers the right theoretical framework. Equations will strongly resemble room temperature film thermodynamics as outlined in Appendix A [130].

Figure 2 directly addresses the second issue raised at the top of the text, but without offering a definitive answer. It seems possible that the specific surrogate pairing, of albumin with stearic acid, is giving us a fair representation of the relative regional surface tension variation. The  $\pi$  maps presented here would then be consistent with (1) the breadth of the aerosol gas precursor and primary particle effects implied in the first table; (2) unexpected roughness across broad sectors of the middle latitude open ocean; (3) overestimation of the momentum transfer in turbulent flux parameterizations lacking film chemistry; and even (4) 2D microfilm collapse over large areas at high latitudes. However, data remain lacking to assess these options in depth. For the moment, all that can be done is to provide guidance for renewed laboratory or seagoing experimental work.

We believe, due to improved agreement with the limited datasets for protein-like material and for  $\pi$  (Tables 3 and 4), that the albumin lowered by three (Alb/3) calculation may be our most realistic product. For example, across the well-studied Westerly regime, an average of simulated protein significantly exceeds the measurement base regardless of season, while resulting  $\pi$  are also sometimes excessive. For the sake of completeness, we include the associated May and November plots as Figure 3. In this figure lipid blooms have been moderated by a return to baseline, but the bow-tie shaped depression is emphasized in autumn as it runs across the northern basin Pacific. Alb/3 is finally taken as a sub-baseline for two further maps of interest—lipid, then protein, influence switched off. With the stearate contribution removed, no zones of high surface pressure remain (all are below 10 mN/m in Figure 4). This suggests that some form of 2D solid (lipid) will be a necessary component in successful parameterizations since steepness is observed [2–5,63]. Only under severe reduction of our protein proxy (Figure 5), does the central basin surface pressure fall below tenths mN/m. Historical droplet determinations demonstrate that such values should often be detectable [2,81]. Results presented by Figures 4 and 5 indicate that the surrogate combination in Appendix A is effective, as predicted by many earlier surfactant chemical groups.



**Figure 3.** Log surface pressure maps ( $\Delta$ tension) constructed as in Figure 2, but with lipid levels returned to baseline. The color bar has been set so that a reference range of 0.3–3 mN/m is central (-0.5 to 0.5 in log units). May and November monthly averages are shown for a typical year near the turn of the millennium.

Global tests for which results will not be shown include (2D) liquefaction of the lipid proxy by substituting oleic acid profiles [5,63], swapping-in of casein or lysozyme in place of the albumin gas-liquid [95], alterations to the half-saturation reference points and, finally, sensitivities to other equation of state parameters as outlined in Appendix A (Table A1). Results of the previous figures are not contradicted and, in fact, they are largely continually confirmed by further exercises. Overall, the marine macromolecules and polymers should describe a distinct biogeography of surface tension, along with its absolute difference (2D pressure), while intra-basin and seasonal variations may often cross the 0.3–3 mN/m reference contour band. The latter transition, per Table 1, implies a fluid geographic relationship to both aerosol sources and boundary layer physics. Patterns may be decipherable through the phase plane equation of state approach.



**Figure 4.** Log surface pressure maps ( $\Delta$ tension) constructed as in Figure 2 so that albumin is cut by three relative to the baseline, but with lipid levels zeroed. The color bar has been set so that a reference range of 0.3–3 mN/m is central (-0.5 to 0.5 in log units). February and August monthly averages are shown for a typical year near the turn of the millennium.



**Figure 5.** Log surface pressure maps ( $\Delta$ tension) with protein levels decremented 10×, while lipids are returned to baseline. The color bar has been set so that a reference range of 0.3–3 mN/m is central (-0.5 to 0.5 in log units). February and August monthly averages are shown for a typical year near the turn of the millennium.

# 10. Uncertainties

Some errors inherent in the above development are parametric, and were explored in the figure set. Examples include macromolecular release rates and surrogate choices. Other potential uncertainties should be termed "structural", and they are described independently here. To participate in the interfacial energetics, polymers must undergo a full life cycle of transformations over hours to weeks, including the following steps: biological release, which may be targeted rather than detrital; chemical reactions in an oxidizing and light rich medium (the mixed layer); transport toward the atmospheric boundary through the spectrum of turbulent eddies; equilibration along the wave system; and, finally, surfactant function within the Gibbs plane. An objective of the present work was to provide evidence that the latter constitutes its own complex biogeochemical medium. This local, 2D phase experiences continual compression and dilation by the wave field, interactions with constituents of the bulk atmospheric gas phase sometimes in bubble form, and more. We have assumed a rapidly-stirred upper ocean; uniform heteropolycondensate evolution among the DOC, except for a few adjustable exponential time constants, instant stabilization into the surfactant medium, fixed moderate thermochemical temperatures, which are actually confined to the laboratory or corresponding middle latitudes, undisturbed occupation of an idealized pristine monolayer, experiment-like responses constrained to be nonstacking and nonhysteretic, and a complete lack of 2D-internal removal or reactive processes.

Potential model structural omissions are so numerous that we hesitate to list them further except in bullet form. Details remaining for investigation involve:

- Exudation mechanisms which could be "intentional", e.g., the siderophores [131,132];
- Water column processing into alternate bulk phases, such as gels [133–137];
- Vertical gradients and alternate transport via bubble impaction [138–141];
- Finite timescales for attachment/detachment—surface kinetics [1,29,46,62,77,80,142];
- Mixing state within the monolayer as a perturbation to ideality [36,38,142–144];
- Microbiology perhaps partitioned by analogy with white caps [2,74,75,145–147];
- Effects on the surfactant equilibria:
  - The Van't Hoff isochore (temperature) [38,95,148]
  - Salt Effects [94,95,149]
- Acid-base reactions within and just below the monolayer (2D pH) [77,94,149,150];
- Multiplexing into layers, rings, balls, etc., with hysteresis [31,36,95,135,142];
- Accumulation in sea foam with indeterminate residence time [28,151–154]; and
- Interaction with atmospheric tracers, such as oxidants and metals [76,103,155,156].

We make no attempt to quantify such biases at this early stage. Any one of them could arguably inject a factor of three flexibility into the logic, and some would operate in two directions. We have already used a rounded half-decadal value several times just in carrying out our plotting procedures. Since numerous uncertainties exist, both cancellation and accumulation are statistical possibilities. It may be that either the sum of uncertainty disappears, or else that it is much greater than suspected. Measurement constraints are few, but real (earlier tables), and we exploit them as fully as possible. Effectively, we claim that traditional fluid drop methods, skim sampling, and experimental  $\pi$ -A curves are all consistent with our results. Therefore, they support our hypotheses.

Our position, as might be imagined, is that parameter ranges explored in the figures already point to major opportunities for the international communities of atmospheric, environmental, and surfactant chemists. Improved geographic coverage is needed for all measurements implied—the families of lipids, polymers, hybrids, and degradation products among compounds in the sea. In addition to their concentration gradients (provincial and vertical), film densities, specific physical behaviors during surface activity, the presence in the aerosol, and even the possibility of recycling from the atmosphere, should all be investigated. Molecular dynamics and statistical mechanics modeling may be called for [143,144]. There is much to be learned from traditional marine aerosol research, which has long focused on aqueous/salt/organic phases and surface relationships critical to CCN number and cloud brightness [102,103,157].

The required research could be organized geographically via surfactome principles drawn from Tables 3 and 4. Automated sensors and their respective platforms could determine the mix of techniques. Many groups have demonstrated that centimeter-scale waves or, conversely, the slicks left behind by damping, are detectable by robotic instruments shipboard, airborne, or from space [8,9,19,64].

Remotely-sensed white cap distributions and residence times have been used to estimate the air entrapment caused by wave-breaking events. Data on the entrained air volumes feed into bubble plume energetics calculations. Tank experiments suggest, however, that surfactant identities impose a large mode of uncertainty [28,151,158]. A spread in white cap data may conceal information on the chemical and biological geography of marine films.

Some uncertainties characterized in our bullet list reinforce preexisting needs. For example, proteins and lipids comprise a poorly understood portion of the total DOC at any given location (Table 3). Marine colloids ultimately become active carbon carriers [75,134–136] formed from the remaining macromolecular mass—the 20% of spillage which dissolves as polysaccharide, and fuels the gel phase. Gel particles are buoyant and, therefore, advect vertically into a dead end at the microlayer. There they occupy an undetermined fraction of local microarea, acting as a pseudo-polymeric embedded phase. If dissolved proteins and lipids adsorb to the chained sugars, a surfactant pathway additional to the expected bubble sweep has been identified [37,141].

Further instances can readily be cited—dust iron deposition as redox chemistry, or inputs by short-lived bulk gas radicals [76,155]. Photoreduction in mineral aerosol coatings can solubilize trace metals during long-range atmospheric transport [155,156], but organic ligands determine equilibrium and persistence. One of us (NM) is now conducting laboratory dust iron research into the strength of far upper ocean chelation. That which sets in quickly as mineral particles enter the sea turns out to be crucial to reoxidation rates and bioavailability. Strong multidentate ligands must be numbered among the macromolecules considered here [110] and the process of monolayer penetration-acquisition appears not to have been accounted by iron cycle specialists. We have remarked elsewhere that our macromolecules have equivalents inside polar ice, generated and processed in a very similar manner. A significant fraction of this organic mass will be surface active at the bulk solid boundary of brine channels, where the average freezing point is subject to alteration [128–130].

#### 11. Summary and Discussion

Drawing on Langmuir surfactant concepts lately applied to marine organic aerosol emissions ([30–33]—the developing OCEANFILMS code), we extend toward a complete physicochemistry of global interfacial mass and energy transfer. Our hope is to illuminate not only marine gas and particle release, but also organochemical aspects of momentum and heat flux (Sections 2–4, Table 1). In all cases a key quantity is the surface tension, here represented by its difference relative to the baseline, known as the two-dimensional pressure  $\pi$  ([1–5,36–38], Sections 3 and 4, plus Appendix A). The in situ value is dictated by macromolecular surfactant composition, so that Gibbs planar thermodynamics have been applied to the entire film system dividing ocean from atmosphere. Theoretical connections are facilitated by a combination of ecodynamics, nutrient-carbon cycle, and macromolecular surface chemistry modeling, conducted at the top of the mixed layer (Sections 5–7). All this takes place at a planetary scale, so that influences can be assessed upon coupled ocean-atmosphere biogeocycling, atmospheric and aerosol chemistry, and regional climate. We seek to organize the macromolecular surfactant issues geographically, by searching for underlying patterns at the biome to basin level (Sections 8 and 9). Our study is framed by two questions—can  $\pi$  be mapped adequately, and usefully? Both historical and modern sources are consulted for the marine interfacial, organic, and surface chemical information required (Sections 2-6). We supplement with state-of-the-art biogeochemical systems modeling and graphical analysis (Sections 7–9). Specific biogeographies are assigned to the dominant macromolecular classes and their tension properties. Both tabled and plotted results show that the answers to our fundamental questions lie in the affirmative— $\pi$  can now be computed and presented such that thresholds for flux alteration are comprehensible-piston velocities, bubble film breakage, sea spray number, primary organic particulates, centimeter scale (capillary) wave damping, the drag coefficient, and several other aerosol or energetic quantities are all influenced. The results we obtain are tentative, but robust. A potential for regional- to basin-scale effects on multiple geophysical transport modes cannot be excluded (Section 9).

Along the way we have called upon standard (surface chemistry) text materials, long-standing literature to define less familiar (Gibbsian) planar phase states within the film (Sections 3, 4 and 8, and Appendix A), community knowledge of detailed macromolecular composition for the marine dissolved organic matter—with special emphasis on functionalities and amphiphilicities (Section 5), classic chemical oceanographic surfactant research providing a recommended and tractable proxy set (Section 6), global ecodynamic systems modeling performed at several levels, yielding similar, self-reinforcing distributions (Section 7), numerous marine (2D or surface) pressure-area diagrams (Section 8 plus Appendix A) and, finally, global maps demonstrating that surface tension gradients driven by the organics should be real and detectable (Section 9). We conclude with an enumeration for the large number of uncertainties involved. Simultaneously, however, we show that some of these can be turned to the community's advantage—the unknowns motivate further research (Section 10).

In an historical analysis, Cox and company [46] have recreated nineteenth century wave calming experiments, conducted using petroleum liquids and fish oil. The former substances (pure hydrocarbons) gather and bead at the atmospheric interface having little effect, while the latter are 2D gases (elastic [16–19,36], Appendix A). The authors offer a heuristic, but quantitatively grounded, explanation of breaker suppression at the kilometer-scale. Their claim is that it occurs through the cascade of effects outlined in our background material, propagating from the atmospheric turbulent field into, and through, upper ocean eddy spectra. Here, we have shown that the logic can also be derived from adsorption chemistry fundamental to sea salt aerosol and primary organic emissions ([30–33], OCEANFILMS). We track phytoplanktonic detritus from its inception in regional food webs through individual biomacromolecular identities into the Gibbs phase plane, where critical comments can be made regarding mass and energy injections. It seems plausible that knowledge of surfactant and biopolymer chemistry at the global interface implies enhanced control over aerosol, wind, wave, current, and energy systems. Our work reinforces the prospect that there may exist closely inter-related, basin-scale biological feedbacks onto a long list of planetary transfer types—including gas, salt, primary and secondary organics, heat, momentum, carbon, radiation, and water vapor fluxes [2,18,20,22,46,83,159]. All of this is previewed in the present work in an offline manner, but it has become possible only given contemporary, state-of-the-art global model and mapping techniques. We believe this is even more reason to attempt a full integration, for understanding of the flux-filtering 2D equilibria at stake. Phase plane energetics will fit neatly into the primary organic emissions package, OCEANFILMS, which ingests macromolecular concentrations and returns film coverage [30,31].

Our research distills to a parameterization for the planar equation of state (EOS, Appendix A), but we have applied it around the entire planetary ocean surface. This implies that there will be many inadequacies (Section 10), but the strategy is simple to test. Textbook expositions of the EOS concept begin from bulk aqueous media, making connections to the tangential forces through 2D densities and on to chemistry [5,38,130]. Surfactant specialists have experimented with such systems regularly over the decades [5,36,77,94,95,103]. Occasionally, dynamic and statistical computational methods are applied to this type of problem [143,144,160]. The approach outlined in the Appendix A is almost purely empirical, but expect it to fall back in these rigorous directions. A guess is that our "power Langmuir" equation must be derivable from first principles. Similar forms have been found to represent low kinetic reaction molecularities on catalytic surfaces. We, therefore, conclude by proposing computational experiments over Tables 3 and 4 surfactomes as a starting point for introducing detail. First-order concepts will be incorporated into our own OCEANFILMS parameterization, which is already running in a global systems mode [30–32].

**Author Contributions:** S.E. functions as the primary and corresponding author. Z.M. provided the final manuscript version and is now performing extended experiments on the marine surfactants. S.B., P.C.-S., N.J., M.M., and S.W. are currently working together as the DOE marine biogeochemistry modeling team to implement primary organic aerosol sources from the OCEANFILMS parameterization. E.H., N.J., and M.J. provided polar marine systems simulation knowledge and expertise. Y.L., R.L., O.O., and O.W. acted as consultants on details of the marine organic chemistry. M.B., L.V.R., and I.S. offered insights into large-scale momentum transfer issues.

D.S. and L.R. assisted, in particular, with sections involving coastal and wave-driven bubble surfactant chemistry. F.H. and O.O. focused on the validation of the marine organic chemistry simulations.

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## Appendix A Equations

Properties of the ocean-atmosphere interface are sufficiently unique that it is useful to imagine a separate phase residing there in microscopic form [18,36,69,71,161]. In the present work, a hypothetical material is added to the usual geochemical suite, for consideration by Earth system models/modelers. As expected, thermodynamic and/or geochemical behaviors are characterized by various low-dimensionality equations of state [38,143,162]. However, forces leading to contraction or expansion comprise, in the new phase, a complex interplay of van der Waals interactions, plus hydrogen bonding and electrostatics. Since we emphasize the monolayer, there are necessarily intimate and immediate interactions with three-dimensional material sources on either side. The situation is well delineated for molecular modeling, whether through dynamic or statistical methods [144,162–164]. Marine examples of surfactant computations have been provided recently during the *Deepwater Horizon* episode. Dispersants were tested both at the well-head petroleum injection site and further along the Gulf Coast. The international energy industry had strong motivation to assist in assessing the possible chemical engineering fixes [165–167]

Surface tension is just the energy required to locally create more monolayer. Equivalently, it is the force per unit length exerted to do so. The value for pure seawater is about 72 mJ/m<sup>2</sup> or mN/m without allowing for slight variations due to temperature and salinity [9,47,71,168,169]. Oceanographic state variables can be set aside for our purposes, because their effects are small and we will be able to focus on deltas (differences). While physically fundamental, the tension value itself is subsumed as a baseline. Forces which must be summed in generating new areas include the chemical bond making-breaking and Coulombics mentioned above. Repulsions, whether from head groups penetrating the bulk liquid or hydrophic tails extending into the atmosphere, will provide a boost to area formation, lowering the surface tension. By contrast, van der Waals attractions tend to counter the process. We can avoid the detailed terms and balances, since empirical data serve our mapping purposes. However, deeper understanding will, at some point, be required.

Thermodynamics of the sea surface microlayer have been reviewed from an adsorption perspective by Elliott, Ogunro and colleagues [33,37], so that their basic results can now be expanded. All symbols below retain the usual meanings, plus we add the surface tension ( $\sigma$ ) and total area (A) of the system. Subscript i carried through much of the development is just one member from among a set of environmental chemical components (some populating the interface), and molar coverage is  $\Gamma$ . This latter quantity is sometimes also referred to as the surface "excess" since, from a laboratory perspective, it merely exists over and above the storage in the bulk. Note that, for practical purposes in actual computations, we deal with specific coverage in milligrams.

Working toward a standard equation of state, free energy change is accounted for per the First and Second Laws. Furthermore, an additional term is inserted due to contributions from area formation:

$$dG = -Sdt + Vdp + \sigma dA + \sum_{i} \mu_{i} dn_{i} \text{ (general, including surfaces)}$$
(A1)

The conceptual plane has no volume, and we are free to think of temperature as a local constant, leading to the loss of the first two terms:

$$dG^{Surface} = \sigma dA + \sum_{i} \mu_{i} dn_{i}^{Surface}$$
(A2)

Gibbs-Duhem logic with the application of the product rule suggests a molar area dependence:

$$dG^{Surface} = \sigma dA + Ad\sigma + \sum_{i} n_{i}^{Surface} d\mu_{i} + \sum_{i} \mu_{i} dn_{i}^{Surface}$$
(A3)

$$Ad\sigma = -\sum_{i} n_{i}^{Surface} d\mu_{i}; \ n_{i}^{Surface} / A = \Gamma_{i}; \ A_{i} = \Gamma_{i}^{-1}$$
(A4)

We now establish the full connection with chemical potentials. For any individual constituent, µ must match across the column of phases, bulk or otherwise:

$$\mu_{i}^{\text{Surface}} = \mu_{i}^{l} = \mu_{i}^{g} \text{ (again surface inclusive)}$$
 (A5)

It is convenient to shift to a system of one i so that sub-superscripting can be removed. Summations in the current parameterization will only return to a total of two compounds (proteins and lipids). The potentials are proportional to log concentration at equilibrium. Combining Equations (A4) and (A5) with the basic chemistry leads to:

$$d\sigma = -\Gamma d\mu; d\mu = RTdlnC; \ \partial\sigma/\partial C = -\Gamma RT/C$$
(A6)

which is the Gibbs isotherm [36,38,71]. The 2D pressure quantity  $\pi$  can now be formalized. We linearize on the variation, producing a parallel to the ideal gas law. The asterisk indicates a surface tension baseline:

$$\sigma = \sigma^* + -\text{const.C}; \ \pi = \sigma^* - \sigma; \ \pi = \text{const.C}; \ \partial\sigma/\partial C = -\text{const.}; \ \pi = \Gamma RT$$
(A7)

Many groups have explored van der Waals and virial-type improvements to this situation [5,94]. There will later be opportunity for statistical mechanical and molecular dynamic approaches to be applied. However, for the purpose of preliminary assessment, we continue with an empirical method.

To the present level in our appendix arguments, the terms solid-liquid-gas (s-l-g) have retained their textbook meanings. They have referred to the usual bulk materials—seawater and the atmosphere. However, now we transition to chemical activity confined to a hypothetical, planetary Gibbsian plane at the air-sea interface. The terms—prefaced as two dimensional (2D)—now signify analog surfactant phase changes which allow a comparison with the interfacial-chemical oceanographic literature [1–5].

The 2D ideal gas law demonstrates that the planar thermochemistry is robust. Perfect behavior among surfactants is rarely observed, and normally only at excess values so low that they are not relevant to the sea-air interface [36,170]. However, it becomes clear that tangential, microscopic forces must operate in some proportion to coverage and concentration. This is a traditional equation of state situation, with Newtonian interactions responding to densities. Our forces will exert their geophysical influence wherever gradients develop—by direct and essential contrast, uniform 2D pressure fields are not of interest. A convenient differential form is that of the elastic modulus ( $\varepsilon$ ) [7,17,19,38]. This is an increment in  $\pi$  ratioed to the fractional area change, so that overall units are unaffected. It can be shown, for the ideal planar gas, that pressure and elasticity are equal [18]. In the next two equations, the modulus is first defined in alternate forms based on Equations (A1)–(A7) above, then simplified according to Equation (A7) alone:

$$\varepsilon = -\partial \pi / \partial \ln A \ (= -\partial \pi / (\partial A / A)) = \partial \pi / \partial \ln \Gamma \tag{A8}$$

$$\ln \Gamma = \ln(\pi/RT)$$
; isothermal so  $d\ln \Gamma = d\ln(\pi/RT) = d\pi/\pi$ ;  $\varepsilon = \pi$  (A9)

Several sign flips are involved—they reinforce the fact that  $\pi \alpha$  (1/A) under extreme dilute circumstances. However, ideality requires a linear surface tension, and assumptions break down with increasing chemical complexity. Ultimately, this is our means for distinguishing the surface phases, and for comparing with ambient results [2–7,63].

We now think in terms of surface adsorption isotherms. Often these are expressions of excess for a given bulk concentration, but the concepts are extensible to more general surface properties. Although planar equations of state are available, we will be unable to escape making an intimate, but parameterized, connection with neighboring bulk geophysical phases. Langmuir derived an expedient early form [30,35–37] working from a conceptual model of dynamic surface encounters—but homogeneous configurations had to be assumed. The BET form superimposes multilayers, but we will not move so far in the current work [36,72]. Although our group has relied in the past on a Langmuir strategy to study organic aerosol emissions (OCEANFILMS [30–33]), surface homogeneity will not suffice here. We attempt to capture regionally-distinctive interactions among the variety of marine biomacromolecules. Subtleties include changes in hydrogen bonding density along the interface, nonlinear van der Waals contact in the monolayer, tail or polymer alignment as the area tightens, ring formation, bundling, stacking, etc.

Empirical isotherm versions serve as essential tools [36,72], as for example in the relationship of Freundlich [171]. The form  $\theta = a(C)^b$  became prominent in twentieth century catalysis studies. Normally, a Freundlich is used to represent fractional mass coverage, but we generalize here to an "operative" set up which remains entirely notional. Our goal is to represent the influence of mixed bulk organic concentrations upon either of the quantities surfactant excess or 2D pressure. The two must coordinate to reproduce  $\pi$ -A analyses from the environmental literature. The very natural Langmuir saturation feature can be inserted [172], and so we propose to define "effective coverages" for process types t. There is no longer a direct correspondence with fractional site occupation. Compression experiment quantities take the collective forms:

$$\theta_{i}^{t} = \left(K_{i}^{t}C_{i}\right)^{n_{i}^{t}} / \left(1 + \sum_{j} \left(K_{j}^{t}C_{j}\right)^{n_{j}^{t}}\right); K_{j}^{t} = 1/C_{j}^{t}(\text{reference})$$
(A10)

$$\Gamma_{i} = \theta_{i}^{\Gamma}(\mathbf{C})\Gamma_{i,\max}; \ \pi_{i} = \theta_{i}^{\pi}(\mathbf{C})\pi_{i,\max}; \ \Gamma = \sum_{i}\Gamma_{i} \ \text{likewise} \ \pi$$
(A11)

The  $\theta$  used here retain known relationships, but act as weighting functions for the various real  $\Gamma$  or  $\pi$ . Reference concentrations converted into equilibrium constants are identified with half maxima as a practical start-up. The bold quantity signifies an algebraic vector of concentrations—for present purposes specific to our surrogates. The only restriction on the exponents (n) is that they should be positive values—integers are not required, or rather n = 1/m is acceptable [171]. The reader will see that n < 1 flattens its profile while n > 1 extends, and we exploit this tradeoff to deal simultaneously with the extremes of 2D phase behaviors [94,95].

The combination of equations developed in (A1)–(A11) is what we refer to as a "power Langmuir". The attempt is to unify bulk solute control over multiple surface properties of the global ocean. It must be stated that our relationships have not been derived rigorously. The intent is to offer a reasonable hybrid, providing Earth system models with adjustable asymptotic surfactant behaviors (low, high, individual, or collective concentrations). Laboratory source data are returned, except for bunching, collapse, or hysteresis. As further approximations, all effects are decoupled, then treated linearly and additively.

A justification for the parameterization is that it works well. Surface chemical behaviors are adequately represented for either laboratory proxy or real oceanographic situations. To demonstrate, an offline package was constructed in the language R, experimenting within its statistical code suite. We explored combinations of mass normalized areas for pure compounds and mixtures with their surface pressures and the elastic moduli implied. Values for maxima and for reference (half-saturation) concentrations were taken from Graham and Phillips [95] for the protein surrogate albumin, and from Christodoulou and Rosano [94] in the case of lipidic stearic acid. Exponents were adjusted to fit

laboratory plots available in the literature. In order to match the 2D solid "wall" encountered by nonbranching fatty acids, the choices had to be large, single digit integers.

The matrix of values adopted is listed in Table A1. Sample results are provided in Figure A1, for which two new references are needed to establish upper level dissolved equilibria via kinetic arguments [173,174]. We performed computational film compression experiments by assuming that in hypothetical neighboring seawater, a reference bulk concentration set is immediately established relative to the surfactant chemistry. Tests could be readily performed upon alternate proxy selections. Oleic acid is shown as a sample 2D gaseous lipid. Its molecular tail contains electron rich double bonds which reduce cohesion and augment surface expansion [38].

**Table A1.** Parameter settings drawn from the physical chemical surfactant literature to describe the interfacial behaviors of the proxy compounds. The following are startup information sources: albumin data from Graham and Philips [95], plus stearic acid from Christodoulou and Rosano [94]. CR do not actually quote bulk liquid concentrations, but these have been estimated from Ter Minassian-Saraga [173] and Brzozowska et al. [174]. Values are inserted into the appendix equations to generate the  $\pi$ -A plots shown in Figure A1,  $\pi$ - $\varepsilon$  as in the main text tables, and any global maps presented.

Surrogate	Reference Concentrations 1/2 Max, all μM Carbon		Μ (Γ mg/m <sup>2</sup>	axima , π as mN/m)	Exponents Values for n	
	Excess	2D Pressure	Excess	2D Pressure	Excess	2D Pressure
Protein (Albumin)	10	30	2	20	0.5	1
Lipid (Stearate)	0.5	2	2.5	50	1	8

We have previously simulated adsorptive coverage independent of interfacial energetics, and over the full spectrum of marine biomacromolecules (Table 2). This was done to estimate mass contributions to primary organic particles from within the rupturing bubble film. Our existing method has been referred to by the acronym OCEANFILMS, and it is limited to the Langmuir isotherm [30–33]. The final equations developed in the present work are a parameterization extending to surface tension. We will eventually incorporate the Appendix material into OCEANFILMS. Its highly-idealized approach to surface chemistry will be enhanced to acknowledge 2D s-l-g phase distinctions. The main text, tables, and figures presented here suggest that tests could be focused on a single species—protein. 2D lipid condensation will be of interest in just a few regions (e.g., peripheral seas and coastlines). Proteins appear to be responsible for defining 2D pressure patterns over the must of the sea-air interface [18]. In several simulations of surfactant behavior [33,37], open water lipid levels have been at their highest during polar blooms. It may be that the influence of planar solids is limited to the high-latitude regime.

The 2D phase states are mainly based on interpretations in Frew [18] in addition to Barger and Means [5]. Once confirmed, the final surface pressure equation set was lifted whole from R and imposed on Ogunro et al. [33] model netCDF output offline, in order to generate global plots. Any further manipulations were conducted in the NOAA Ferret graphics system. Modulus  $\varepsilon$  was computed numerically over total area increments of 1% (per unit mass).

In the interest of maintaining clarity and to build momentum, we have treated all physicochemical media, whether in the laboratory or at sea, as though they are fixed at NTP for an unspecified water type. Most of the data available to us for making marine surfactant estimates were collected at around room temperature—from mild conditions in or above the real ocean [3,6–9,16–18,48,49,83,85], or else in some laboratory setting [18,28,50,54,88,94]. Many of the experiments were driven by research needs in the pharmaceutical and food chemical industries [37,142,149,175]. They were, thus, almost universally conducted at relatively low ionic strength.

In some cases, distilled or deionized water may constitute an adequate approximation to the real environments involved. Only in a few instances have oceanographic temperatures [95] and aquatic chemical pH ranges [77] been explored systematically. Indications are that the approximations we propose

are adequate, but further laboratory research is called for. Salt strengths vary throughout the source literature from ionic strength zero to one. Temperature, acidity, and ion chemical effects seem to be weak and manageable. We anticipate the need for both experimental and molecular modeling studies arrayed along the axes of these basic aqueous state variables [110].



**Figure A1.** Comparison of film pressure versus area isotherms as calculated using the appendix (power Langmuir) equations of state for (**A**) the main proxy compounds along with a sample mixture containing 3% fatty acid, as judged by carbon atom solute concentration, and (**B**) oleic acid substituted for stearic acid. The potential for loss of 2D condensed behaviors is clear. "Long" and "short" refer to the dashed curves.

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